

Dirty Profits II

A research paper prepared for Facing Finance



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Finance**

**Barbara Kuepper
Marcela Umaña
Hassel Kroes
Jan Willem van Gelder**

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**Naritaweg 10
1043 BX Amsterdam
The Netherlands
Tel: +31-20-8208320
E-mail: profundo@profundo.nl
Website: www.profundo.nl**

Contents

Summary	i
Introduction.....	1
Chapter 1 Methodology	2
1.1 Objective.....	2
1.2 Selected companies.....	2
1.3 Selected financial institutions.....	2
1.4 Types of finance to be researched.....	3
1.5 Research period	4
1.6 Information sources and estimates used.....	4
1.7 Reporting	5
Chapter 2 Adidas (Germany).....	6
2.1 Shareholders	6
2.2 Bondholders.....	6
2.3 Bond issuances.....	7
2.4 Loans	7
Chapter 3 Anglo American (United Kingdom).....	9
3.1 Shareholders	9
3.2 Bondholders.....	10
3.3 Bond issuances.....	11
3.4 Loans	12
Chapter 4 AngloGold Ashanti (South Africa)	13
4.1 Shareholders	13
4.2 Bondholders.....	13
4.3 Bond issuances.....	14
4.4 Loans	14
Chapter 5 Arch Coal (United States)	16
5.1 Shareholders	16
5.2 Bondholders.....	16
5.3 Share issuances.....	17
5.4 Bond issuances.....	17
5.5 Loans	18
Chapter 6 Areva (France)	19
6.1 Shareholders	19
6.2 Bondholders.....	19
6.3 Bond issuances.....	20
6.4 Loans	20
Chapter 7 BAE Systems (United Kingdom)	22
7.1 Shareholders	22

7.2	Bondholders	22
7.3	Bond issuances	23
Chapter 8	Barrick Gold (Canada)	24
8.1	Shareholders	24
8.2	Bondholders	24
8.3	Bond issuances	25
8.4	Loans	26
Chapter 9	Bolloré Group (France)	27
9.1	Shareholders	27
9.2	Bond issuances	27
9.3	Loans	27
Chapter 10	Chevron (United States)	29
10.1	Shareholders	29
10.2	Bondholders	29
10.3	Bond issuances	30
10.4	Loans	31
Chapter 11	Eurasian Natural Resources United Kingdom)	32
11.1	Shareholders	32
11.2	Loans	32
Chapter 12	Gazprom (Russia)	34
12.1	Shareholders	34
12.2	Bondholders	35
12.3	Bond issuances	36
12.4	Loans	37
Chapter 13	Glencore Xstrata (Switzerland)	40
13.1	Shareholders	40
13.2	Bondholders	40
13.3	Share issuances	42
13.4	Bond issuances	43
13.5	Loans	44
Chapter 14	Golden Agri-Resources (Singapore)	47
14.1	Shareholders	47
14.2	Bondholders	47
14.3	Bond issuances	48
Chapter 15	Jabil Circuit (United States)	49
15.1	Shareholders	49
15.2	Bondholders	49
15.3	Bond issuances	50
15.4	Loans	50
Chapter 16	Jindal Steel & Power (India)	52

16.1	Shareholders	52
16.2	Bondholders.....	52
16.3	Loans	52
Chapter 17	Lockheed Martin (United States).....	54
17.1	Shareholders	54
17.2	Bondholders.....	54
17.3	Bond issuances.....	55
17.4	Loans	55
Chapter 18	LPP (Poland).....	56
18.1	Shareholders	56
Chapter 19	Monsanto (United States)	57
19.1	Shareholders	57
19.2	Bondholders.....	57
19.3	Bond issuances.....	58
19.4	Loans	58
Chapter 20	Nestlé (Switzerland)	60
20.1	Shareholders	60
20.2	Bondholders.....	61
20.3	Bond issuances.....	62
20.4	Loans	64
Chapter 21	Newmont Mining (United States).....	66
21.1	Shareholders	66
21.2	Bondholders.....	66
21.3	Bond issuances.....	68
21.4	Loans	68
Chapter 22	Rheinmetall (Germany)	69
22.1	Shareholders	69
22.2	Bondholders.....	69
22.3	Loans	69
Chapter 23	Rio Tinto (United Kingdom / Australia).....	71
23.1	Shareholders	71
23.2	Bondholders.....	72
Chapter 24	Royal Dutch Shell (Netherlands/ United Kingdom)	74
24.1	Shareholders	74
24.2	Bondholders.....	74
24.3	Bond issuances.....	76
Chapter 25	Trafigura (Netherlands).....	77
25.1	Bondholders.....	77
25.2	Loans	77
Chapter 26	Vale (Brazil).....	80

26.1	Shareholders	80
26.2	Bondholders.....	80
26.3	Bond issuances.....	82
26.4	Loans	83
Chapter 27	VF Corp (United States)	84
27.1	Shareholders	84
27.2	Bondholders.....	84
27.3	Bond issuances.....	85
27.4	Loans	85
Appendix 1	Detailed shareholdings	86
Appendix 2	References	314

Summary

The objective of this report is to provide an analysis of the financial relations between 30 selected companies and 19 selected financial institutions since early 2011. This analysis will be used by Facing Finance to produce a new edition of the Dirty Profits report released in December 2012, which covered the financing of a selected group of companies and their harmful activities. For this update, the following financial institutions and companies were selected:

Companies

- Adidas (Germany) - garment, sportshoes
- Anglo American (United Kingdom) - mining
- AngloGold Ashanti (South Africa) - mining
- Arch Coal (United States) - mining
- Areva (France) - mining
- BAE Systems (United Kingdom) - weapons
- Barrick Gold (Canada) - mining
- Bolloré Group (France) – palm oil
- Chevron (United States) - fracking
- ENRC (Eurasian Natural Resources) (United Kingdom) - mining
- Gazprom (Russia) - oil & gas
- GlencoreXstrata (Switzerland) - mining
- Golden Agri Resources (Singapore) - palm oil
- Jabil Circuit (United States) - electronics
- Jindal Steel & Power (India) - steel
- Lockheed Martin (United States) - weapons
- LPP (Poland) - garment
- Monsanto (United States) - GE seeds & agrochemicals
- Nestlé (Switzerland) - food
- Newmont Mining (United States) - mining
- Rheinmetall (Germany) - weapons
- Rio Tinto (United Kingdom / Australia) - mining
- Royal Dutch Shell (Netherlands/ United Kingdom) - oil & gas
- Trafigura (Netherlands) - commodity trader
- Vale (Brazil) - mining
- VF Corp (United States) - garment

Financial institutions:

- Allianz (Germany)
- Argenta (Belgium)
- Belfius (Belgium), including Dexia investment funds
- BlackRock Germany
- BNP Paribas (France)
- Commerzbank (Germany)
- Credit Suisse (Switzerland)
- DekaBank (Germany)
- Deutsche Bank (Germany)
- DZ Bank (Germany)
- Getin Holding (Poland)
- ING (Netherlands)

- KBC (Belgium)
- KfW (Germany)
- Kulczyk Investments (Poland)
- Munich Re (Germany)
- PKO Bank Polski (Poland)
- UBS (Switzerland)
- UniCredit (Italy)

Financial institutions can be involved in the financing of the selected companies by providing corporate loans, by assisting companies with share- and bond issuances, and by (managing) investments in shares and bonds of these companies.

Table 1 summarizes the results of the analysis per selected financial institution and their involvement in the financing of the selected companies. It has to be noted that a majority of the amounts for underwriting of shares and bonds and especially the participation in loans is based on estimations due to a lack of detailed data.

It should also be noted that the amounts of these different categories cannot be added up or compared directly, as this would lead to double-counting in some cases. For example, when companies issue new shares the money they raise is divided between the underwriters (investment banks), but the same amount is also counted in the shareholding category. In addition, revolving credit facilities lead to double counting when loans are renewed or extended.

Table 1 Summary of involvement of selected financial institutions in the financing of selected companies (€ million)

Financial institution	Country	Shareholdings	Bondholdings	Share issuances	Bond issuances	Loans
Allianz	Germany	2,962	2,931			
Argenta	Belgium	21				
Belfius	Belgium	282	44			
BlackRock	Germany	750				
BNP Paribas	France	1,817	90	1,093	3,525	5,119
Commerzbank	Germany	236		97	281	1,142
Credit Suisse	Switzerland	3,998	318	1,071	2,107	2,313
DekaBank	Germany	770	100			
Deutsche Bank	Germany	3,720	357		3,334	2,829
DZ Bank	Germany	1,139	144			460
Getin Holding	Poland					
ING	Netherlands	1,445	678	104	512	2,761
KBC	Belgium	198	71		4	432
KfW	Germany					455
Kulczyk Investments	Poland					
Munich Re	Germany	13	152			
PKO Bank Polski	Poland	10				

Financial institution	Country	Shareholdings	Bondholdings	Share issuances	Bond issuances	Loans
UBS	Switzerland	3,614	342	97	930	2,787
UniCredit	Italy	806	94		131	1,597

While it is not possible to create a ranking based on absolute figures, the results from analysing the different categories suggest that among the selected financial institutions, the French bank BNP Paribas, the Swiss banks Credit Suisse and UBS, the German Deutsche Bank, and the Dutch bank ING are most involved with the selected companies.

The results per financing category are provided in more detail below, in three summary tables:

- Shareholdings (S) and bondholdings (B) (Table 2);
- Share issuances (SI) and bond issuances (BI) (Table 3);
- Loans (Table 4).

Table 2 presents the value of the shares and bonds of the 28 selected companies which were owned or managed by the selected financial institutions at the most recent filing date. The table shows that the German insurance company Allianz owned or managed the largest amount of shares and bonds, with a total value of € 5,893 million. Other major holders of shares and bonds include the Swiss bank Credit Suisse (€ 4,316 million), the German Deutsche Bank (€ 4,076 million), the Swiss bank UBS (€ 3,956 million), the Dutch bank ING (€ 2,123 million) and the French bank BNP Paribas (€ 1,907 million).

In terms of relationships with the selected companies, BNP Paribas (France) had most links, owning or managing shares and/or bonds of 26 companies; this is followed by Credit Suisse (Switzerland), Deutsche Bank (Germany) and UniCredit (Italy), who own or manage shares and/or bonds of 25 companies each. Other financial institutions with a relatively high amount of links include the German insurance company Allianz, the Dutch ING and the Swiss UBS, with 24 companies each, and the Belgian KBC with 23 companies.

For three financial institutions, Getin Holding, KfW and Kulczyk Investments, no involvement was found in this category.

Table 2 Shares and bonds managed by selected financial institutions (€ million)

Company	UBS		UniCredit																							
	S	B	S	B	S	B	S	B	S	B	S	B	S	B	S	B	S	B	S	B	S	B				
Adidas	164	3	5	5	364	11	4	1	33	4	123	1	605	17	150	6	6	27	2	2	39	49				
Anglo American ⁱ	83	292	0	3	10	23	23	10	29	8	25	14	26	54	44	11	10	21	3	0	20	24	22	2		
AngloGold Ashanti	43	148				1		2	20	1			7	3			25		0	0	7	2	3	24		
Arch Coal		206				0	1	0	1	1			3	1			5	7	0	0	2	18	3			
Areva		3			4	0	10		1	1		10		0	4	4		0	0	0			17			
BAE Systems	82	85		6	15	3		18	1	4		136	4	23		4	1	3			64	3	1			
Barrick Gold	335	201		0	2	10		18	15	5	30		107	4	20		47	101	1	0	66	8	11	0		
Bolloré Group					2	0		1		1			0					0	21		4					
Chevron	257	26	1	18	17	186		100	757	3	82		489	2	176		264	19	35	3	8	480	9	311		
ENRC	12		0		0	0		0	1				12				7		0	0	1		0			
Gazprom ⁱⁱ	40	1,064	3	2	0	203	6		131	15	51	33	192	104	45	46	57	19	15	28	1	10	4	28	12	
GlencoreXstrata	24	125	1	1	10	34	3	15	32	51	1	35	42	57	2	49	10	123	4	19	0	0	12	82	2	29
Golden Agri-Resources	61	5	0	1		2		2	2	0			2			1	0	1	2		4		0			
Jabil Circuit	61	1		0		10		1	10				2	5			60	9	0	2	10	4	20	0	0	
Jindal Steel & Power					3								3	21			0	1			18		0	6		
Lockheed Martin	192	4			8	3		10	55	0	0		49	0			18	5	0		35		0	1		
LPP	25				0								7			160		1	5	1	5			18		
Monsanto	104	43	0		94		37	113		25			96	0	16	144	9	3	17		66	0	68			
Nestlé ⁱⁱⁱ	190	10	2	77	2	150	118	12	1,912	150	157	2	848	20	312	16	111	2	40	3	2	1,873	54	83		
Allianz																										
Argentia																										
Belfius																										
BlackRock Germany																										
BNP Paribas																										
Commerzbank																										
Credit Suisse																										
Dekabank																										
Deutsche Bank																										
DZ Bank																										
KBC																										
ING																										
Munich Re																										
PKO Bank Polski																										

ⁱ incl. Anglo American and its separately listed subsidiary Anglo American Platinum;

ⁱⁱ incl. Gazprom and its separately listed subsidiary Gazprom Neft;

ⁱⁱⁱ incl. Nestlé and its separately listed subsidiaries Nestlé India, Nestlé Nigeria and Nestlé Pakistan

Company	UBS		UniCredit	
	S	B	S	B
Newmont Mining	93	126	1	0
Rheinmetall	3	3		
Rio Tinto ^{iv}	268	175	3	73
Royal Dutch Shell	642	71	4	91
Trafigura				
Vale ^v	275	326	4	9
VF Corp	7	19	0	
# of companies	24	11	17	14
Total value	2,962	2,931	21	282
Allianz				
Argenta				
Belfius				
BlackRock Germany				
Commerzbank				
Credit Suisse				
BNP Paribas				
DekaBank				
Deutsche Bank				
DZ Bank				
ING				
KBC				
Munich Re				
PKO Bank Polski				
UBS				
UniCredit				

Note: 0 = less than € 0.5 million

^{iv} incl. Rio Tinto plc and its separately listed subsidiary Rio Tinto Ltd.;

^v incl. Vale and its separately listed subsidiary Vale Indonesia;

Table 3 summarizes the results of the analyses of underwritings of shares and bonds of the selected companies per selected financial institution. For share and bond issuances since January 2011, eight of the selected financial institutions were found to have underwritten shares or bonds of the selected companies. Based on the (estimated) amounts underwritten, BNP Paribas scores highest with a total of € 3,525 million for bond underwritings and € 1,093 million for share underwritings. This is followed by Credit Suisse with a total of € 2,107 million for bond underwritings and € 1,071 million for share underwritings, and also Deutsche Bank with € 3,334.4 million for bond underwritings.

Regarding the number of companies they assisted with issuing shares or bonds, BNP Paribas and Deutsche Bank take the lead, since BNP Paribas was linked to 14 of the companies and Deutsche Bank to 11.

Table 3 Underwritings of shares and bonds per selected financial institution (€ million)

Company	BNP Paribas		Commerzbank		Credit Suisse		Deutsche Bank		ING		KBC		UBS		UniCredit
	SI	BI	SI	BI	SI	BI	BI	SI	BI	BI	SI	BI	SI	BI	BI
Adidas							125								125
Anglo American		380		281				352						220	
AngloGold Ashanti															5
Arch Coal															
Areva		125													
BAE Systems		125													
Barrick Gold		72												72	
Bolloré Group															
Chevron		124													
ENRC (Eurasian Natural Resources)															
Gazprom		897													
GlencoreXstrata	1,053	1,132	97		1,053	1,205	225	97	402		97	275			
Golden Agri Resources		79													
Jabil Circuit			11												
Jindal Steel & Power															
Lockheed Martin														57	
LPP															
Monsanto															6
Nestlé		354													
Newmont Mining		72													
Rheinmetall															

Company	UniCredit											
	SI	BI	SI	BI	SI	BI	BI	SI	BI	BI	SI	BI
Rio Tinto							937					
Royal Dutch Shell							313					
Trafigura							12					
Vale		141										
VF Corp		12										
Involved in # of companies	14		2		7		11	5		1	7	2
Total Value	1,093	3,525	97	281	1,071	2,107	3,334	104	512	4	97	930
												131

Table 4 details the (estimated) value of the participation of the selected financial institutions in loans obtained by the selected companies since January 2011. BNP Paribas scores highest with a total involvement of € 5,119 million; followed by Deutsche Bank with € 2,829 million, UBS with € 3,094 million, ING with € 2,761 million and Credit Suisse with € 2,313 million. Other financial institutions that participated in loans with a total value of more than € 1,000 million are UniCredit (€ 1,597 million) and Commerzbank (€ 1,142 million).

In terms of relationships with the selected companies, BNP Paribas and Deutsche Bank had most links, both participating in loans for 14 out of 30 companies. ING and UBS participated in loans for ten companies. We did not find any participation in loans obtained by the selected companies for nine financial institutions: Allianz, Argenta, Belfius, BlackRock Germany, DekaBank, Getin Holding, Kulczyk Investments, Munich Re and PKO Bank Polski.

Overall, the analysis did not reveal any financial transactions or activities in which Kulczyk Investments was involved with the 30 selected companies.

Table 4 Participation of selected financial institutions in loans (€ million)

Company	UniCredit
Adidas	50
Anglo American	137
AngloGold Ashanti	48
Arch Coal	5
Areva	94
BAE Systems	
Barrick Gold	216
BNP Paribas	225
Commerzbank	118
Credit Suisse	107
Deutsche Bank	176
DZ Bank	
ING	
KfW	
KBC	
UBS	
UniCredit	

Company											UniCredit
											UBS
											KfW
											KBC
											ING
Bolloré Group	280							67			
Chevron								15			
ENRC (Eurasian Natural Resources)		37		67				65			103
Gazprom	368	282		97	146		727		43	28	591
GlencoreXstrata	1,564	521	708	937	297	746	274	394	708	403	
Golden Agri Resources											
Jabil Circuit	54										
Jindal Steel & Power	23			23							
Lockheed Martin	55			62						62	
LPP											
Monsanto											93
Nestlé	876		876	876			392			876	
Newmont Mining	88			88						550	
Rheinmetall		88		88							88
Rio Tinto											
Royal Dutch Shell											
Trafigura	893	113	204	182		386	158	18	157	175	
Vale	390		35	146	17						
VF Corp			80			80					
Involved in # of companies	14	5	9	14	3	10	2	3	10	8	
Total amount (€ mln)	5,119	1,142	2,313	2,829	460	2,761	432	455	2,787	1,597	

Introduction

The objective of this research project is to provide an analysis of the financial relationships between 30 selected companies and 19 selected financial institutions since early 2011. This analysis will be used by Facing Finance to produce a new edition of the Dirty Profits report released in December 2012, which covers the financing of a selected group of companies and their harmful activities.

The Chapter 1 of this report presents the research methodology. The subsequent chapters summarise the financial involvement of the selected financial institutions in each of the selected companies. The involvement of each financial institution in managing shares and bonds, underwriting of shares and bonds and providing loans to each company is researched.

A summary of the findings can be found on the first pages of this report.

Chapter 1 Methodology

1.1 Objective

The objective of the research is to identify the financial relations between 30 selected companies and 19 financial institutions since January 2011. This analysis will be used by Facing Finance to produce a new edition of the Dirty Profits report released in December 2012., which covers the financing of a selected group of companies and their harmful activities.

1.2 Selected companies

The following 30 companies, including all their subsidiaries, are included:

- Adidas (Germany) - garment, sportshoes
- Anglo American (United Kingdom) - mining
- AngloGold Ashanti (South Africa) - mining
- Arch Coal (United States) - mining
- Areva (France) - mining
- BAE Systems (United Kingdom) - weapons
- Barrick Gold (Canada) - mining
- Bolloré Group (France) – palm oil
- Chevron (United States) - fracking
- ENRC (Eurasian Natural Resources) (United Kingdom) - mining
- Gazprom (Russia) - oil & gas
- GlencoreXstrata (Switzerland) - mining
- Golden Agri Resources (Singapore) - palm oil
- Jabil Circuit (United States) - electronics
- Jindal Steel & Power (India) - steel
- Lockheed Martin (United States) - weapons
- LPP (Poland) - garment
- Monsanto (United States) - GE seeds & agrochemicals
- Nestlé (Switzerland) - food
- Newmont Mining (United States) - mining
- Rheinmetall (Germany) - weapons
- Rio Tinto (United Kingdom / Australia) - mining
- Royal Dutch Shell (Netherlands/ United Kingdom) - oil & gas
- Trafigura (Netherlands) - commodity trader
- Vale (Brazil) - mining
- VF Corp (United States) - garment

This list of companies was compiled by Facing Finance.

1.3 Selected financial institutions

The following 19 financial institutions, including all their subsidiaries, are included in the research project:

- Allianz (Germany)
- Argenta (Belgium)
- Belfius (Belgium), including Dexia investment funds

- BlackRock Germany
- BNP Paribas (France)
- Commerzbank (Germany)
- Credit Suisse (Switzerland)
- DekaBank (Germany)
- Deutsche Bank (Germany)
- DZ Bank (Germany)
- Getin Holding (Poland)
- ING (Netherlands)
- KBC (Belgium)
- KfW (Germany)
- Kulczyk Investments (Poland)
- Munich Re (Germany)
- PKO Bank Polski (Poland)
- UBS (Switzerland)
- UniCredit (Italy)

This list of financial institutions was compiled by Facing Finance.

1.4 Types of finance to be researched

The 19 selected financial institutions can be involved in the financing of the 30 selected companies by providing corporate loans, by assisting companies with share- and bond issuances, and by (managing) investments in shares and bonds of these companies. Below, these financing categories are discussed in more detail:

- **Corporate loans:** The easiest way to obtain debt is to borrow money. In most cases, money is borrowed from commercial banks. Loans can be either short-term or long-term in nature. Short-term loans (including trade credits, current accounts, leasing agreements, et cetera) have a maturity of less than a year. They are mostly used as working capital for day-to-day operations. Short-term debts are often provided by a single commercial bank, which does not ask for substantial guarantees from the company.
A long-term loan has a maturity of at least one year, but generally of three to ten years. Long-term corporate loans are in particular useful to finance expansion plans, which only generate rewards after some period of time. The proceeds of corporate loans can be used for all activities of the company. Often long-term loans are extended by a loan syndicate, which is a group of banks brought together by one or more arranging banks. The loan syndicate will only undersign the loan agreement if the company can provide certain guarantees that interest and repayments on the loan will be fulfilled.
- **Share issuances:** Issuing shares on the stock exchange gives a company the opportunity to increase its equity by attracting a large number of new shareholders or increase the equity from its existing shareholders. These shareholders can be private investors as well as institutional investors.
When it's the first time a company offers its shares on the stock exchange, this is called an Initial Public Offering (IPO). When a company's shares are already traded on the stock exchange, this is called a secondary offering of additional shares.
To arrange an IPO or a secondary offering, a company needs the assistance of one or more (investment) banks, which will promote the shares and find shareholders. The role of investment banks in this process therefore is very important.

- **Bond issuances:** Issuing bonds can best be described as cutting a large loan into small pieces, and selling each piece separately. Bonds are issued on a large scale by governments, but also by corporations. Like shares, bonds are traded on the stock exchange. Bonds are sold on the capital market, to private investors as well as institutional investors. Banks rarely buy any bonds. But to issue bonds, a company needs the assistance of one or more (investment) banks which underwrite a certain amount of the bonds. Underwriting is in effect buying with the intention of selling to investors. Still, in case the investment bank fails to sell all bonds it has underwritten, it will end up owning the bonds.
- **(Managing) investments in shares:** Financial institutions can, through the funds they are managing, buy shares of a certain company. This provides the company with new equity, and gives the financial institution a direct influence on the company's strategy. The magnitude of this influence depends on the size of the shareholding.
- **(Managing) investments in bonds:** Like shares, financial institutions and private investors can buy bonds of a certain company. The main difference between owning shares and bonds is that owner of a bond is not a co-owner of the issuing company; the owner is a creditor of the company. The buyer of each bond is entitled to repayment after a certain number of years, and to a certain interest during each of these years.

1.5 Research period

This report analyses the following forms of financing:

- Loans, credits and project financing (since 1 January 2011);
- Underwriting share and bond issuances (since 1 January 2011);
- Investments in shares and bonds (holdings at most recent reporting date).

1.6 Information sources and estimates used

Financial links between the selected financial institutions and the selected companies are researched by using annual reports, stock exchange filings and relevant publications of the companies, archives of trade magazines, local newspapers, financial press as well as specialized financial databases (Thomson ONE Banker, Bloomberg). All sources are clearly referenced at the end of the report.

If for loans and underwritings of shares or bonds the amounts committed or underwritten per financial institution are unknown, these are estimated by using the following rules of thumb:

- In the case of loans (corporate loans or revolving credit facilities), 40% of the total amount is committed by bookrunners and 60% by other participants of the syndicate. If, however, the amount of bookrunners is (almost) equal to, or higher than, the amount of participants, the reverse is used: 60% for the bookrunners and 40% for the arrangers.
- In the case of share- and bond issuances, 75% of the total amount is committed by bookrunners and 25% by other participants of the syndicate. The amount provided by bookrunners should always be higher than the amount provided by participants.
- In the case of share and bondholdings, the amounts are always known, so no estimate was needed.

For share and bondholdings the most recent figures are listed. In the case of shareholdings, some companies have two types of shares: ordinary shares and Depository Receipts (DRs). DRs are shares which are traded on a local stock exchange but represent a foreign company's publicly traded shares. One of the most common types of DRs is the American Depository Receipt (ADR), which represents a specific number of shares of a foreign stock traded on a U.S. stock exchange. If companies have two types of shares, we added the value of both types of shares.

1.7 Reporting

The involvement of the selected financial institutions in the selected companies is summarised in separate chapters by company, listing the management of shares and bonds, underwriting of shares and bonds and provision of loans to each company in the analysed period. A more detailed overview of the shareholdings managed by each financial institution at the last filing date, broken down by the individual investment funds, is presented in Appendix 1 of this report.

The summary of this report includes overview tables that sum up the financial relationships between the selected financial institutions and companies.

Chapter 2 Adidas (Germany)

2.1 Shareholders

Table 5 provides an overview of the shares of Adidas which were owned or managed by the selected financial institutions at the most recent filing date.

Table 5 Shareholders of Adidas

Financial institution	Amount of shares	% of all shares	Total value (€ mln)	Filing date
Allianz	2,021,554	0.97	164.43	30-Nov-2011 to 30-Jun-2013
Argenta	62,773	0.03	5.16	31-May-2013 to 30-Jun-2013
Belfius	64,598	0.03	5.20	31-Mar-2012 to 30-Jun-2013
BlackRock Germany	4,474,128	2.14	364.06	30-Sep-2012 to 30-Jun-2013
BNP Paribas	157,665	0.08	11.43	31-Aug-2012 to 30-Jun-2013
Commerzbank	15,161	0.01	1.24	30-Jun-2013
Credit Suisse	426,089	0.17	32.57	30-Apr-2012 to 30-Jun-2013
DekaBank	1,761,420	0.84	122.60	31-Jul-2012 to 30-Jun-2013
Deutsche Bank	7,482,126	3.58	604.61	31-Dec-2011 to 30-Jun-2013
DZ Bank	1,930,173	0.92	150.43	31-Dec-2012 to 30-Apr-2013
ING	71,793	0.03	5.59	31-Dec-2011 to 31-May-2013
KBC	380,245	0.18	26.75	31-Dec-2011 to 31-May-2013
Munich Re	25,080	0.01	1.96	31-Mar-2013
UBS	569,479	0.27	38.99	31-Dec-2011 to 30-Apr-2013
UniCredit	634,051	0.30	49.49	30-Apr-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

2.2 Bondholders

Table 6 provides an overview of the bonds of Adidas which were owned or managed by the selected financial institutions at the most recent filing date.

Table 6 Bondholders of Adidas

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors France	0.06	0.60	31-Jan-2013
	Allianz Global Investors Luxembourg	0.20	2.00	30-Apr-2013
Total Allianz		0.26	2.60	
BNP Paribas	BNP Paribas Investment Partners	0.15	1.45	31-Mar-2013
	Fortis Investment Management France	0.28	2.80	31-Mar-2013
	Insinger de Beaufort Manager	0.02	0.20	28-Feb-2013
Total BNP Paribas		0.45	4.45	
Credit Suisse	Clariden Bank AG	0.18	1.75	30-Apr-2012
	Credit Suisse Asset Management	0.04	0.40	31-May-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	Credit Suisse Fund Services Lux	0.18	1.80	31-May-2013
Total Credit Suisse		0.40	3.95	
DekaBank	Deka International S.A.	0.08	0.80	31-Mar-2013
Total DekaBank		0.00	0.80	
Deutsche Bank	DWS Investment GmbH	1.26	12.60	30-Apr-2013
	DWS Investment S.A.	0.44	4.40	30-Apr-2013
Total Deutsche Bank		1.70	17.00	
DZ Bank	Union Investment Luxembourg S.A.	0.60	6.00	31-Mar-2013
Total DZ Bank		0.60	6.00	
KBC	KBC Bank Luxembourg S.A.	0.20	2.00	30-Apr-2013
Total KBC		0.20	2.00	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

2.3 Bond issuances

Table 7 provides an overview of the bond issuances of Adidas since January 2011, in which one or more of the selected financial institutions participated.

Table 7 Bond issuances of Adidas

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Adidas AG	14-Mar-2012	€ 500	500	06-Jan-2017	Convertible bonds	General corporate purposes	6	Deutsche Bank UniCredit	125.00 125.00
Total Deutsche Bank									125.00
Total UniCredit									125.00

2.4 Loans

Table 8 provides an overview of the loans secured by Adidas since January 2011, in which one or more of the selected financial institutions participated.

Table 8 Loans secured by Adidas

Source	(Est.) amount per bank (& mln)	Selected banks	
Adidas AG	27-Jun-2012	Deutsche Bank UniCredit	50.00 50.00
			² 50.00
			50.00
			50.00

Chapter 3 Anglo American (United Kingdom)

3.1 Shareholders

Table 9 provides an overview of the shares of Anglo American which were owned or managed by the selected financial institutions at the most recent filing date.

Table 9 Shareholders of Anglo American

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	3,982,913	0.29	81.19	30-Nov-2011 to 30 Jun-2013
Argenta	19,056	0.00	0.28	30-Jun-2013
Belfius	201,711	0.01	3.19	31-Dec-2012 to 30-Jun-2013
BlackRock Germany	1,612,570	0.12	23.41	30-Jun-2013
BNP Paribas	1,070,840	0.08	22.88	31-May-2012 to 30-Jun-2013
Credit Suisse	1,362,520	0.08	25.73	30-Apr-2012 to 31-May-2013
DekaBank	1,076,739	0.08	24.81	30-Jun-2012 to 30-Jun-2013
Deutsche Bank	1,607,938	0.12	24.44	31-Dec-2011 to 30-Jun-2013
DZ Bank	2,836,139	0.20	43.53	31-Mar-2012 to 03-Jun-2013
ING	508,768	0.04	9.77	31-Mar-2012 to 31-Mar-2013
KBC	160,663	0.01	2.98	31-Dec-2012 to 31-May-2013
Munich Re	9,751	0.00	0.19	31-Mar-2013
UBS	1,068,505	0.08	19.39	31-Dec-2011 to 02-Jul-2013
UniCredit	1,167,624	0.08	22.43	30-Jun-2012 to 30-Apr-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 10 provides an overview of the shares of Anglo American's separately listed subsidiary Anglo American Platinum which were owned or managed by the selected financial institutions at the most recent filing date.

Table 10 Shareholders of Anglo American Platinum

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	56,679	0.02	2.25	31-Dec-2012 to 30-Jun-2013
BNP Paribas	57	0.00	0.00	31-Mar-2013
Credit Suisse	122,051	0.04	3.49	31-Jul-2012 to 31-May-2013
Deutsche Bank	74,116	0.03	1.68	30-Jun-2013
ING	15,325	0.01	0.46	31-Mar-2012 to 30-Jun-2013
KBC	6,550	0.00	0.16	31-May-2013
UBS	13,784	0.01	0.54	30-Apr-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

3.2 Bondholders

Table 11 provides an overview of the bonds of Anglo American which were owned or managed by the selected financial institutions at the most recent filing date.

Table 11 Bondholders of Anglo American

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors France	0.01	1.00	31-Jan-2013
	Allianz Global Investors Italia	0.01	0.85	30-Jun-2013
	Allianz Global Investors Luxembourg	0.09	9.51	30-Apr-2013
	Allianz Global Risks US Ins Co	0.04	3.77	31-Mar-2013
	Allianz Invest Kagmgh/Austria	0.00	0.23	30-Jun-2013
	Allianz Life Insurance Company of North America	0.51	51.83	31-Mar-2013
	Allianz of America	0.00	0.08	31-Mar-2013
	Allianz Underwriters Insurance Company	0.00	0.36	31-Mar-2013
	PIMCO Advisors LP	1.55	156.59	31-Mar-2013
	PIMCO Funds Global Investors	0.67	67.47	31-Mar-2013
Total Allianz		2.88	291.69	
Belfius	Dexia Asset Management	0.05	5.31	30-Jun-2013
	Dexia Asset Management France	0.00	0.10	30-Jun-2013
	Dexia Asset Management Luxembourg	0.05	4.88	30-Jun-2013
Total Belfius		0.10	10.29	
BNP Paribas	BNP Paribas Asset Management SAS	0.00	0.15	31-Dec-2012
	BNP Paribas Investment Partners	0.10	9.71	28-Feb-2013
Total BNP Paribas		0.10	9.86	
Credit Suisse	Credit Suisse AG	0.01	1.35	31-May-2013
	Credit Suisse Asset Management	0.01	0.79	31-May-2013
	Credit Suisse Deutschland AG	0.00	0.10	31-May-2013
	Credit Suisse Fund Services Lux	0.05	5.40	31-May-2013
Total Credit Suisse		0.08	7.64	
DekaBank	Deka International S.A.	0.01	0.75	31-Mar-2013
	Deka Investment GmbH	0.13	13.36	31-Mar-2013
DekaBank total value		0.14	14.11	
Deutsche Bank	Deutsche Asset Management	0.11	10.93	30-Jun-2013
	DWS Investment GmbH	0.23	23.53	30-Apr-2013
	DWS Investment S.A.	0.19	18.85	30-Apr-2013
	DWS Investments Gestion SGIIC	0.00	0.10	31-May-2013
	Oppenheim Kapitalanlage GmbH	0.00	0.45	31-Jan-2013
Total Deutsche Bank		0.53	53.86	
DZ Bank	Union Investment GmbH	0.01	1.15	31-Mar-2013
	Union Investment Luxembourg S.A.	0.10	9.71	31-Mar-2013
Total DZ Bank		0.11	10.86	

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
ING	ING Asset Management	0.03	2.73	31-Mar-2013
	ING International Advisory SA	0.08	8.26	31-Mar-2013
	ING Investments LLC	0.06	6.58	30-Jun-2013
	ING Investment Management Co	0.00	0.26	30-Jun-2013
	ING Life Insurance & Annuity Co	0.03	3.49	31-Mar-2013
Total ING		0.21	21.32	
KBC	KBC Fund Managers	0.01	0.71	30-Apr-2013
Total KBC		0.01	0.71	
Munich Re	MEAG Munich Ergo	0.00	0.20	31-Mar-2013
Total Munich Re		0.00	0.20	
UBS	UBS Focused Fund Management Company	0.09	9.51	30-Apr-2013
	UBS Fund Management Switzerland	0.01	1.13	30-Apr-2013
	UBS Fund Management Luxembourg	0.04	4.20	30-Apr-2013
	UBS Global Asset Management US	0.00	0.34	30-Sep-2012
	UBS Institutional Fund Management	0.01	0.60	30-Apr-2013
	UBS Invest KAG	0.01	0.55	30-Apr-2013
	UBS Strategy Fund Management Company	0.07	7.40	30-Apr-2013
Total UBS		0.23	23.73	
UniCredit	Pioneer Asset Management SA	0.02	2.05	31-Mar-2013
Total UniCredit		0.02	2.05	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

3.3 Bond issuances

Table 12 provides an overview of the bond issuances of Anglo American since January 2011, in which one or more of the selected financial institutions participated.

Table 12 Bond issuances of Anglo American

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Anglo American Capital PLC	20-Mar-2012	€ 750	750	28-Mar-2022	3.500	General corporate purposes	9	Commerzbank BNP Paribas	140.63 37.50
Anglo American Capital PLC	26-Mar-2012	US\$ 600	449.2	03-Apr-2017	2.625	General corporate purposes	5	BNP Paribas UBS	89.84 89.84
Anglo American Capital PLC	11-Sep-2012	€ 750	750	18-Sep-2018	2.500	General corporate purposes	9	BNP Paribas Commerzbank	140.63 140.63
Anglo	20-	US\$	578.4	27-	2.625	Capital	6	UBS	72.30

Source	(Est.) amount per bank (€ mln)								
No. of banks	Selected banks								
Use of proceeds									
American Capital PLC	Sep-2012	750	Sep-2017		Expenditures and general corporate purposes				
Anglo American Capital PLC	20-Sep-2012	US\$ 600	462.7	27-Sep-2022	4.125	Capital Expenditures and general corporate purposes	6	UBS	57.84 ⁷
Anglo American Capital PLC	22-Apr-2013	€ 750	750	29-Apr-2021	2.500	General corporate purposes	8	BNP Paribas	112.50 ⁸
Total BNP Paribas									380.47
Total Commerzbank									281.26
Total UBS									219.98

3.4 Loans

Table 13 provides an overview of the loans secured by Anglo American since January 2011, in which one or more of the selected financial institutions participated.

Table 13 Loans secured by Anglo American

Source	(Est.) amount per bank (€ mln)								
No. of banks	Selected banks								
Use of proceeds									
Anglo American PLC	18-Mar-2013	US\$ 5,000	3,840.5	18-Mar-2018	Refinancing bank debt and general corporate purposes	28	BNP Paribas Commerzbank Credit Suisse UBS	137.16 137.16 137.16 137.16	⁹
Total BNP Paribas									137.16
Total Commerzbank									137.16
Total Credit Suisse									137.16
Total UBS									137.16

Chapter 4 AngloGold Ashanti (South Africa)

4.1 Shareholders

Table 14 provides an overview of the shares of AngloGold Ashanti which were owned or managed by the selected financial institutions at the most recent filing date.

Table 14 Shareholders of AngloGold Ashanti

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	2,274,828	0.59	42.85	31-Oct-2012 to 30-Apr-2013
BNP Paribas	61,637	0.02	1.09	31-Mar-2013
Commerzbank	156,929	0.04	1.69	30-Jun-2013
Credit Suisse	1,103,018	0.29	19.58	31-Mar-2013
Deutsche Bank	421,807	0.11	7.49	31-Mar-2013
PKO Bank Polski	8,549	0.00	0.22	30-Jun-2013
UBS	387,800	0.10	6.57	31-Mar-2013 to 30-Apr-2013
UniCredit	145,000	0.04	3.04	30-Nov-2012 to 30-Apr-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

4.2 Bondholders

Table 15 provides an overview of the bonds of AngloGold Ashanti which were owned or managed by the selected financial institutions at the most recent filing date.

Table 15 Bondholders of AngloGold Ashanti

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors of America	0.03	0.83	31-Mar-2013
	Allianz Invest Kaghmgh/Austria	0.01	0.38	30-Jun-2013
	Allianz Life Insurance Company of North America	0.44	12.78	31-Mar-2013
	PIMCO Advisors LP	2.01	58.37	31-Mar-2013
	PIMCO Funds Global Investors	2.59	75.25	31-Mar-2013
Total Allianz		5.08	147.61	
Credit Suisse	Clarendon Leu Ltd	0.01	0.23	31-Dec-2012
	Credit Suisse Asset Management	0.01	0.38	31-May-2013
Total Credit Suisse		0.02	0.61	
Deutsche Bank	DWS Investment S.A.	0.10	2.79	30-Apr-2013
Total Deutsche Bank		0.10	2.79	
ING	ING International Advisory SA	0.18	5.30	31-Mar-2013
	ING Investments LLC	0.11	3.09	30-Jun-2013
	ING Investment Management NV	0.04	1.26	15-Aug-2013
	ING Life Insurance & Annuity Co	0.44	12.85	31-Mar-2013
	ING US Annuity and Life Insurance Co	0.10	2.95	31-Mar-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	Reliastar Life Insurance Company of New York	0.00	0.03	31-Mar-2013
Total ING		0.88	25.48	
UBS	UBS Focused Fund Management Company	0.02	0.60	30-Apr-2013
	UBS Global Asset Management US	0.00	0.05	30-Sep-2013
	UBS Strategy Fund Management Company	0.03	0.96	30-Apr-2013
Total UBS		0.06	1.61	
UniCredit	Pioneer Asset Management SA	0.04	1.17	28-Feb-2013
	Pioneer Investment Management	0.56	16.16	30-Apr-2013
	Pioneer Investment Mgmt Ltd	0.20	5.79	28-Feb-2013
	Pioneer Investment Mgmt SGRPA	0.03	0.83	31-May-2013
Total UniCredit		0.82	23.95	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

4.3 Bond issuances

Table 16 provides an overview of the bond issuances of AngloGold Ashanti since January 2011, in which one or more of the selected financial institutions participated.

Table 16 Bond issuances of AngloGold Ashanti

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
AngloGold Ashanti Holdings PLC	25-Jul-2012	US\$ 750	617.2	01-Aug-2022	5.125	Project finance, capital expenditures, reduce indebtedness and general corporate purposes	8	Deutsche Bank	38.57 ¹⁰
AngloGold Ashanti Hlgs Finance	25-Jul-2013	US\$ 1,250	941.4	30-Jul-2020	8.500	General corporate purposes	3	Deutsche Bank	313.79 ¹¹
Total Deutsche Bank									352.37

4.4 Loans

Table 17 provides an overview of the loans secured by AngloGold Ashanti since January 2011, in which one or more of the selected financial institutions participated.

Table 17 Loans secured by AngloGold Ashanti

Source	(Est.) amount per bank (€ mln)	Selected banks	12
No. of banks	Use of proceeds		
Maturity date			
AngloGold Ashanti Ltd	24-Jul-2012	US\$ 1,000	816.7
		Total amount (€ mln)	24-Jul-2017
		Total amount (original currency mln)	Refinancing of the firm's \$1 billion four-year deal, that was due April 2014
			17
			Deutsche Bank UBS
			48.04
			48.04
			48.04
			48.04

Chapter 5 Arch Coal (United States)

5.1 Shareholders

Table 18 provides an overview of the shares of Arch Coal which were owned or managed by the selected financial institutions at the most recent filing date.

Table 18 Shareholders of Arch Coal

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas	263	0.00	0.00	31-Oct-2011 to 31-Jan-2012
Commerzbank	59,132	0.03	0.17	30-Jun-2013
Credit Suisse	335,804	0.16	1.39	31-Jul-2012 to 31-Mar-2013
Deutsche Bank	738,985	0.35	2.95	31-Mar-2013 to 30-Jun-2013
ING	1,657,758	0.78	5.23	31-Dec-2012 to 30-Jun-2013
UBS	537,320	0.25	2.25	30-Sep-2012 to 31-Mar-2013
UniCredit	842,932	0.40	3.13	30-Nov-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

5.2 Bondholders

Table 19 provides an overview of the bonds of Arch Coal which were owned or managed by the selected financial institutions at the most recent filing date.

Table 19 Bondholders of Arch Coal

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors of America	0.21	5.54	31-Jul-2013
	Allianz Global Investors Luxembourg	0.82	21.62	30-Apr-2013
	Allianz of America	0.03	0.75	31-Mar-2013
	Nicholas-Applegate Capital Management	0.35	9.05	31-Jul-2013
	PIMCO Advisors LP	4.27	111.89	31-Mar-2013
	PIMCO Funds Global Investors	2.18	57.12	31-Mar-2013
Total Allianz		7.86	205.97	
BNP Paribas	BNP Paribas Investment Partners	0.03	0.66	31-Mar-2013
	Fortis Investments	0.00	0.01	31-Dec-2012
Total BNP Paribas		0.03	0.67	
Credit Suisse	Credit Suisse AG	0.03	0.83	31-May-2013
	Credit Suisse Asset Management	0.02	0.65	31-May-2013
Total Credit Suisse		0.06	1.48	
Deutsche Bank	Deutsche Asset Management	0.04	1.13	30-Jun-2013
Total Deutsche Bank		0.04	1.13	
ING	ING Investments LLC	0.07	1.91	30-Jun-2013
	ING Investment Management Co	0.04	1.13	30-Jun-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	ING Life Insurance & Annuity Co	0.05	1.41	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.04	1.02	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.00	0.02	31-Mar-2013
	Reliastar Life Insurance Company	0.06	1.57	31-Mar-2013
Total ING		0.27	7.06	
UBS	UBS Strategy Fund Management Company	0.69	18.05	30-Apr-2013
Total UBS		0.69	18.05	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

5.3 Share issuances

Table 20 provides an overview of the share issuances of Arch Coal since January 2011, in which one or more of the selected financial institutions participated.

Table 20 Share issuances of Arch Coal

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Use of proceeds	No. of banks	Selected banks	(Est.) amount per bank (€ mln)	Source
Arch Coal Inc	02-Jun-2011	US\$ 1,296	894.2	Reduce indebtedness, general corporate purposes, future acquisitions, capital expenditures, working capital and stock repurchase.	21	Credit Suisse ING	17.88 6.26	¹³
Total Credit Suisse							17.88	
Total ING							6.26	

5.4 Bond issuances

Table 21 provides an overview of the bond issuances of Arch Coal since January 2011, in which one or more of the selected financial institutions participated.

Table 21 Bond issuances of Arch Coal

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source	(Est.) amount per bank (€ mln)
Arch Coal Inc	08-Jun-2011	US\$ 1,000	685.9	15-Jun-2019	7.000	General corporate purposes and future acquisitions	17	Credit Suisse	14.29	¹⁴
Arch Coal Inc	08-Jun-2011	US\$ 1,000	685.9	15-Jun-2021	7.250	General corporate purposes and future acquisitions	17	Credit Suisse	14.29	¹⁵
Arch Coal Inc	14-Nov-2012	US\$ 375	294.5	15-Jun-2019	9.875	General corporate purposes	20	Credit Suisse UBS	44.17 4.91	¹⁶
Total Credit Suisse									72.75	
Total UBS									4.91	

5.5 Loans

Table 22 provides an overview of the loans secured by Arch Coal since January 2011, in which one or more of the selected financial institutions participated.

Table 22 Loans secured by Arch Coal

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source	(Est.) amount per bank (€ mln)	
Arch Coal Inc	7-Jun-2011	US\$ 3,800	2,634.5	7-Jun-2012	Acquisition of international coal group	16	Credit Suisse ING	112.91 112.91	¹⁷	
Arch Coal Inc	14-Jun-2011	US\$ 2,000	1,373.6	15-Jun-2016	Acquisition	16	Credit Suisse ING	58.87 58.87	¹⁸	
Arch Coal Inc	14-Jun-2011	US\$ 350	240.4	15-Jun-2016	Acquisition and refinancing bank debt	33	Credit Suisse ING UBS	4.65 4.65 4.65	¹⁹	
Arch Coal Inc	20-Nov-2012	US\$ 250	196.4	14-May-2018	General corporate purposes	12	Credit Suisse	13.09	²⁰	
Total Credit Suisse									189.52	
Total ING									176.43	
Total UBS									4.65	

Chapter 6 Areva (France)

6.1 Shareholders

Table 23 provides an overview of the shares of Areva which were owned or managed by the selected financial institutions at the most recent filing date.

Table 23 Shareholders of Areva

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas	715	0.00	0.02	31-Oct-2011 to 31-Mar-2013
DZ Bank	381,022	0.10	4.24	31-Mar-2013
KBC	1,812	0.00	0.03	31-Dec-2011 to 31-May-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

6.2 Bondholders

Table 24 provides an overview of the bonds of Areva which were owned or managed by the selected financial institutions at the most recent filing date.

Table 24 Bondholders of Areva

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors France	0.01	0.60	31-Jan-2013
	Allianz Global Investors Italia	0.02	1.10	30-Jun-2013
	Allianz Invest Kaghmgh/Austria	0.02	1.00	30-Jun-2013
Total Allianz		0.06	2.70	
Belfius	Dexia Asset Management Luxembourg	0.08	4.00	30-Jun-2013
Total Belfius		0.08	4.00	
BNP Paribas	BNP Paribas Asset Management SAS	0.01	0.58	31-Dec-2012
	BNP Paribas Investment Partners	0.02	0.87	28-Feb-2013
	Fortis Investment Management France	0.17	8.20	28-Feb-2013
Total BNP Paribas		0.20	9.65	
Credit Suisse	Clariden Bank AG	0.01	0.50	30-Apr-2012
	Clariden Leu	0.01	0.30	31-May-2013
	Credit Suisse Asset Management	0.01	0.30	31-May-2013
Total Credit Suisse		0.02	1.10	
DekaBank	Deka International S.A.	0.01	0.50	31-Mar-2013
	Deka Investment GmbH	0.19	9.30	15-Aug-2013
Total DekaBank		0.20	9.80	
Deutsche Bank	DWS Investment S.A.	0.01	0.30	30-Apr-2013
Total Deutsche Bank		0.01	0.30	
DZ Bank	Union Investment Luxembourg S.A.	0.08	4.00	31-Mar-2013
Total DZ Bank		0.08	4.00	

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Munich Re	MEAG Munich Ergo	0.00	0.20	31-Mar-2013
Total Munich Re		0.00	0.20	
UniCredit	Pioneer Investment Management Sgrpa	0.34	16.60	28-Feb-2013
Total UniCredit		0.34	16.60	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

6.3 Bond issuances

Table 25 provides an overview of the bond issuances of Areva since January 2011, in which one or more of the selected financial institutions participated.

Table 25 Bond issuances of Areva

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Areva SA	28-Sep-2011	€ 500	500	05-Oct-2017	4.625	General corporate purposes	4	BNP Paribas	125.00 ²¹
Areva SA	08-Mar-2012	€ 400	400	05-Oct-2017	4.625	General corporate purposes	4	Deutsche Bank	100.00 ²²
Areva SA	03-Apr-2012	€ 200	200	21-Mar-2022	Indexed	General corporate purposes	2	Credit Suisse	100.00 ²³
Total BNP Paribas									125.00
Total Credit Suisse									100.00
Total Deutsche Bank									100.00

6.4 Loans

Table 26 provides an overview of the loans secured by Areva since January 2011, in which one or more of the selected financial institutions participated.

Table 26 Loans secured by Areva

Source	(Est.) amount per bank (€ mln)	Selected banks	
No. of banks	Use of proceeds		
Maturity date			
Areva SA	16-Jan-2013	€ 1,250	1,250
			16-Jan-2018
		Refinancing bank debt and general corporate purposes	
		19	BNP Paribas Credit Suisse Deutsche Bank UniCredit
			93.75 45.45 45.45 93.75
Total BNP Paribas			
Total Credit Suisse			
Total Deutsche Bank			
Total UniCredit			

Chapter 7 BAE Systems (United Kingdom)

7.1 Shareholders

Table 27 provides an overview of the shares of BAE Systems which were owned or managed by the selected financial institutions at the most recent filing date.

Table 27 Shareholders of BAE Systems

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	12,703,571	0.39	81.54	30-Nov-2011 to 30-Jun-2013
Belfius	1,211,363	0.04	5.59	31-Dec-2012 to 31-May-2013
BlackRock Germany	3,450,680	0.11	15.15	30-Jun-2013
BNP Paribas	803,151	0.02	3.43	31-May-2012 to 30-Jun-2013
Credit Suisse	3,920,629	0.12	17.66	30-Apr-2012 to 31-May-2013
DekaBank	1,066,511	0.03	4.21	30-Jun-2012 to 30-Jun-2013
Deutsche Bank	33,227,740	1.03	136.26	31-Dec-2011 to 30-Jun-2013
DZ Bank	5,277,021	0.16	22.67	20-Dec-2012 to 31-Mar-2013
ING	865,317	0.03	3.90	31-Dec-2012 to 31-Mar-2013
KBC	753,754	0.02	3.44	31-Dec-2012 to 31-May-2013
UBS	13,825,137	0.43	63.86	31-Dec-2011 to 03-Jul-2013
UniCredit	348,005	0.01	1.49	30-Jun-2012 to 30-Apr-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

7.2 Bondholders

Table 28 provides an overview of the bonds of BAE Systems which were owned or managed by the selected financial institutions at the most recent filing date.

Table 28 Bondholders of BAE Systems

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Life Insurance Company of North America	2.32	83.40	31-Mar-2013
	Allianz Life Insurance Company of New York	0.03	1.13	31-Mar-2013
Total Allianz		2.35	84.53	
Credit Suisse	Credit Suisse Fund Services Lux	0.04	1.29	31-May-2013
Total Credit Suisse		0.04	1.29	
Deutsche Bank	Deutsche Asset Management	0.10	3.77	30-Apr-2013
	DWS Investment GmbH	0.01	0.21	31-May-2012
Total Deutsche Bank		0.11	3.98	
ING	ING Investments LLC	0.02	0.58	30-Jun-2013
Total ING		0.02	0.58	
UBS	UBS Focused Fund Management Company	0.03	1.09	30-Apr-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	UBS Strategy Fund Management Company	0.05	1.70	30-Apr-2013
Total UBS		0.08	2.79	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

7.3 Bond issuances

Table 29 provides an overview of the bond issuances of BAE Systems since January 2011, in which one or more of the selected financial institutions participated.

Table 29 Bond issuances of BAE Systems

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
BAE Systems PLC	05-Oct-2011	US\$ 400	299.6	11-Oct-1941	5.800	General corporate purposes and reduce indebtedness	5	Deutsche Bank Credit Suisse	59.93 59.93 ²⁵
BAE Systems PLC	05-Oct-2011	US\$ 500	374.6	11-Oct-2021	4.750	General corporate purposes and reduce indebtedness	5	Deutsche Bank Credit Suisse	74.91 74.91 ²⁶
BAE Systems PLC	05-Oct-2011	US\$ 350	262.2	11-Oct-2016	3.500	General corporate purposes and reduce indebtedness	5	Deutsche Bank Credit Suisse	52.44 52.44 ²⁷
BAE Systems PLC	30-May-2012	£ 400	500.5	08-Jun-2022	4.125	General corporate purposes	4	BNP Paribas	125.13 ²⁸
Total BNP Paribas									125.13
Total Credit Suisse									187.28
Total Deutsche Bank									187.28

Chapter 8 Barrick Gold (Canada)

8.1 Shareholders

Table 30 provides an overview of the shares of Barrick Gold which were owned or managed by the selected financial institutions at the most recent filing date.

Table 30 Shareholders of Barrick Gold

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	21,566,207	2.15	334.70	30-Sep-2012 to 30-Jun-2013
Belfius	8,031	0.00	0.17	31-Dec-2011 to 31-May-2013
BNP Paribas	447,281	0.04	10.03	31-Oct-2011 to 30-Jun-2013
Commerzbank	1,475,441	0.15	17.56	30-Jun-2013
Credit Suisse	806,141	0.08	14.84	30-Apr-2012 to 31-May-2013
DekaBank	1,266,704	0.13	29.99	30-Jun-2012 to 31-Mar-2013
Deutsche Bank	5,857,427	0.59	106.69	29-Feb-2012 to 30-Jun-2013
DZ Bank	895,940	0.09	19.98	30-Sep-2012 to 31-Mar-2013
ING	2,579,954	0.26	46.51	31-Jul-2012 to 30-Jun-2013
KBC	46,354	0.00	0.80	31-Dec-2011 to 31-May-2013
Munich Re	6,000	0.00	0.14	31-Mar-2013
UBS	3,198,629	0.32	66.36	30-Nov-2012 to 30-Apr-2013
UniCredit	566,122	0.06	10.56	30-Nov-2012 to 30-Apr-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

8.2 Bondholders

Table 31 provides an overview of the bonds of Barrick Gold which were owned or managed by the selected financial institutions at the most recent filing date.

Table 31 Bondholders of Barrick Gold

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Risks US Ins Co	0.03	3.02	31-Mar-2013
	Allianz Life Insurance Company of North America	1.25	138.95	31-Mar-2013
	Allianz Life Insurance Company of New York	0.01	1.36	31-Mar-2013
	Allianz of America	0.00	0.49	31-Mar-2013
	PIMCO Advisors LP	0.46	50.58	31-Mar-2013
	PIMCO Funds Global Investors	0.06	6.71	31-Mar-2013
Total Allianz		1.82	201.11	
Credit Suisse	Credit Suisse Asset Management	0.01	1.55	31-May-2013
	Credit Suisse Fund Services Lux	0.03	3.56	31-May-2013
Total Credit Suisse		0.05	5.11	

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Deutsche Bank	Deutsche Asset Management DWS Investment S.A.	0.02 0.02	1.94 2.20	30-Jun-2013 30-Apr-2013
Total Deutsche Bank		0.04	4.14	
ING	ING International Advisory SA	0.00	0.31	31-Mar-2013
	ING Investments LLC	0.11	12.24	30-Jun-2013
	ING Investment Management Co	0.00	0.29	30-Jun-2013
	ING Investment Management NV	0.01	0.69	30-Jun-2013
	ING Life Insurance & Annuity Co	0.41	45.04	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.20	22.63	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.07	7.54	31-Mar-2013
	Reliastar Life Insurance Company	0.11	12.45	31-Mar-2013
Total ING		0.91	101.19	
Munich Re	Munich American Life Reinsurance Company	0.00	0.49	31-Mar-2013
	Munich American Reassurance Company	0.03	3.77	31-Mar-2013
	Munich Reinsurance America Inc	0.15	16.60	31-Mar-2013
Total Munich Re		0.19	20.86	
UBS	UBS Focused Fund Management Company	0.02	2.45	30-Apr-2013
	UBS Global Asset Management	0.02	2.45	30-Sep-2012
	UBS Strategy Fund Management Company	0.03	3.04	30-Apr-2013
Total UBS		0.07	7.94	
UniCredit	Pioneer Investment Management	0.00	0.38	31-May-2013
Total UniCredit		0.00	0.38	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

8.3 Bond issuances

Table 32 provides an overview of the bond issuances of Barrick Gold since January 2011, in which one or more of the selected financial institutions participated.

Table 32 Bond issuances of Barrick Gold

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Barrick Gold Corp	29-Mar-2012	US\$ 1,250.00	939.75	01-Apr-2022	3.850	Reduce indebtedness, acquisition finance, mining exploration	16	BNP Paribas Deutsche Bank UBS	19.58 19.58 19.58 ²⁹

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Barrick Gold Corp	29-Mar-2012	US\$ 750	563.9	01-Apr-2042	5.250	Reduce indebtedness, acquisition finance, mining exploration	16	BNP Paribas Deutsche Bank UBS	11.75 11.75 11.75
Barrick Gold Corp	29-Apr-2013	US\$ 650	496.2	01-May-2018	2.500	Refinancing bank debt and general corporate purposes	14	BNP Paribas Deutsche Bank UBS	12.41 12.41 12.41
Barrick Gold Corp	29-Apr-2013	US\$ 1,500	1,145.1	01-May-2023	4.100	Refinancing bank debt and general corporate purposes	14	BNP Paribas Deutsche Bank UBS	28.63 28.63 28.63
Total BNP Paribas									72.36
Total Deutsche Bank									72.36
Total UBS									72.36

8.4 Loans

Table 33 provides an overview of the loans secured by Barrick Gold since January 2011, in which one or more of the selected financial institutions participated.

Table 33 Loans secured by Barrick Gold

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Barrick Gold Corp	29-Apr-2011	US\$ 5,000	3,401.5	20-May-2016	Financing acquisition of Equinox Minerals Ltd	21	BNP Paribas ING UBS	107.42 107.42 107.42
African Barrick Gold PLC	23-Nov-2011	US\$ 150	110.5	23-Nov-2014	General corporate purposes	8	BNP Paribas Deutsche Bank	9.47 9.47
Barrick Gold Corp	4-Jan-2012	US\$ 4,000	3,075.2	4-Jan-2017	Refinancing bank debt and general corporate purposes	20	BNP Paribas Deutsche Bank UBS	108.54 108.54 108.54
Total BNP Paribas								225.42
Total Deutsche Bank								118.00
Total ING								107.42
Total UBS								215.95

Chapter 9 Bolloré Group (France)

9.1 Shareholders

Table 34 provides an overview of the shares of the Bolloré Group which were owned or managed by the selected financial institutions at the most recent filing date.

Table 34 Shareholders of the Bolloré Group

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
BlackRock Germany	6,626	0.02	2.07	30-Jun-2013
BNP Paribas	764	0.00	0.23	31-Mar-2013 to 30-Jun-2013
Credit Suisse	3,327	0.01	0.97	31-Mar-2013
DekaBank	2,200	0.01	0.57	31-Dec-2012
Deutsche Bank	943	0.00	0.30	30-Jun-2013
KBC	65	0.00	0.02	31-May-2013
UBS	12,782	0.05	3.70	30-Nov-2012 to 28-Feb-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

9.2 Bond issuances

Table 35 provides an overview of the bond issuances of Bolloré Group since January 2011, in which one or more of the selected financial institutions participated.

Table 35 Bond issuances of Bolloré Group

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount (€ mln)
Bolloré Group	18-May-2011	€ 350	350	26-May-2016	5.375	General corporate purposes	4	ING	87.50 ³⁶
Total ING									87.50

9.3 Loans

Table 36 provides an overview of the loans secured by Bolloré Group since January 2011, in which one or more of the selected financial institutions participated.

Table 36 Loans secured by Bolloré Group

Source	(Est.) amount per bank (€ mln)	Selected banks				
No. of banks	Use of proceeds	Maturity date	Total amount (€ mln)	Total amount (original currency mln)	Issue Date	Company
1	Refinancing bank debt and general corporate purposes	1-Jan-2016	€ 200	200	23-Nov-2011	Bolloré Group
14	Refinancing bank debt and general corporate purposes	30-Apr-2017	€ 1,000	1,000	30-Apr-2012	Bolloré Group
					Total BNP Paribas	280.00
					Total ING	66.67

Chapter 10 Chevron (United States)

10.1 Shareholders

Table 37 provides an overview of the shares of Chevron which were owned or managed by the selected financial institutions at the most recent filing date.

Table 37 Shareholders of Chevron

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	2,889,158	0.15	256.88	30-Jun-2012 to 30-Jun-2013
Argenta	6,570	0.00	0.54	31-Dec-2012
Belfius	196,842	0.01	17.96	31-Dec-2011 to 30-Jun-2013
BlackRock Germany	189,246	0.01	16.89	30-Jun-2013
BNP Paribas	2,105,003	0.11	185.78	31-Dec-2011 to 30-Jun-2013
Commerzbank	1,124,785	0.06	100.34	30-Jun-2013
Credit Suisse	8,423,283	0.43	756.97	31-Dec-2011 to 30-Jun-2013
DekaBank	952,219	0.05	82.43	30-Jun-2012 to 30-Jun-2013
Deutsche Bank	5,519,879	0.28	489.06	31-Dec-2011 to 30-Jun-2013
DZ Bank	1,959,581	0.10	175.51	31-Mar-2013
ING	2,948,727	0.15	264.07	31-Dec-2012 to 30-Jun-2013
KBC	386,424	0.02	35.47	31-Dec-2011 to 31-May-2013
Munich Re	33,342	0.00	2.98	30-Jun-2013
UBS	5,339,721	0.28	480.13	31-Mar-2013 to 30-Jun-2013
UniCredit	3,407,678	0.18	310.60	30-Jun-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

10.2 Bondholders

Table 38 provides an overview of the bonds of Chevron which were owned or managed by the selected financial institutions at the most recent filing date.

Table 38 Bondholders of Chevron

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Life Insurance Company of North America	0.25	23.01	31-Mar-2013
	Allianz Life Insurance Company of New York	0.02	1.51	31-Mar-2013
	Euler Hermes North America Credit Insurance	0.02	1.51	31-Mar-2013
	Pacific Investment Management	0.00	0.40	31-Mar-2013
Total Allianz		0.29	26.43	
Credit Suisse	Credit Suisse Asset Management	0.00	0.04	31-May-2013
	Credit Suisse Fund Services Lux	0.03	2.26	31-May-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	Credit Suisse SICAV II	0.00	0.34	31-May-2013
Total Credit Suisse		0.03	2.64	
Deutsche Bank	Deutsche Asset Management DWS Investment S.A.	0.00 0.02	0.08 1.51	30-Jun-2013 30-Apr-2013
Total Deutsche Bank		0.02	1.59	
ING	ING Investments LLC ING Investment Management NV ING Life Insurance & Annuity Co Reliastar Life Insurance Company	0.02 0.01 0.12 0.05	1.94 1.18 10.90 4.53	30-Jun-2013 30-Jun-2013 31-Mar-2013 31-Mar-2013
Total ING		0.21	18.55	
Munich Re	Munich American Life Reinsurance Company Munich American Reassurance Company	0.04 0.05	3.32 4.23	31-Mar-2013 31-Mar-2013
Total Munich Re		0.08	7.55	
UBS	UBS Focused Fund Management Company UBS Fund Management Switzerland UBS Fund Management Luxembourg UBS Global Asset Management UK UBS Strategy Fund Management Company	0.05 0.00 0.02 0.01 0.01	4.83 0.38 1.96 0.55 1.22	30-Apr-2013 31-Mar-2013 30-Apr-2013 31-Jan-2013 30-Apr-2013
Total UBS		0.10	8.94	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

10.3 Bond issuances

Table 39 provides an overview of the bond issuances of Chevron since January 2011, in which one or more of the selected financial institutions participated.

Table 39 Bond issuances of Chevron

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Chevron Phillips Chemical Co	13-Jan-2011	US\$ 300	224.6	01-Feb-2021	4.750	General corporate purposes and reduce indebtedness	8	ING	9.36 ³⁹
Chevron Corp	28-Nov-2012	US\$ 2,000	1,544.0	05-Dec-2017	1.104	General corporate purposes	20	BNP Paribas Deutsche Bank	61.76 30.88 ⁴⁰
Chevron Corp	28-Nov-2012	US\$ 2,000	1,544.0	05-Dec-2022	2.355	General corporate purposes	20	BNP Paribas Deutsche Bank	61.76 30.88 ⁴¹

Source	(Est.) amount per bank (€ mln)
Selected banks	123.52
No. of banks	61.76
Use of proceeds	
Coupon (%)	
Maturity date	
Total amount (€ mln)	
Total amount (original currency mln)	
Issue Date	
Company	

Total BNP Paribas
Total Deutsche Bank
Total ING

10.4 Loans

Table 40 provides an overview of the loans secured by Chevron since January 2011, in which one or more of the selected financial institutions participated.

Table 40 Loans secured by Chevron

Source	(Est.) amount per bank (€ mln)	No. of banks	Use of proceeds	Maturity date	Total amount (€ mln)	Total amount (original currency mln)	Issue Date	Company
Selected banks	15.02	14	ING	14-Nov-17	Refinancing bank debt	250.4	US\$ 320.0	Chevron Phillips Chemical Co
Total ING	15.02							

Chapter 11 Eurasian Natural Resources United Kingdom)

11.1 Shareholders

Table 41 provides an overview of the shares of Eurasian Natural Resources (ENRC) which were owned or managed by the selected financial institutions at the most recent filing date.

Table 41 Shareholders of ENRC

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	3,393,101	0.26	12.44	31-Oct-2012 to 30-Apr-2013
Argenta	16,560	0.00	0.04	30-Jun-2013
BlackRock Germany	13,580	0.00	0.03	30-Jun-2013
BNP Paribas	26,187	0.00	0.08	31-May-2012 to 30-Apr-2013
Credit Suisse	235,911	0.02	0.70	30-Sep-2012 to 31-May-2013
Deutsche Bank	3,535,286	0.27	11.51	30-Nov-2012 to 01-Jul-2013
ING	2,501,110	0.19	7.36	31-Jul-2012 to 31-May-2013
KBC	8,828	0.00	0.02	31-May-2013
PKO Bank Polski	55,607	0.00	0.27	30-Jun-2012
UBS	217,870	0.02	0.72	31-Dec-2011 to 30-Apr-2013
UniCredit	5,797	0.00	0.02	30-Jun-2012 to 30-Apr-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

11.2 Loans

Table 42 provides an overview of the loans secured by ENRC (Eurasian Natural Resources) since January 2011, in which one or more of the selected financial institutions participated.

Table 42 Loans secured by ENRC (Eurasian Natural Resources)

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	(Est.) amount per bank (€ mln)	Source
Eurasian Natural Resources	18-Mar-2011	US\$ 500	357.1	18-Mar-2012	General corporate purposes	12	Deutsche Bank ING UniCredit	29.76 29.76 29.76	
Eurasian Natural Resources	16-Feb-2012	US\$ 467	355.1	16-Feb-2013	Refinancing bank debt and general corporate purposes	10	ING UniCredit	35.51 35.51	⁴³
Eurasian Natural Resources	18-Jan-2013	US\$ 500	374.9	15-Feb-2014	Refinancing bank debt and general corporate purposes	10	Credit Suisse Deutsche Bank UniCredit	37.49 37.49 37.49	⁴⁴
Total Credit Suisse								37.49	
Total Deutsche Bank								67.25	

Source	(Est.) amount per bank (€ mln)
Selected banks	65.27
No. of banks	102.76
Use of proceeds	
Maturity date	
Total amount (€ mln)	
Total amount (original currency mln)	
Issue Date	
Company	
Total ING	
Total UniCredit	

Chapter 12 Gazprom (Russia)

12.1 Shareholders

Table 43 provides an overview of the shares of Gazprom which were owned or managed by the selected financial institutions at the most recent filing date.

Table 43 Shareholders of Gazprom

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	5,971,910	0.03	39.48	31-Jan-2012 to 30-Jun-2013
Belfius	1,152,000	0.00	2.89	30-Jun-2013
BlackRock Germany	82,390	0.00	0.41	30-Jun-2013
BNP Paribas	29,181,335	0.18	145.95	12-Mar-2012 to 30-Jun-2013
Credit Suisse	20,158,153	0.15	128.68	30-Sep-2012 to 30-Jun-2013
DekaBank	10,828,324	0.06	50.95	20-Aug-2012 to 31-Dec-2012
Deutsche Bank	54,421,598	0.28	192.28	31-Dec-2011 to 30-Jun-2013
DZ Bank	6,132,200	0.04	40.03	30-Sep-2012 to 31-Mar-2013
ING	10,492,671	0.05	55.85	31-Oct-2011 to 30-Jun-2013
KBC	2,637,401	0.01	15.03	31-Dec-2011 to 31-May-2013
Munich Re	98,000	0.00	0.63	31-Mar-2013
PKO Bank Polski	591,380	0.00	4.21	30-Jun-2012
UBS	4,427,470	0.03	27.58	30-Jun-2012 to 30-Apr-2013
UniCredit	8,882,819	0.06	52.33	31-May-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 44 provides an overview of the shares of Gazprom's separately listed subsidiary Gazprom Neft which were owned or managed by the selected financial institutions at the most recent filing date.

Table 44 Shareholders of Gazprom Neft

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	28,499	0.00	0.42	31-May-2013
BNP Paribas	3,410,447	0.07	57.45	28-Feb-2013 to 31-Mar-2013
Credit Suisse	126,300	0.00	1.84	31-May-2013
DZ Bank	312,100	0.01	4.98	31-Mar-2013
ING	63,000	0.00	0.85	30-Jun-2013
Munich Re	2,500	0.00	0.04	31-Mar-2013
UniCredit	87,782	0.00	1.27	31-May-2013 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

12.2 Bondholders

Table 45 provides an overview of the bonds of Gazprom which were owned or managed by the selected financial institutions at the most recent filing date.

Table 45 Bondholders of Gazprom

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors France	0.00	1.00	31-Jan-2013
	Allianz Global Investors Italia	0.01	1.70	30-Jun-2013
	Allianz Global Investors KAG MB	0.04	10.88	30-Apr-2013
	Allianz Global Investors Luxembourg	0.17	41.25	30-Apr-2013
	Allianz Invest Kaghmgh/Austria	0.04	9.56	30-Jun-2013
	Allianz Investments OJSC	0.00	0.24	31-Dec-2011
	PIMCO Advisors LP	2.66	650.91	31-Mar-2013
	PIMCO Funds Global Investors	1.43	348.55	31-Mar-2013
Total Allianz		4.35	1,064.09	
Belfius	Dexia Asset Management Luxembourg	0.01	1.90	30-Jun-2013
Total Belfius		0.01	1.90	
BNP Paribas	BNP Paribas Investment Partners	0.03	6.25	28-Feb-2013
	TKB BNP Paribas	0.00	0.02	31-Mar-2013
Total BNP Paribas		0.03	6.27	
Credit Suisse	Clariden Bank AG	0.00	0.50	30-Apr-2012
	Credit Suisse AG	0.02	4.05	31-May-2013
	Credit Suisse Asset Management	0.01	3.05	31-May-2013
	Credit Suisse Asset Management Sim SP	0.00	0.20	31-May-2013
	Credit Suisse Deutschland AG	0.00	0.10	31-May-2013
	Credit Suisse Fund Services Lux	0.03	7.34	31-May-2013
Total Credit Suisse		0.06	15.24	
DekaBank	Deka International S.A.	0.04	9.75	31-Mar-2013
	Deka Investment GmbH	0.10	23.43	15-Aug-2013
Total DekaBank		0.14	33.18	
Deutsche Bank	Deutsche Asset Management	0.04	9.63	30-Apr-2013
	DWS Investment GmbH	0.15	37.21	30-Apr-2013
	DWS Investment S.A.	0.23	56.42	30-Apr-2013
	DWS Investments Schweiz	0.00	1.17	30-Apr-2013
	Oppenheim Pramerica Asset Management	0.00	0.05	31-Jan-2013
Total Deutsche Bank		0.43	104.48	
DZ Bank	Union Investment GmbH	0.08	20.73	31-Mar-2013
	Union Investment Luxembourg S.A.	0.10	25.60	31-Mar-2013
Total DZ Bank		0.19	46.33	
ING	ING Asset Management BV	0.00	0.54	30-Jun-2011
	ING International Advisory SA	0.00	1.13	31-May-2013
	ING Investments LLC	0.01	2.92	30-Jun-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	ING Investment Management NV	0.01	2.85	30-Jun-2013
	ING Life Insurance & Annuity Company	0.03	6.87	31-Mar-2013
	ING Mutual Funds Management Company	0.00	0.83	30-Jun-2013
	ING USA Annuity and Life Insurance Company	0.01	3.39	31-Mar-2013
Total ING		0.08	18.53	
KBC	KBC Bank Luxembourg SA	0.07	18.00	30-Apr-2013
	KBC Fund Managers	0.04	9.83	30-Apr-2013
Total KBC		0.11	27.83	
Munich Re	MEAG Munich Ergo	0.04	10.05	31-Mar-2013
Total Munich Re		0.04	10.05	
UBS	UBS Fund Management Luxembourg	0.02	5.30	30-Apr-2013
	UBS Global Asset Management US	0.00	0.59	30-Sep-2012
	UBS Global Asset Management UK	0.00	0.70	31-Jan-2013
	UBS Institutional Fund Management Company	0.00	0.70	30-Apr-2013
	UBS Strategy Fund Management Company	0.02	5.04	30-Apr-2013
Total UBS		0.05	12.33	
UniCredit	Pioneer Asset Management SA	0.01	1.54	31-Mar-2013
	Pioneer Investment Management	0.00	0.15	31-May-2013
	Pioneer Investment Management Sgrpa	0.00	0.53	31-May-2013
	Pioneer Investments Austria Gmb	0.00	1.00	31-May-2011
Total UniCredit		0.01	3.22	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

12.3 Bond issuances

Table 46 provides an overview of the bond issuances of Gazprom since January 2011, in which one or more of the selected financial institutions participated.

Table 46 Bond issuances of Gazprom

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Gazprom	16-Nov-2011	US\$ 1,000	743.0	23-May-2016	4.950	General corporate purposes	3	BNP Paribas	247.67 ⁴⁵
Gazprom	16-Nov-2011	US\$ 600	445.8	23-Jan-2021	5.999	General corporate purposes	3	BNP Paribas	148.60 ⁴⁶
Gaz Capital	30-	US\$	663.2	06-	4.950	General	4	BNP Paribas	165.80 ⁴⁷

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source	(Est.) amount per bank (€ mln)
SA	Jan-2013	900		Feb-2028		corporate purposes				
Gaz Capital SA	30-Jan-2013	US\$ 800	589.5	06-Feb-2020	3.850	General corporate purposes	4	BNP Paribas	147.38	⁴⁸
Gazprom Neft'	18-Apr-2013	€ 750	750.0	26-Apr-2018	2.933	General corporate purposes	4	BNP Paribas	187.50	⁴⁹
Gazprom	17-Jul-2013	€ 900	900.0	25-Jul-2018	3.700	General corporate purposes	4	Deutsche Bank	225.00	⁵⁰
Total BNP Paribas									896.95	
Total Deutsche Bank									225.00	

12.4 Loans

Table 47 provides an overview of the loans secured by Gazprom since January 2011, in which one or more of the selected financial institutions participated.

Table 47 Loans secured by Gazprom

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source	(Est.) amount per bank (€ mln)
Gazprom Neft'	22-Feb-2011	US\$ 1,500	1,105.5	22-Feb-2016	Export/Import Finance, Linked-Trade and Capital Expenditures	11	ING UniCredit	51	82.91 82.91
OAO Gazprom-Yuzhno-Russkoye	16-Mar-2011	€ 1,527.3	1,527.3	31-Dec-2018	To fund the Yuzhno-Russkoye oil-gas-condensate field project	13	BNP Paribas DZ Bank ING UniCredit	52	117.49 117.49 117.49 117.49
Gazprom Germania GmbH	22-Mar-2011	€ 500	500.0	22-Mar-2016	General corporate purposes	9	Commerzbank Deutsche Bank ING KfW UniCredit	53	100 42.86 42.86 42.86 100
Gazprom Neft'	29-Apr-2011	US\$ 600	408.2	29-Apr-2016	Refinancing bank debt and general corporate purposes	6	ING	54	68.03

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	(Est.) amount per bank (€ mln)	Source
Gazprom neftekhim Salavat	2-Jun-2011	US\$ 500	346.7	2-Jun-2014	Export/Import Finance, Linked-Trade and Capital Expenditures	8	BNP Paribas Commerzbank ING UniCredit	29.71 29.71 138.66 29.71	⁵⁵
Gazprom Marketing & Trading	28-Jul-2011	US\$ 500	347.5	28-Jul-2012	Refinancing and general corporate purposes	27	BNP Paribas Commerzbank Deutsche Bank DZ Bank ING UBS UniCredit	12.87 12.87 12.87 12.87 12.87 12.87 12.87	⁵⁶
Gazprom Germania GmbH	23-Sep-2011	US\$ 175	128.5	23-Sep-2012	General corporate purposes	13	BNP Paribas Commerzbank Deutsche Bank ING UniCredit	25.70 7.01 25.70 7.01 7.01	⁵⁷
Gazprom Neft'	27-Oct-2011	US\$ 270	192.9	27-Oct-2016	Refinancing bank debt	7	ING	27.56	⁵⁸
Gazprom Marketing & Trading	28-Oct-2011	US\$ 300	214.4	28-Oct-2012	General corporate purposes	5	BNP Paribas ING	42.88 42.88	⁵⁹
Gazprom	29-Nov-2011	US\$ 800	596.7	29-Nov-2016	General corporate purposes	4	UniCredit	149.18	⁶⁰
Gazprom neftekhim Salavat	27-Dec-2011	€ 9.9	9.9	27-Dec-2023	Construction of an automated unit for loading of railway cars	1	ING	9.90	⁶¹
Gazprom neftekhim Salavat	13-Jan-2012	€ 74.7	74.7	13-Jan-2024	Financing supplies from Siemens	1	ING	74.70	⁶²
Gazprom neftekhim Salavat	29-Feb-2012	€ 16.5	16.5	28-Feb-2021	General corporate purposes	1	ING	16.48	⁶³
Gazprom Marketing & Trading	8-May-2012	US\$ 600	455.0	26-Jul-2013	Refinancing bank debt and general corporate purposes	30	BNP Paribas Commerzbank Deutsche Bank DZ Bank ING UBS UniCredit	15.17 15.17 15.17 15.17 15.17 15.17 15.17	⁶⁴
Gazprom Marketing & Trading	20-Sep-2012	US\$ 150	115.0	26-Sep-2013	Working capital	7	BNP Paribas	19.16	⁶⁵
Gazprom neftekhim Salavat	18-Oct-2012	US\$ 375	287.9	30-Apr-2016	Refinancing of existing short term debt and capital expenditure	7	Commerzbank ING	41.12 41.12	⁶⁶

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Gazprom Marketing & Trading	26-Oct-2012	US\$ 225	173.3	26-Oct-2013	Refinancing and general corporate purposes	6	BNP Paribas ING	28.88 28.88
Gazprom Neft'	19-Apr-2013	US\$ 700	534.9	19-Apr-2018	Refinancing bank debt and general corporate purposes	10	Commerzbank BNP Paribas UniCredit	53.49 53.49 53.49
Gazprom Neft'	19-Apr-2013	US\$ 300	229.3	19-Apr-2016	Refinancing bank debt and general corporate purposes	10	Commerzbank BNP Paribas UniCredit	22.93 22.93 22.93
Total BNP Paribas								368.27
Total Commerzbank								282.30
Total Deutsche Bank								96.59
Total DZ Bank								145.52
Total ING								726.51
Total KfW								42.86
Total UBS								28.04
Total UniCredit								590.76

Chapter 13 Glencore Xstrata (Switzerland)

13.1 Shareholders

Table 48 provides an overview of the shares of Glencore Xstrata which were owned or managed by the selected financial institutions at the most recent filing date.

Table 48 Shareholders of Glencore Xstrata

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	6,100,704	0.05	24.34	31-May-2012 to 31-May-2013
Argenta	451,246	0.00	1.41	30-Jun-2013
Belfius	354,629	0.00	1.34	31-Dec-2012 to 31-May-2013
BlackRock Germany	10,918,291	0.08	34.06	30-Jun-2013
BNP Paribas	870,129	0.01	3.22	22-May-2012 to 30-Jun-2013
Credit Suisse	8,504,204	0.06	32.38	31-Jul-2012 to 31-May-2013
DekaBank	367,934	0.00	1.33	31-Dec-2012 to 30-Jun-2013
Deutsche Bank	12,902,898	0.10	41.58	31-Dec-2011 to 30-Jun-2013
DZ Bank	550,000	0.00	2.25	31-Mar-2013
ING	2,600,290	0.02	10.00	31-Dec-2012 to 31-May-2013
KBC	1,072,764	0.01	3.96	31-May-2013
UBS	3,219,304	0.02	11.53	31-Dec-2011 to 30-Jun-2013
UniCredit	552,545	0.00	1.94	30-Jun-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

13.2 Bondholders

Table 49 provides an overview of the bonds of Glencore Xstrata which were owned or managed by the selected financial institutions at the most recent filing date.

Table 49 Bondholders of Glencore Xstrata

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors France	0.01	2.08	31-Jan-2013
	Allianz Global Investors KAG MB	0.01	1.70	30-Apr-2013
	Allianz Global Investors Luxembourg	0.04	9.37	30-Apr-2013
	Allianz Global Investors UK Ltd	0.00	0.18	31-Mar-2013
	Allianz Global Risks US Insurance Company	0.01	3.02	31-Mar-2013
	Allianz Invest Kagmgh/Austria	0.00	0.85	30-Jun-2013
	Allianz Life Insurance Company of North America	0.11	27.50	31-Mar-2013
	Allianz Life Insurance Company of New York	0.00	0.75	31-Mar-2013
	Allianz of America	0.00	1.03	31-Mar-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	PIMCO Advisors LP	0.10	24.28	31-Mar-2013
	PIMCO Funds Global Investors	0.22	53.87	31-Mar-2013
Total Allianz		0.50	124.63	
Belfius	Dexia Asset Management	0.00	0.73	30-Jun-2013
	Dexia Asset Management Luxembourg	0.04	9.48	30-Jun-2013
Total Belfius		0.04	10.21	
BNP Paribas	BNP Paribas Asset Management SG	0.02	4.00	31-Dec-2012
	BNP Paribas Investment Partners	0.04	9.70	31-Mar-2013
	Fortis Investment Management France	0.00	0.91	28-Feb-2013
Total BNP Paribas		0.06	14.61	
Credit Suisse	Clariden Bank AG	0.01	1.25	30-Apr-2012
	Clariden Leu Ltd	0.00	0.83	31-Dec-2012
	Credit Suisse AG	0.01	2.61	31-May-2013
	Credit Suisse Asset Management	0.08	20.15	31-May-2013
	Credit Suisse Deutschland AG	0.00	0.25	31-May-2013
	Credit Suisse Fund Services Lux	0.09	23.59	31-May-2013
	Credit Suisse SICAV II	0.00	0.50	31-May-2013
	CS Asset Management Switzerland	0.01	1.50	31-May-2012
Total Credit Suisse		0.20	50.68	
DekaBank	Deka International S.A.	0.02	4.91	31-Mar-2013
	Deka Investment GmbH	0.12	29.83	31-Dec-2012
Total DekaBank		0.14	34.74	
Deutsche Bank	Deutsche Asset Management	0.05	13.15	30-Apr-2013
	DWS Investment GmbH	0.03	8.70	30-Apr-2013
	DWS Investment S.A.	0.13	32.30	30-Apr-2013
	Oppenheim Kapitalanlage GmbH	0.01	2.37	31-Jan-2013
Total Deutsche Bank		0.23	56.52	
DZ Bank	IPConcept Fund Management	0.01	1.60	30-Jun-2013
	Union Investment GmbH	0.03	7.95	31-Mar-2013
	Union Investment Luxembourg S.A.	0.16	39.44	31-Mar-2013
Total DZ Bank		0.20	48.99	
ING	ING Asset Management	0.01	2.18	31-Mar-2013
	ING Fund Management B.V.	0.00	0.35	31-Oct-2011
	ING International Advisory SA	0.08	19.93	31-Mar-2013
	ING Investments LLC	0.06	13.90	30-Jun-2013
	ING Investment Management Company	0.00	0.20	30-Jun-2013
	ING Investment Management NV	0.02	5.18	30-Jun-2013
	ING Life Insurance & Annuity Company	0.18	44.04	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.05	12.07	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.03	8.30	31-Mar-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	Reliastar Life Insurance Company	0.07	16.80	31-Mar-2013
Total ING		0.49	122.95	
KBC	KBC Bank Luxembourg SA	0.05	12.86	30-Apr-2013
	KBC Fund Managers	0.02	6.20	30-Apr-2013
Total KBC		0.08	19.06	
Munich Re	MEAG Munich Ergo	0.00	0.40	31-Mar-2013
Total Munich Re		0.00	0.40	
UBS	UBS Focused Fund Management Company	0.02	5.73	30-Apr-2013
	UBS Fund Management Luxembourg	0.04	9.00	30-Apr-2013
	UBS Fund Management Switzerland	0.06	15.11	31-Mar-2013
	UBS Global Asset Management	0.10	26.03	31-May-2013
	UBS Institutional Fund Management Company	0.01	2.87	30-Apr-2013
	UBS Strategy Fund Management Company	0.09	23.38	30-Apr-2013
Total UBS		0.33	82.12	
UniCredit	Pioneer Asset Management SA	0.00	0.75	31-Mar-2013
	Pioneer Investment Management	0.07	17.29	30-Apr-2013
	Pioneer Investment Management Ltd	0.04	9.45	28-Feb-2013
	Pioneer Investment Management Sgrpa	0.01	1.55	28-Feb-2013
	Pioneer Mutual Life Insurance Company	0.00	0.38	31-Mar-2013
Total Unicredit		0.12	29.42	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

13.3 Share issuances

Table 50 provides an overview of the share issuances of Glencore Xstrata since January 2011, in which one or more of the selected financial institutions participated.

Table 50 Share issuances of Glencore Xstrata

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Use of proceeds	No. of banks	Selected banks	(Est.) amount per bank (€ mln)	Source
Glencore International PLC	19-May-2011	£ 6,027.9	6,834.8	Reduce indebtedness, general corporate purposes, future acquisitions and capital expenditures	23	BNP Paribas Commerzbank Credit Suisse ING UBS	1,025.22 94.93 1,025.22 94.93 94.93	⁷⁰
Glencore International PLC	19-May-2011	HKD 2,079.1	186.9	Reduce indebtedness, general corporate purposes, future acquisitions and capital expenditures	24	BNP Paribas Commerzbank Credit Suisse ING UBS	28.04 2.46 28.04 2.46 2.46	⁷¹

Source	(Est.) amount per bank (€ mln)
Selected banks	No. of banks
Use of proceeds	
Total amount (€ mln)	
Total amount (original currency mln)	
Issue Date	Company
	Total BNP Paribas
	Total Commerzbank
	Total Credit Suisse
	Total ING
	Total UBS

13.4 Bond issuances

Table 51 provides an overview of the bond issuances of Glencore Xstrata since January 2011, in which one or more of the selected financial institutions participated.

Table 51 Bond issuances of Glencore Xstrata

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source	(Est.) amount per bank (€ mln)
Glencore Finance (Europe) SA	18-Jan-2011	CHF 225	174.4	06-Apr-2016	3.625	General corporate purposes	2	UBS	⁷²	87.21
Glencore Finance (Europe) SA	08-Sep-2011	US\$ 800	576.2	17-Dec-2014	50	General corporate purposes	2	Credit Suisse	⁷³	288.12
Glencore Finance (Europe) SA	27-Mar-2012	€ 1,250	1,250	03-Apr-2018	4.125	General corporate purposes	4	BNP Paribas ING	⁷⁴	312.50 312.50
Glencore Finance (Europe) SA	27-Mar-2012	£ 300	359.2	03-Apr-2022	5.500	General corporate purposes	4	BNP Paribas ING	⁷⁵	89.81 89.81
Glencore Finance (Europe) SA	19-Jun-2012	CHF 450	374.7	03-Dec-2018	2.625	General corporate purposes	2	Credit Suisse UBS	⁷⁶	187.37 187.37
Glencore Funding LLC	22-May-2013	US\$ 1,500	1,166.85	15-Jan-2019	2.500	General corporate purposes	8	BNP Paribas Credit Suisse	⁷⁷	218.78 218.78
Glencore Funding LLC	22-May-2013	US\$ 1,500	1,166.9	30-May-2023	4.125	General corporate purposes	8	BNP Paribas Credit Suisse	⁷⁸	218.78 218.78
Glencore Funding LLC	22-May-2013	US\$ 500	389.0	27-May-2016	LIBOR+116 bps	General corporate purposes	8	BNP Paribas Credit Suisse	⁷⁹	72.93 72.93
Glencore	22-	US\$	389.0	15-	LIBOR+136	General	8	BNP Paribas	⁸⁰	72.93

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Funding LLC	May-2013	500		Jan-2016	bps	corporate purposes		Credit Suisse	72.93
Glencore Funding LLC	22-May-2013	US\$ 1,000	777.9	27-May-2016	1.700	General corporate purposes	8	BNP Paribas Credit Suisse	145.86 145.86
Total BNP Paribas									1,131.59
Total Credit Suisse									1,204.77
Total ING									402.31
Total UBS									274.58

13.5 Loans

Table 52 provides an overview of the loans secured by Glencore Xstrata since January 2011, in which one or more of the selected financial institutions participated.

Table 52 Loans secured by Glencore Xstrata

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Glencore International Plc	3-May-2011	US\$ 8,340	5,675.4	9-May-2014	Refinancing bank debt and general corporate purposes	97	BNP Paribas Commerzbank Credit Suisse Deutsche Bank DZ Bank ING KBC KfW UBS UniCredit	108.10 108.10 108.10 108.10 44.81 108.10 44.81 44.81 108.10 44.81
Glencore International Plc	3-May-2011	US\$ 2,925	1,990.5	1-May-2012	Refinancing bank debt and general corporate purposes	97	BNP Paribas Commerzbank Credit Suisse Deutsche Bank DZ Bank ING KBC KfW UBS UniCredit	37.91 37.91 37.91 37.91 15.71 37.91 15.71 15.71 37.91 15.71
Glencore International PLC	7-Mar-2012	US\$ 3,100	2,352.3	18-Apr-2013	Backing merger with Xstrata and general corporate	31	BNP Paribas Commerzbank Credit Suisse Deutsche Bank	48.67 48.67 48.67 48.67

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	(Est.) amount per bank (€ mln)	Source
					purposes		ING UBS UniCredit	48.67 48.67 48.67	
Glencore International PLC	25-Apr-2012	US\$ 4,435	3,378.1	26-Jun-2013	Refinancing	47	BNP Paribas Commerzbank Credit Suisse Deutsche Bank DZ Bank ING KBC UBS UniCredit	92.13 92.13 92.13 92.13 54.05 92.13 54.05 92.13 92.13	⁸⁵
Glencore International PLC	14-Jun-2012	US\$ 525	417.6	14-Jun-2013	Acquisition of Canadian grain trading company Viterra. Pay fees, cost and expenses.	18	Commerzbank	15.66	⁸⁶
Glencore International PLC	14-Jun-2012	CAD 975	757.6	14-Jun-2013	Acquisition of Canadian grain trading company Viterra. Pay fees, cost and expenses.	18	Commerzbank	28.41	⁸⁷
Glencore International AG	25-Oct-2012	US\$ 2,220	1,709.4	24-Oct-2013	Working capital	19	BNP Paribas Credit Suisse Deutsche Bank ING UBS	683.76 56.98 56.98 56.98 56.98	⁸⁸
Glencore International AG	31-Oct-2012	US\$ 755	581.4	31-Oct-2013	Refinancing bank debt	25	BNP Paribas Deutsche Bank DZ Bank ING UniCredit	38.50 38.50 23.10 38.50 11.55	⁸⁹
Glencore International AG	15-Mar-2013	US\$ 8,320	6,390.6	15-Mar-2018	To finance the purchase of up to 67 million tons of crude oil from Rosneft and for general corporate purposes.	29	BNP Paribas Credit Suisse Deutsche Bank ING KfW UBS	365.18 174.29 365.18 174.29 174.29 174.29	⁹⁰
Glencore Xstrata PLC	15-Apr-2013	US\$ 5,920	4,532.4	13-Jun-2014	Refinancing bank debt and general corporate purposes	78	BNP Paribas Commerzbank Credit Suisse Deutsche Bank DZ Bank ING KBC KfW UBS UniCredit	64.75 64.75 64.75 64.75 54.39 64.75 54.39 54.39 64.75 64.75	⁹¹
Glencore Xstrata	15-Apr-	US\$ 7,070	5,412.8	13-Jun-	Refinancing bank debt and general	78	BNP Paribas Commerzbank	77.33 77.33	⁹²

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
PLC	2013			2016	corporate purposes		Credit Suisse Deutsche Bank DZ Bank ING KBC KfW UBS UniCredit	77.33 77.33 64.95 77.33 64.95 64.95 77.33 77.33
Glencore Xstrata PLC	15-Apr-2013	US\$ 4,350	3,330.4	13-Jun-2018	Refinancing bank debt and general corporate purposes	78	BNP Paribas Commerzbank Credit Suisse Deutsche Bank DZ Bank ING KBC KfW UBS UniCredit	47.58 47.58 47.58 47.58 39.96 47.58 39.96 39.96 47.58 47.58
Total BNP Paribas								1,563.90
Total Commerzbank								520.53
Total Credit Suisse								707.73
Total Deutsche Bank								937.12
Total DZ Bank								296.98
Total ING								746.23
Total KBC								273.88
Total KfW								394.11
Total UBS								707.73
Total UniCredit								402.52

Chapter 14 Golden Agri-Resources (Singapore)

14.1 Shareholders

Table 53 provides an overview of the shares of Golden Agri-Resources which were owned or managed by the selected financial institutions at the most recent filing date.

Table 53 Shareholders of Golden Agri-Resources

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	178,211,748	1.39	60.56	30-Sep-2012 to 30-Jun-2013
Argenta	25,311,810	0.20	8.92	31-Mar-2013
Belfius	1,000,000	0.01	0.40	30-Jun-2012
BNP Paribas	4,726,114	0.04	1.58	30-Jun-2013
Credit Suisse	6,355,140	0.05	2.22	30-Jun-2012 to 31-May-2013
DekaBank	1,200,000	0.01	0.48	31-Dec-2012
Deutsche Bank	6,238,788	0.05	2.23	31-Dec-2011 to 30-Jun-2013
ING	289,122	0.00	0.11	31-Mar-2013
KBC	3,604,502	0.03	1.27	31-Mar-2013 to 31-May-2013
UBS	11,114,327	0.09	3.64	31-Dec-2012 to 30-Apr-2013
UniCredit	324,000	0.00	0.11	30-Apr-2013 to 31-May-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

14.2 Bondholders

Table 54 provides an overview of the bonds of Golden Agri-Resources which were owned or managed by the selected financial institutions at the most recent filing date.

Table 54 Bondholders of Golden Agri-Resources

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors Luxembourg	0.42	3.77	30-Apr-2013
	Allianz Invest Kaghmgh/Austria	0.12	1.06	30-Jun-2013
Total Allianz		0.54	4.83	
Belfius	Dexia Asset Management Lux	0.08	0.75	30-Jun-2013
Total Belfius		0.08	0.75	
Credit Suisse	Credit Suisse Asset Management	0.03	0.30	31-May-2013
	Credit Suisse Fund Services Lux	0.17	1.51	31-May-2013
Total Credit Suisse		0.20	1.81	
DZ Bank	Union Investment Luxembourg S.A.	0.08	0.75	31-Mar-2013
Total DZ Bank		0.08	0.75	
KBC	KBC Bank Luxembourg S.A.	0.20	1.81	30-Apr-2013
Total KBC		0.20	1.81	

Source: Bloomberg Database, "Bond Holdings", Bloomberg Database, Viewed in August 2013.

14.3 Bond issuances

Table 55 provides an overview of the bond issuances of Golden Agri-Resources since January 2011, in which one or more of the selected financial institutions participated.

Table 55 Bond issuances of Golden Agri-Resources

Company	Issue Date	Total amount (original currency mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount (€ mln)
Golden Agri-Resources Ltd	06-Sep-2012	US\$ 400	316.5	26-Sep-2017	2.250 and 2.500 (and conversion premium at 28% and 32%)	4	BNP Paribas Credit Suisse	79.13 79.13 ⁹⁴
Total BNP Paribas								79.13
Total Credit Suisse								79.13

Chapter 15 Jabil Circuit (United States)

15.1 Shareholders

Table 56 provides an overview of the shares of Jabil Circuit which were owned or managed by the selected financial institutions at the most recent filing date.

Table 56 Shareholders of Jabil Circuit

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	3,991,483	1.97	61.07	31-Oct-2011 to 30-Jun-2013
BNP Paribas	713,996	0.35	10.01	30-Apr-2012 to 30-Jun-2013
Commerzbank	73,178	0.04	1.12	30-Jun-2013
Credit Suisse	693,777	0.34	9.67	31-Jan-2013 to 31-Mar-2013
Deutsche Bank	133,232	0.07	1.93	31-Mar-2012 to 30-Jun-2013
ING	3,969,162	1.96	60.27	31-Mar-2013 to 30-Jun-2013
KBC	2,334	0.00	0.04	31-May-2013
Munich Re	129,523	0.06	1.99	30-Jun-2013
UBS	293,208	0.14	4.09	31-Jan-2013 to 30-Apr-2013
UniCredit	591	0.00	0.01	30-Jun-2012

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

15.2 Bondholders

Table 57 provides an overview of the bonds of Jabil Circuit which were owned or managed by the selected financial institutions at the most recent filing date.

Table 57 Bondholders of Jabil Circuit

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Invest Kagmgh/Austria	0.02	0.20	30-Jun-2013
	Credit Suisse Asset Management	0.04	0.46	31-May-2013
Total Allianz		0.05	0.66	
Deutsche Bank	Deutsche Asset Management	0.29	3.52	31-May-2013
	DWS Investments S.A.	0.10	1.24	30-Apr-2013
	Oppenheim Pramerica Asset Management	0.02	0.19	31-Jan-2013
Total Deutsche Bank		0.41	4.95	
ING	ING Investments LLC	0.26	3.13	30-Jun-2013
	ING Investment Management Co	0.01	0.07	30-Jun-2013
	ING Investment Management NV	0.02	0.20	15-Aug-2013
	ING Life Insurance & Annuity Co	0.21	2.57	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.09	1.09	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.00	0.05	31-Mar-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	Reliastar Life Insurance Company	0.17	2.11	31-Mar-2013
Total ING total value		0.76	9.22	
Munich Re	Munich Reinsurance America Inc	0.86	10.47	31-Mar-2013
Total Munich Re		0.86	10.47	
UBS	UBS Global Asset Management US UBS Strategy Fund Management Company	0.01 1.61	0.16 19.63	30-Sep-2012 30-Apr-2013
Total UBS		1.63	19.79	
UniCredit	Pioneer Investment Management Sgrpa	0.02	0.23	31-May-2013
Total UniCredit		0.02	0.23	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

15.3 Bond issuances

Table 58 provides an overview of the bond issuances of Jabil Circuit since January 2011, in which one or more of the selected financial institutions participated.

Table 58 Bond issuances of Jabil Circuit

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Jabil Circuit Inc	31-Jul-2012	US\$ 500	406.6	15-Sep-2022	4.700	Reduce indebtedness and general corporate purposes	13	BNP Paribas	11.29 ⁹⁵
Total BNP Paribas									11.29

15.4 Loans

Table 59 provides an overview of the loans secured by Jabil Circuit since January 2011, in which one or more of the selected financial institutions participated.

Table 59 Loans secured by Jabil Circuit

Chapter 16 Jindal Steel & Power (India)

16.1 Shareholders

Table 60 provides an overview of the shares of Jindal Steel & Power which were owned or managed by the selected financial institutions at the most recent filing date.

Table 60 Shareholders of Jindal Steel & Power

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Belfius	52,500	0.01	0.14	30-Jun-2013
BNP Paribas	696,687	0.07	3.36	28-Feb-2013 to 30-Apr-2013
Deutsche Bank	1,027,695	0.11	3.35	31-Dec-2012 to 30-Jun-2013
ING	67,201	0.01	0.30	31-Dec-2011 to 30-Jun-2013
KBC	344,816	0.04	1.31	31-May-2013
UBS	3,967,764	0.42	18.02	26-Nov-2012 to 30-Apr-2013
UniCredit	42,000	0.00	0.20	31-May-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

16.2 Bondholders

Table 61 provides an overview of the bonds of Jindal Steel & Power which were owned or managed by the selected financial institutions at the most recent filing date.

Table 61 Bondholders of Jindal Steel & Power

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Deutsche Bank	Deutsche Asset Management	5.95	21.49	30-Jun-2013
Total Deutsche Bank		5.95	21.49	
UniCredit	Baroda Pioneer Mutual Fund	1.70	6.14	30-Jun-2013
Total UniCredit		1.70	6.14	

Source: Bloomberg Database, "Bond Holdings", Bloomberg Database, Viewed in August 2013.

16.3 Loans

Table 62 provides an overview of the loans secured by Jindal Steel & Power since January 2011, in which one or more of the selected financial institutions participated.

Table 62 Loans secured by Jindal Steel & Power

Source	(Est.) amount per bank (€ mln)	Selected banks	97						
No. of banks	Use of proceeds								
Maturity date									
Jindal Steel & Power Mauritius	19-Jul-2013	US\$ 400	305.2	19-Jul-2018	Refinancing bank debt, capital expenditures and general corporate purposes	13	BNP Paribas Deutsche Bank	23.48 23.48	23.48
Total BNP Paribas									23.48
Total Deutsche Bank									23.48

Chapter 17 Lockheed Martin (United States)

17.1 Shareholders

Table 63 provides an overview of the shares of Lockheed Martin which were owned or managed by the selected financial institutions at the most recent filing date.

Table 63 Shareholders of Lockheed Martin

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	2,372,063	0.74	192.02	30-Sep-2012 to 30-Jun-2013
BlackRock Germany	99,253	0.03	8.12	30-Jun-2013
BNP Paribas	35,387	0.01	2.61	31-Oct-2011 to 30-Jun-2013
Commerzbank	122,368	0.04	10.00	30-Jun-2013
Credit Suisse	747,056	0.23	55.46	30-Apr-2012 to 31-May-2013
DekaBank	5,596	0.00	0.43	31-Mar-2013 to 30-Jun-2013
Deutsche Bank	669,909	0.21	49.40	31-Mar-2012 to 30-Jun-2013
ING	214,072	0.07	17.50	30-Jun-2013
KBC	3,181	0.00	0.26	30-Jun-2013
UBS	473,907	0.15	34.66	31-Jan-2013 to 30-Jun-2013
UniCredit	4,390	0.00	0.36	30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

17.2 Bondholders

Table 64 provides an overview of the bonds of Lockheed Martin which were owned or managed by the selected financial institutions at the most recent filing date.

Table 64 Bondholders of Lockheed Martin

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Invest Kaghmgh/Austria	0.00	0.19	30-Jun-2013
	PIMCO Advisors LP	0.08	3.97	31-Mar-2013
Total Allianz		0.08	4.16	
Credit Suisse	Credit Suisse Asset Management	0.01	0.45	31-May-2013
Total Credit Suisse		0.01	0.45	
Deutsche Bank	Deutsche Asset Management	0.00	0.06	30-Jun-2013
Total Deutsche Bank		0.00	0.06	
ING	ING Investments LLC	0.09	4.56	30-Jun-2013
Total ING		0.09	4.56	
UniCredit	Pioneer Mutual Life Insurance Company	0.02	0.84	31-Mar-2013
Total UniCredit		0.02	0.84	

Source: Bloomberg Database, "Bond Holdings", Bloomberg Database, Viewed in August 2013.

17.3 Bond issuances

Table 65 provides an overview of the bond issuances of Lockheed Martin since January 2011, in which one or more of the selected financial institutions participated.

Table 65 Bond issuances of Lockheed Martin

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount (€ mln)
Lockheed Martin Corp	06-Sep-2011	US\$ 500	357.2	15-Sep-2016	2.125	General corporate purposes and reduce indebtedness	15	UBS	14.29 ⁹⁸
Lockheed Martin Corp	06-Sep-2011	US\$ 900	643.0	15-Sep-2021	3.350	General corporate purposes and reduce indebtedness	15	UBS	25.72 ⁹⁹
Lockheed Martin Corp	06-Sep-2011	US\$ 600	428.6	15-Sep-2041	4.850	General corporate purposes and reduce indebtedness	15	UBS	17.15 ¹⁰⁰
Total UBS									57.15

17.4 Loans

Table 66 provides an overview of the loans secured by Lockheed Martin since January 2011, in which one or more of the selected financial institutions participated.

Table 66 Loans secured by Lockheed Martin

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount (€ mln)
Lockheed Martin Corp	26-Aug-2011	US\$ 1,500	1,040.1	26-Aug-2016	General corporate purposes	15	Deutsche Bank UBS	62.41 62.41 ¹⁰¹
United Launch Alliance LLC	14-Jul-2012	US\$ 560	456.9	15-Aug-2017	Refinancing bank debt and general corporate purposes	10	BNP Paribas	54.82 ¹⁰²
Total BNP Paribas								54.82
Total Deutsche Bank								62.41
Total UBS								62.41

Chapter 18 LPP (Poland)

18.1 Shareholders

Table 67 provides an overview of the shares of LPP which were owned or managed by the selected financial institutions at the most recent filing date.

Table 67 Shareholders of LPP

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	21,504	1.22	24.86	31-Dec-2012 to 31-May-2013
BNP Paribas	262	0.01	0.31	31-Jan-2013
DZ Bank	6,157	0.35	6.85	31-Dec-2012
ING	143,569	8.15	160.44	31-Dec-2012 to 31-May-2013
KBC	1,422	0.08	1.09	30-Jun-2012 to 31-May-2013
PKO Bank Polski	4,563	0.26	4.88	30-Jun-2012 to 31-Dec-2012
UniCredit	16,569	0.94	18.43	31-Dec-2012

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Chapter 19 Monsanto (United States)

19.1 Shareholders

Table 68 provides an overview of the shares of Monsanto which were owned or managed by the selected financial institutions at the most recent filing date.

Table 68 Shareholders of Monsanto

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	1,367,498	0.26	103.53	31-May-2012 to 30-Jun-2013
Belfius	6,589	0.00	0.49	30-Jun-2013
BNP Paribas	1,212,573	0.23	94.12	31-Oct-2011 to 30-Jun-2013
Commerzbank	498,678	0.09	37.14	30-Jun-2013
Credit Suisse	1,431,353	0.26	112.77	30-Sep-2012 to 31-May-2013
DekaBank	336,576	0.06	25.48	30-Jun-2012 to 30-Jun-2013
Deutsche Bank	1,296,613	0.24	95.88	31-Dec-2011 to 30-Jun-2013
DZ Bank	201,936	0.04	16.09	31-Mar-2013
ING	1,937,308	0.36	144.34	31-Jul-2012 to 30-Jun-2013
KBC	34,988	0.01	2.70	31-Mar-2013 to 31-May-2013
UBS	830,084	0.16	66.13	30-Jun-2012 to 30-Apr-2013
UniCredit	881,912	0.17	67.73	30-Jun-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

19.2 Bondholders

Table 69 provides an overview of the bonds of Monsanto which were owned or managed by the selected financial institutions at the most recent filing date.

Table 69 Bondholders of Monsanto

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Life Insurance Company of North America	2.64	41.07	31-Mar-2013
	Allianz Life Insurance Company of New York	0.10	1.51	31-Mar-2013
	Allianz of America	0.01	0.15	31-Mar-2013
Total Allianz		2.74	42.73	
Deutsche Bank	Deutsche Asset Management	0.00	0.05	30-Jun-2013
Total Deutsche Bank		0.00	0.05	
ING	ING Investments LLC	0.11	1.72	30-Jun-2013
	ING Life Insurance & Annuity Company	0.10	1.51	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.19	3.02	31-Mar-2013
	ReliaStar Life Insurance Company of New York	0.15	2.26	31-Mar-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Total ING		0.55	8.51	
Munich Re	Munich Reinsurance America Inc	1.12	17.38	31-Mar-2013
Total Munich Re		1.12	17.38	
UBS	UBS Strategy Fund Management Company	0.02	0.38	30-Apr-2013
Total UBS		0.02	0.38	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

19.3 Bond issuances

Table 70 provides an overview of the bond issuances of Monsanto since January 2011, in which one or more of the selected financial institutions participated.

Table 70 Bond issuances of Monsanto

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Monsanto Co	12-Apr-2011	US\$ 300	207.2	15-Apr-2016	2.750	General corporate purposes and refinancing bank debt	19	UniCredit	1.77 ¹⁰³
Monsanto Co	09-Jul-2012	US\$ 250	203.0	15-Jul-2022	2.200	Reduce indebtedness and general corporate purposes	19	UniCredit	2.03 ¹⁰⁴
Monsanto Co	09-Jul-2012	US\$ 250	203.0	15-Jul-2042	3.600	Reduce indebtedness and general corporate purposes	19	UniCredit	2.03 ¹⁰⁵
Total UniCredit									5.83

19.4 Loans

Table 71 provides an overview of the loans secured by Monsanto since January 2011, in which one or more of the selected financial institutions participated.

Table 71 Loans secured by Monsanto

Source	(Est.) amount per bank (€ mln)	Selected banks				
Monsanto Co	31-Mar-2011	US\$ 2,000	1,412.6	12-Mar-2015	General corporate purposes	20 UniCredit
Monsanto Co	31-May-2012	US\$ 2,000	1,580.2	1-Apr-16	General corporate purposes and refinancing bank debt	20 UniCredit
Total UniCredit						93.31

Chapter 20 Nestlé (Switzerland)

20.1 Shareholders

Table 72 provides an overview of the shares of Nestlé which were owned or managed by the selected financial institutions at the most recent filing date.

Table 72 Shareholders of Nestlé

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	3,715,797	0.12	190.18	30-Nov-2011 to 30-Jun-2013
Argenta	36,684	0.00	1.83	31-May-2013
Belfius	1,511,639	0.05	76.53	31-Mar-2012 to 31-May-2013
BlackRock Germany	3,018,272	0.09	149.62	31-May-2013 to 30-Jun-2013
BNP Paribas	2,235,881	0.07	115.63	30-Apr-2012 to 30-Jun-2013
Credit Suisse	38,234,247	1.18	1,911.76	31-Dec-2011 to 30-Jun-2013
DekaBank	3,201,032	0.10	157.41	31-Dec-2012 to 30-Jun-2013
Deutsche Bank	16,492,609	0.51	837.12	31-Dec-2011 to 30-Jun-2013
DZ Bank	5,614,146	0.17	305.38	30-Sep-2012 to 30-Jun-2013
ING	2,105,602	0.07	110.48	31-Oct-2011 to 31-May-2013
KBC	746,024	0.02	39.52	31-Dec-2011 to 30-Apr-2013
Munich Re	38,447	0.00	2.10	31-Mar-2013
UBS	35,107,957	1.09	1,873.49	31-Dec-2011 to 30-Jun-2013
UniCredit	1,565,591	0.05	82.72	30-Apr-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 73 provides an overview of the shares of Nestlé's separately listed subsidiary Nestlé India which were owned or managed by the selected financial institutions at the most recent filing date.

Table 73 Shareholders of Nestlé India

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas	39,450	0.04	2.56	28-Feb-2013 to 30-Jun-2013
Deutsche Bank	20,829	0.02	1.45	31-May-2013 to 30-Jun-2013
DZ Bank	95,000	0.10	6.05	31-Mar-2013
ING	3,359	0.00	0.20	31-Dec-2011

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 74 provides an overview of the shares of Nestlé's separately listed subsidiary Nestlé Nigeria which were owned or managed by the selected financial institutions at the most recent filing date.

Table 74 Shareholders of Nestlé Nigeria

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Bank	1,885,647	0.24	8.96	31-May-2013 to 30-Jun-2013
DZ Bank	40,100	0.01	0.18	30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 75 provides an overview of the shares of Nestlé's separately listed subsidiary Nestlé Pakistan which were owned or managed by the selected financial institutions at the most recent filing date.

Table 75 Shareholders of Nestlé Pakistan

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
DZ Bank	2,873	0.01	0.13	31-Mar-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

20.2 Bondholders

Table 76 provides an overview of the bonds of Nestlé which were owned or managed by the selected financial institutions at the most recent filing date.

Table 76 Bondholders of Nestlé

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Asset Management	0.02	1.61	30-Jun-2012
	Allianz Global Investors France	0.00	0.10	31-Jan-2013
	Allianz Global Investors KAG MB	0.03	2.75	30-Apr-2013
	Allianz Global Investors Luxembourg	0.00	0.35	30-Apr-2013
	Allianz Global Investors UK Ltd	0.00	0.23	31-Mar-2013
	Allianz Invest Karmgħ/Austria	0.01	0.60	30-Jun-2013
	PIMCO Funds Global Investors	0.05	4.62	31-Mar-2013
Total Allianz		0.12	10.26	
Belfius	Dexia Asset Management	0.00	0.15	30-Jun-2013
	Dexia Asset Management Luxembourg	0.02	2.03	30-Jun-2013
Total Belfius		0.02	2.18	
BNP Paribas	BNP Paribas Asset Management SAS	0.00	0.42	31-Dec-2012
	BNP Paribas Investment Partners Belgium	0.01	0.60	31-Jan-2013
	BNP Paribas Investment Partners Luxembourg	0.02	2.16	28-Feb-2013
	Fortis Investment Management France	0.03	2.85	28-Feb-2013
	Fortis Investments	0.07	6.10	31-Dec-2012
Total BNP Paribas		0.14	12.13	
Credit Suisse	Credit Suisse AG	0.21	18.54	31-May-2013
	Credit Suisse Asset Management	0.80	70.01	31-May-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	Credit Suisse Asset Management Sim SP	0.00	0.18	31-May-2013
	Credit Suisse Fund Services Lux	0.44	38.61	31-May-2013
	Credit Suisse SICAV II	0.23	20.20	31-May-2013
	CS Asset Management Switzerland	0.03	2.20	31-Oct-2012
Total Credit Suisse		1.70	149.74	
DekaBank	Deka Investment GmbH	0.03	2.45	31-Dec-2012
Total DekaBank		0.03	2.45	
Deutsche Bank	DWS Investment GmbH	0.04	3.83	30-Apr-2013
	DWS Investment S.A.	0.06	4.99	30-Sep-2012
	DWS Investments Schweiz	0.02	1.61	30-Apr-2013
	Frankfurt-Trust	0.01	0.45	30-Apr-2013
	Frankfurt-Trust Investment GmbH	0.09	7.82	30-Apr-2013
	Oppenheim Kapitalanlage GmbH	0.01	1.00	30-Jun-2013
Total Deutsche Bank		0.22	19.70	
DZ Bank	Union Investment GmbH	0.14	12.00	31-Mar-2013
	Union Investment Luxembourg S.A.	0.04	3.80	31-Mar-2013
Total DZ Bank		0.18	15.80	
ING	ING Investment Management NV	0.02	1.52	30-Jun-2013
Total ING		0.02	1.52	
KBC	KBC Fund Managers	0.03	3.00	30-Apr-2013
Total KBC		0.03	3.00	
UBS	UBS Focused Fund Management Company	0.08	7.00	30-Apr-2013
	UBS Fund Management Luxembourg	0.15	13.04	30-Apr-2013
	UBS Fund Management Switzerland	0.24	21.33	30-Apr-2013
	UBS Strategy Fund Management Company	0.15	12.79	30-Apr-2013
Total UBS		0.62	54.16	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

20.3 Bond issuances

Table 77 provides an overview of the bond issuances of Nestlé since January 2011, in which one or more of the selected financial institutions participated.

Table 77 Bond issuances of Nestlé

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Nestlé Holdings Inc	27-Jan-2011	NOK 1,000	125.6	08-Feb-2016	3.375	General corporate purposes	9	BNP Paribas (Fortis) Deutsche Bank	3.92 3.92 3.92 ¹⁰⁸

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Nestlé Holdings Inc	16-Jun-2011	US\$ 115	80.9	28-Nov-2016	2.000	General corporate purposes	1	KBC BNP Paribas	80.90 ¹⁰⁹
Nestlé Holdings Inc	07-Jul-2011	US\$ 50	34.8	28-Nov-2016	2.000	General corporate purposes	1	BNP Paribas	34.82 ¹¹⁰
Nestlé Holdings Inc	14-Jun-2012	US\$ 900	712.6	21-Jun-2017	1.375	General corporate purposes	5	Credit Suisse Deutsche Bank	178.16 178.16 ¹¹¹
Nestlé Holdings Inc	28-Jun-2012	NOK 2,500	331.6	10-Jul-2017	2.500	General corporate purposes	2	Deutsche Bank	165.81 ¹¹²
Nestlé Holdings Inc	29-Jun-2012	NOK 500	66.3	10-Jul-2017	2.500	General corporate purposes	2	Deutsche Bank	33.17 ¹¹³
Nestlé Finance Intl Ltd	12-Jul-2012	€ 500	500.0	19-Jul-2019	1.500	General corporate purposes	13	BNP Paribas Credit Suisse Deutsche Bank UBS	13.89 13.89 13.89 13.89 ¹¹⁴
Nestlé Finance Intl Ltd	03-Sep-2012	€ 850	850.0	12-Sep-2022	1.750	General corporate purposes	14	BNP Paribas Credit Suisse Deutsche Bank UBS	60.71 60.71 60.71 60.71 ¹¹⁵
Nestlé Holdings Inc	09-Oct-2012	US\$ 500	388.2	16-Jan-2018	1.250	General corporate purposes	14	BNP Paribas Credit Suisse Deutsche Bank UBS	27.73 27.73 27.73 27.73 ¹¹⁶
Nestlé Finance Intl Ltd	11-Oct-2012	€ 500	500.0	17-Oct-2016	0.750	General corporate purposes	14	BNP Paribas Credit Suisse Deutsche Bank UBS	37.50 37.50 37.50 31.25 ¹¹⁷
Nestlé Holdings Inc	12-Nov-2012	NOK 176.80	136.9	20-Nov-2017	2.250	General corporate purposes	2	Deutsche Bank	68.47 ¹¹⁸
Nestlé Finance Intl Ltd	26-Nov-2012	£ 400	493.5	30-Nov-2023	2.250	General corporate purposes	14	BNP Paribas Credit Suisse Deutsche Bank UBS	12.34 12.34 12.34 12.34 ¹¹⁹
Nestlé Holdings Inc	09-Jan-2013	AUD 175	140.8	18-Jan-2018	3.750	General corporate purposes	1	Deutsche Bank	140.82 ¹²⁰
Nestlé Holdings Inc	17-Jan-2013	US\$ 400	298.9	24-Jul-2018	1.375	General corporate purposes	14	BNP Paribas Credit Suisse Deutsche Bank UBS	32.03 17.08 17.08 32.03 ¹²¹
Nestlé Holdings Inc	07-Mar-2013	AUD 200	156.7	19-Jul-2018	3.875	General corporate purposes	2	Deutsche Bank	78.36 ¹²²

Company	Issue Date	Total amount (€ mln)	Total amount (original currency mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source	(Est.) amount per bank (€ mln)
Nestlé Finance Intl Ltd	25-Apr-2013	€ 500	500.0	04-May-2020	1.250	General corporate purposes	14	BNP Paribas Credit Suisse Deutsche Bank UBS	28.50 28.50 28.50 28.55	¹²³
Nestlé Holdings Inc	04-Jun-2013	US\$ 500	382.1	11-Dec-2019	2.000	General corporate purposes	14	BNP Paribas Credit Suisse Deutsche Bank UBS	21.83 40.94 21.83 21.83	¹²⁴
Total BNP Paribas									354.17	
Total Credit Suisse									416.84	
Total Deutsche Bank									888.29	
Total KBC									3.92	
Total UBS									228.33	

20.4 Loans

Table 78 provides an overview of the loans secured by Nestlé since January 2011, in which one or more of the selected financial institutions participated.

Table 78 Loans secured by Nestlé

Company	Issue Date	Total amount (€ mln)	Total amount (original currency mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source	(Est.) amount per bank (€ mln)
Nestlé SA	7-Oct-2011	€ 4,500	4,500.0	5-Oct-2012	Refinancing and general corporate purposes	23	BNP Paribas Credit Suisse Deutsche Bank ING UBS	195.65 195.65 195.65 195.65 195.65	¹²⁵
Nestlé SA	4-Jul-2012	US\$ 8,500	6,778.8	4-Jul-2013	Acquisition of Pfizer Nutrition and general corporate purposes.	14	BNP Paribas Credit Suisse Deutsche Bank UBS	484.20 484.20 484.20 484.20	¹²⁶
Nestlé SA	2-Oct-2012	US\$ 3,906	3,025.6	2-Oct-2013	Refinancing bank debt and general corporate purposes	25	BNP Paribas Credit Suisse Deutsche Bank ING UBS	121.02 121.02 121.02 121.02 121.02	¹²⁷
Nestlé SA	2-Oct-2012	€ 1,875	1,875.0	2-Oct-2013	Refinancing bank debt and general corporate purposes	25	BNP Paribas Credit Suisse Deutsche Bank ING UBS	75.00 75.00 75.00 75.00 75.00	¹²⁸

Source	(Est.) amount per bank (€ mln)
Selected banks	
No. of banks	
Use of proceeds	
Maturity date	
Total amount (€ mln)	
Total amount (original currency mln)	
Issue Date	
Company	

Total BNP Paribas
Total Credit Suisse
Total Deutsche Bank
Total ING
Total UBS

Chapter 21 Newmont Mining (United States)

21.1 Shareholders

Table 79 provides an overview of the shares of Newmont Mining which were owned or managed by the selected financial institutions at the most recent filing date.

Table 79 Shareholders of Newmont Mining

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	2,865,823	0.58	93.42	30-Sep-2012 to 30-Jun-2013
Argenta	55,000	0.01	1.42	31-May-2013
Belfius	6,492	0.00	0.14	31-Jul-2012 to 30-Jun-2013
BNP Paribas	467,931	0.09	14.64	31-Oct-2011 to 30-Jun-2013
Commerzbank	2,508,234	0.51	56.63	30-Jun-2013
Credit Suisse	3,518,428	0.71	109.96	30-Apr-2012 to 31-May-2013
DekaBank	470,044	0.10	14.96	31-Dec-2012 to 30-Jun-2013
Deutsche Bank	6,309,262	1.28	180.84	31-Mar-2012 to 30-Jun-2013
DZ Bank	29,400	0.01	0.85	30-Sep-2012 to 30-Jun-2013
ING	2,066,759	0.42	53.70	31-Jan-2013 to 30-Jun-2013
KBC	39,352	0.01	1.02	31-May-2013
Munich Re	7,000	0.00	0.22	31-Mar-2013
PKO Bank Polski	13,800	0.00	0.51	30-Jun-2012
UBS	3,363,444	0.68	103.47	30-Jun-2012 to 30-Apr-2013
UniCredit	949,652	0.19	26.53	30-Jun-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

21.2 Bondholders

Table 80 provides an overview of the bonds of Newmont Mining which were owned or managed by the selected financial institutions at the most recent filing date.

Table 80 Bondholders of Newmont Mining

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors Luxembourg	0.01	0.38	30-Apr-2013
	Allianz Invest Kaghmgh/Austria	0.01	0.25	30-Jun-2013
	Allianz Life Insurance Company of North America	1.96	92.46	31-Mar-2013
	Allianz Life Insurance Company of New York	0.06	2.64	31-Mar-2013
	Allianz of America	0.01	0.32	31-Mar-2013
	PIMCO Advisors LP	0.64	30.02	30-Jun-2013
Total Allianz		2.67	126.07	
Belfius	Dexia Asset Management Luxembourg	0.03	1.51	30-Jun-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Total Belfius		0.03	1.51	
BNP Paribas	BNP Paribas Arbitrage SA	0.00	0.09	31-Mar-2013
	BNP Paribas Asset Management SAS	0.03	1.43	31-Mar-2013
	BNP Paribas Investment Partners Belgium	0.12	5.80	31-Mar-2013
	BNP Paribas Investment Partners Luxembourg	0.00	0.22	31-Mar-2013
	Insinger de Beaufort Manager	0.00	0.11	28-Feb-2013
Total BNP Paribas		0.16	7.65	
Credit Suisse	Credit Suisse AG	0.22	10.39	31-Mar-2013
	Credit Suisse Asset Management	0.05	2.54	31-May-2013
	Credit Suisse Fund Services Lux	0.10	4.90	31-May-2013
Total Credit Suisse		0.38	17.83	
Deutsche Bank	Deutsche Asset Management	0.00	0.10	30-Jun-2013
	Deutsche Bank (AG)	0.52	24.66	31-Mar-2013
	DWS Investment S.A.	0.02	1.13	30-Apr-2013
	Oppenheim Pramerica Asset Management	0.00	0.20	31-Jan-2013
Total Deutsche Bank		0.55	26.09	
DZ Bank	Union Investment Luxembourg S.A.	0.06	3.02	31-Mar-2013
Total DZ Bank		0.06	3.02	
ING	ING International Advisory SA	0.02	0.75	31-Mar-2013
	ING Investments LLC	0.03	1.29	30-Jun-2013
	ING Life Insurance & Annuity Company	0.73	34.24	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.74	34.69	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.03	1.51	31-Mar-2013
	Reliastar Life Insurance Company	0.32	14.93	31-Mar-2013
Total ING		1.85	87.41	
KBC	KBC Bank Luxembourg SA	0.03	1.51	30-Apr-2013
Total KBC		0.03	1.51	
UBS	UBS AG	0.22	10.18	30-Jun-2013
	UBS Focused Fund Management Company	0.03	1.51	30-Apr-2013
	UBS Global Asset Management	0.30	14.07	30-Jun-2013
	UBS Institutional Fund Management Company	0.03	1.58	30-Apr-2013
	UBS Strategy Fund Management Company	0.15	7.16	30-Apr-2013
Total UBS		0.73	34.50	
UniCredit	Pioneer Mutual Life Insurance Company	0.01	0.57	31-Mar-2013
Total UniCredit		0.01	0.57	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

21.3 Bond issuances

Table 81 provides an overview of the bond issuances of Newmont Mining since January 2011, in which one or more of the selected financial institutions participated.

Table 81 Bond issuances of Newmont Mining

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Newmont Mining Corp	05-Mar-2012	US\$ 1,500	1,134.9	15-Mar-2022	3.500	Reduce indebtedness and general corporate purposes	19	BNP Paribas Credit Suisse Deutsche Bank UBS	43.33 27.86 43.33 43.33
Newmont Mining Corp	05-Mar-2012	US\$ 1,000	756.6	15-Mar-2042	4.875	Reduce indebtedness and general corporate purposes	19	BNP Paribas Credit Suisse Deutsche Bank UBS	28.89 18.57 28.89 28.89
Total BNP Paribas									72.22
Total Credit Suisse									46.43
Total Deutsche Bank									72.22
Total UBS									72.22

21.4 Loans

Table 82 provides an overview of the loans secured by Newmont Mining since January 2011, in which one or more of the selected financial institutions participated.

Table 82 Loans secured by Newmont Mining

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Source (Est.) amount per bank (€ mln)
Newmont Mining Corp	20-May-2011	US\$ 2,500	1,761.0	23-May-2016	Refinancing bank debt	13	BNP Paribas Deutsche Bank UBS
Newmont Mining Corp	15-May-2012	US\$ 3,000	2,310.3	22-May-2017	Refinancing bank debt and general corporate purposes	4	UBS
Total BNP Paribas							88.05
Total Deutsche Bank							88.05
Total UBS							550.11

Chapter 22 Rheinmetall (Germany)

22.1 Shareholders

Table 83 provides an overview of the shares of Rheinmetall which were owned or managed by the selected financial institutions at the most recent filing date.

Table 83 Shareholders of Rheinmetall

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	80,461	0.20	2.92	30-Sep-2012 to 31-May-2013
Argenta	66,000	0.17	2.51	31-May-2013
BlackRock Germany	478,556	1.21	16.80	30-Jun-2013
BNP Paribas	16,179	0.04	0.58	31-Oct-2011 to 30-Jun-2013
Credit Suisse	167,670	0.42	6.51	31-Jan-2012 to 30-Jun-2013
DekaBank	324,943	0.82	11.55	31-Dec-2012 to 30-Jun-2013
Deutsche Bank	269,504	0.68	9.72	28-Feb-2013 to 30-Jun-2013
DZ Bank	299,600	0.76	10.93	31-Mar-2012 to 31-Mar-2013
ING	3,121	0.01	0.12	31-May-2013
UBS	13,400	0.03	0.47	30-Apr-2013
UniCredit	119,380	0.30	4.18	31-Mar-2013 to 31-May-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

22.2 Bondholders

Table 84 provides an overview of the bonds of Rheinmetall which were owned or managed by the selected financial institutions at the most recent filing date.

Table 84 Bondholders of Rheinmetall

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Deutsche Bank	Oppenheim Pramerica Asset Management	0.04	0.20	31-Jan-2013
Total Deutsche Bank		0.04	0.20	
DZ Bank	Union Investment GmbH	0.30	1.50	31-Mar-2013
	Union Investment Luxembourg S.A.	0.30	1.50	31-Mar-2013
Total DZ Bank		0.60	3.00	
UniCredit	Pioneer Investment Management Sgrpa	0.90	4.50	28-Feb-2013
Total UniCredit		0.90	4.50	

Source: Bloomberg Database, "Bond Holdings", Bloomberg Database, Viewed in August 2013.

22.3 Loans

Table 85 provides an overview of the loans secured by Rheinmetall since January 2011, in which one or more of the selected financial institutions participated.

Table 85 Loans secured by Rheinmetall

Source	(Est.) amount per bank (€ mln)	Selected banks							
Maturity date	Total amount (€ mln)	No. of banks	Use of proceeds						
Rheinmetall AG	2-Dec-2011	€ 500	500	2-Dec-2016	Working capital, refinancing bank debt and general corporate purposes	11	Deutsche Bank Commerzbank UniCredit	45.45 45.45 45.45	¹³³
KSPG	14-May-2012	€ 300	300	14-May-2017	General corporate purposes	7	Deutsche Bank Commerzbank UniCredit	42.86 42.86 42.86	¹³⁴
Total Commerzbank								88.31	
Total Deutsche Bank								88.31	
Total UniCredit								88.31	
Company									

Chapter 23 Rio Tinto (United Kingdom / Australia)

23.1 Shareholders

Table 86 provides an overview of the shares of Rio Tinto Plc which were owned or managed by the selected financial institutions at the most recent filing date.

Table 86 Shareholders of Rio Tinto Plc

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	7,029,344	0.50	243.30	30-Nov-2011 to 30-Jun-2013
Argenta	102,502	0.01	3.34	31-May-2013 to 30-Jun-2013
Belfius	816,471	0.06	25.31	31-Dec-2012 to 30-Jun-2013
BlackRock Germany	1,283,176	0.09	39.48	30-Jun-2013
BNP Paribas	4,645,325	0.33	167.85	30-Apr-2012 to 30-Jun-2013
Commerzbank	8,791	0.00	0.27	30-Jun-2013
Credit Suisse	1,819,938	0.10	66.25	31-Dec-2011 to 30-Jun-2013
DekaBank	1,509,858	0.11	64.56	30-Jun-2012 to 30-Jun-2013
Deutsche Bank	4,261,804	0.30	138.93	31-Dec-2011 to 30-Jun-2013
DZ Bank	1,862,249	0.13	66.30	31-Mar-2012 to 31-Mar-2013
ING	2,654,366	0.19	89.67	31-Oct-2011 to 30-Jun-2013
KBC	439,026	0.03	15.28	31-Dec-2011 to 31-May-2013
Munich Re	19,178	0.00	0.68	31-Mar-2013
UBS	4,255,731	0.30	147.29	31-Dec-2011 to 30-Jun-2013
UniCredit	2,204,166	0.16	76.82	30-Jun-2012 to 31-May-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 87 provides an overview of the shares of Rio Tinto's separately listed subsidiary Rio Tinto Limited which were owned or managed by the selected financial institutions at the most recent filing date.

Table 87 Shareholders of Rio Tinto Ltd

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	559,534	0.13	24.23	31-Mar-2012 to 30-Jun-2013
Belfius	1,153,392	0.26	47.23	30-Sep-2012 to 30-Jun-2013
BNP Paribas	209,450	0.05	9.37	31-Oct-2012 to 30-Jun-2013
Credit Suisse	397,982	0.09	16.35	30-Sep-2012 to 31-May-2013
DekaBank	25,160	0.01	1.29	31-Dec-2012 to 30-Jun-2013
Deutsche Bank	700,324	0.16	25.66	31-Dec-2011 to 30-Jun-2013
DZ Bank	140,000	0.03	6.28	31-Mar-2013
ING	252,063	0.06	9.28	31-Dec-2012 to 30-Jun-2013
KBC	61,914	0.01	2.50	31-Dec-2011 to 31-May-2013
Munich Re	3,000	0.00	0.14	31-Mar-2013
UBS	334,288	0.08	14.58	30-Nov-2011 to 30-Apr-2013

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
UniCredit	104,823	0.02	4.58	31-Mar-2013 to 30-April-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

23.2 Bondholders

Table 88 provides an overview of the bonds of Rio Tinto Plc which were owned or managed by the selected financial institutions at the most recent filing date.

Table 88 Bondholders of Rio Tinto Plc

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors France	0.00	0.10	31-Jan-2013
	Allianz Global Investors UK Ltd	0.00	0.24	31-Mar-2013
	Allianz Global Risks US Insurance Company	0.03	5.51	31-Mar-2013
	Allianz Invest Kagmgh/Austria	0.00	0.42	30-Jun-2013
	Allianz Life Insurance Company of North America	0.80	153.75	31-Mar-2013
	Allianz Life Insurance Company of New York	0.01	2.26	31-Mar-2013
	Allianz of America	0.00	0.16	31-Mar-2013
	Euler Hermes North America Credit Insurance	0.00	0.75	31-Mar-2013
	PIMCO Advisors LP	0.04	8.42	31-Mar-2013
	PIMCO Funds Global Investors	0.02	3.84	31-Mar-2013
Total Allianz		0.91	175.45	
Belfius	Dexia Asset Management Luxembourg	0.01	1.78	30-Jun-2013
Total Belfius		0.01	1.78	
BNP Paribas	BNP Paribas Asset Management SA	0.00	0.20	31-Dec-2012
	BNP Paribas Investment Partners Belgium	0.00	0.40	31-Jan-2013
	BNP Paribas Investment Partners Luxembourg	0.01	1.92	28-Feb-2013
	Fortis Investment Management France	0.00	0.60	28-Feb-2013
Total BNP Paribas		0.02	3.12	
Credit Suisse	Clarendon Leu Ltd	0.00	0.19	31-May-2013
	Credit Suisse AG	0.02	3.02	31-May-2013
	Credit Suisse Asset Management	0.03	5.04	31-May-2013
	Credit Suisse Fund Services Lux	0.03	5.56	31-May-2013
	Credit Suisse SICAV II	0.00	0.64	31-May-2013
Total Credit Suisse		0.07	14.45	
DekaBank	Deka Investment GmbH	0.01	2.54	30-Apr-2013
Total DekaBank		0.01	2.54	
Deutsche Bank	Deutsche Asset Management	0.02	3.63	30-Jun-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	Deutsche Asset Management Japan	0.00	0.75	30-Nov-2012
	Deutsche Postbank Capital Management	0.00	0.38	31-Mar-2013
	DWS Investment GmbH	0.01	1.77	30-Apr-2013
	DWS Investment S.A.	0.08	15.83	30-Apr-2013
	Oppenheim Pramerica Asset Management	0.00	0.10	31-Jan-2013
Total Deutsche Bank		0.12	22.46	
DZ Bank	Union Investment GmbH	0.00	0.35	31-Mar-2013
	Union Investment Luxembourg S.A.	0.01	2.36	31-Mar-2013
Total DZ Bank		0.01	2.71	
ING	ING International Advisory SA	0.00	0.15	31-Mar-2013
	ING Investments LLC	0.06	11.16	30-Jun-2013
	ING Investment Management Company	0.00	0.12	30-Jun-2013
	ING Life Insurance & Annuity Company	0.32	60.89	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.16	30.26	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.03	5.28	31-Mar-2013
	Reliastar Life Insurance Company	0.04	7.92	31-Mar-2013
Total ING		0.60	115.78	
KBC	KBC Bank Luxembourg SA	0.01	1.03	30-Apr-2013
Total KBC		0.01	1.03	
Munich Re	Munich Reinsurance America Inc	0.20	37.71	31-Mar-2013
Total Munich Re		0.20	37.71	
UBS	UBS Focused Fund Management Company	0.02	3.73	30-Apr-2013
	UBS Fund Management Luxembourg	0.00	0.30	30-Apr-2013
	UBS Fund Management Switzerland	0.01	1.56	30-Apr-2013
	UBS Global Asset Management US	0.00	0.94	30-Sep-2012
	UBS Institutional Fund Management Company	0.00	0.25	30-Apr-2013
	UBS Strategy Fund Management Company	0.04	7.78	30-Apr-2013
Total UBS		0.08	14.56	
UniCredit	Pioneer Investment Management	0.00	0.42	30-Jun-2013
Total UniCredit		0.00	0.42	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

Chapter 24 Royal Dutch Shell (Netherlands/ United Kingdom)

24.1 Shareholders

Table 89 provides an overview of the shares of Royal Dutch Shell which were owned or managed by the selected financial institutions at the most recent filing date.

Table 89 Shareholders of Royal Dutch Shell

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	20,205,902	0.32	642.24	30-Nov-2011 to 30-Jun-2013
Argenta	159,559	0.00	4.02	31-May-2013 to 30-Jun-2013
Belfius	3,709,970	0.06	91.06	31-Dec-2011 to 30-Jun-2013
BlackRock Germany	3,226,704	0.05	78.03	30-Jun-2013
BNP Paribas	22,056,772	0.35	561.17	31-Oct-2011 to 30-Jun-2013
Commerzbank	5,800	0.00	0.29	30-Jun-2013
Credit Suisse	7,324,898	0.09	195.37	30-Apr-2012 to 30-Jun-2013
DekaBank	6,319,266	0.10	166.82	31-Dec-2011 to 30-Jun-2013
Deutsche Bank	20,836,739	0.33	522.72	31-Oct-2011 to 30-Jun-2013
DZ Bank	8,571,286	0.14	211.38	30-Sep-2012 to 31-Mar-2013
ING	12,673,798	0.20	327.66	31-Jul-2012 to 30-Jun-2013
KBC	1,083,092	0.02	28.09	31-Dec-2011 to 31-May-2013
Munich Re	26,979	0.00	0.66	31-Mar-2013
UBS	12,404,381	0.20	360.60	31-Decr-2011 to 10-Jul-2013
UniCredit	1,151,263	0.02	29.53	30-Apr-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

24.2 Bondholders

Table 90 provides an overview of the bonds of Royal Dutch Shell which were owned or managed by the selected financial institutions at the most recent filing date.

Table 90 Bondholders of Royal Dutch Shell

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Risks US Insurance Company	0.04	7.54	31-Mar-2013
	Allianz Invest Kaghmgh/Austria	0.00	0.16	30-Jun-2013
	Allianz Life Insurance Company of North America	0.03	6.30	31-Mar-2013
	Allianz of America	0.00	0.03	31-Mar-2013
	Euler Hermes North America Credit Insurance	0.01	1.13	31-Mar-2013
	PIMCO Advisors LP	0.26	55.24	31-Mar-2013
	PIMCO Funds Global Investors	0.00	0.15	31-Mar-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Total Allianz		0.33	70.55	
Belfius	Dexia Asset Management	0.01	1.41	30-Jun-2013
	Dexia Asset Management Luxembourg	0.01	1.31	30-Jun-2013
Total Belfius		0.01	2.72	
BNP Paribas	BNP Paribas Investment Partners Luxembourg	0.00	0.63	28-Feb-2013
	Insinger de Beaufort Manager	0.00	1.01	28-Feb-2013
Total BNP Paribas		0.01	1.64	
Credit Suisse	Bank Leu AG	0.00	0.10	31-Mar-2013
	Credit Suisse AG	0.01	2.26	31-May-2013
	Credit Suisse Asset Management	0.07	14.40	31-May-2013
	Credit Suisse Fund Services Lux	0.02	5.08	31-May-2013
	Credit Suisse SICAV II	0.04	7.54	31-May-2013
	CS Asset Management Switzerland	0.01	2.01	31-Oct-2012
Total Credit Suisse		0.15	31.39	
Deutsche Bank	DB Platinum Advisors	0.00	0.45	31-Jul-2013
	Deutsche Asset Management	0.01	1.68	30-Jun-2013
	Frankfurt-Trust	0.00	0.20	30-Apr-2013
	Oppenheim Pramerica Asset Management	0.00	0.38	31-Jan-2013
Total Deutsche Bank		0.01	2.71	
DZ Bank	Union Investment Luxembourg S.A.	0.00	1.01	31-Mar-2013
Total DZ Bank		0.00	1.01	
ING	ING International Advisory SA	0.00	0.45	31-Mar-2013
	ING Investments LLC	0.03	7.06	30-Jun-2013
	ING Investment Management Company	0.02	5.16	30-Jun-2013
	ING Life Insurance & Annuity Company	0.07	15.76	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.12	26.40	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.02	4.53	31-Mar-2013
	Reliastar Life Insurance Company	0.16	32.81	31-Mar-2013
Total ING		0.44	92.17	
KBC	KBC Bank Luxembourg SA	0.04	8.98	30-Apr-2013
	KBC Fund Managers	0.02	3.54	30-Apr-2013
Total KBC		0.06	12.52	
Munich Re	Munich American Reassurance Company	0.09	18.25	31-Mar-2013
	Munich Reinsurance America Inc	0.02	3.77	31-Mar-2013
Total Munich Re		0.10	22.02	
UBS	UBS Focused Fund Management Company	0.05	9.89	30-Apr-2013
	UBS Fund Management Luxembourg	0.04	7.67	30-Apr-2013
	UBS Fund Management Switzerland	0.01	2.97	30-Apr-2013
	UBS Global Asset Management Deutschland	0.00	0.15	30-Apr-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
	UBS Global Asset Management UK	0.01	1.49	31-Jan-2013
	UBS Institutional Fund Management Company	0.01	1.31	30-Apr-2013
	UBS Strategy Fund Management Company	0.02	4.92	30-Apr-2013
Total UBS		0.13	28.40	
UniCredit	Pioneer Investments Austria GmbH	0.00	1.01	31-May-2011
Total UniCredit		0.00	1.01	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

24.3 Bond issuances

Table 91 provides an overview of the bond issuances of Royal Dutch Shell since January 2011, in which one or more of the selected financial institutions participated.

Table 91 Bond issuances of Royal Dutch Shell

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Shell International Finance BV	07-Aug-2013	US\$ 1,500	1,124.7	10-Aug-2018	1.900	General corporate purposes	3	Deutsche Bank	374.90 ¹³⁵
Shell International Finance BV	07-Aug-2013	US\$ 1,000	749.8	12-Aug-2023	3.400	General corporate purposes	3	Deutsche Bank	249.93 ¹³⁶
Shell International Finance BV	07-Aug-2013	US\$ 1,250	937.3	12-Aug-2043	4.550	General corporate purposes	3	Deutsche Bank	312.42 ¹³⁷
Total Deutsche Bank									937.25

Chapter 25 Trafigura (Netherlands)

25.1 Bondholders

Table 92 provides an overview of the bonds of Trafigura Beheer BV which were owned or managed by the selected financial institutions at the most recent filing date.

Table 92 Bondholders of Trafigura Beheer BV

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Credit Suisse	Clariden Leu Ltd	0.04	0.30	31-May-2013
Total Credit Suisse		0.04	0.30	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

25.2 Loans

Table 93 provides an overview of the loans secured by Trafigura since January 2011, in which one or more of the selected financial institutions participated.

Table 93 Loans secured by Trafigura

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	(Est.) amount per bank (€ mln)	Source
Trafigura Beheer BV	8-Mar-2011	US\$ 676	487.8	6-Mar-2012	Refinancing bank debt, finance Linked-Trade and general corporate purposes	44	BNP Paribas Commerzbank Credit Suisse Deutsche Bank ING KBC UniCredit	32.52 7.70 7.70 7.70 32.52 7.70 7.70	¹³⁸
Trafigura Beheer BV	8-Mar-2011	US\$ 2,499	1,803.3	8-Mar-2014	Refinancing bank debt, finance Linked-Trade and general corporate purposes	44	BNP Paribas Commerzbank Credit Suisse Deutsche Bank ING KBC UniCredit	120.22 28.47 28.47 28.47 120.22 28.47 28.47	¹³⁹
Trafigura Beheer BV	25-Aug-2011	US\$ 400	277.4	25-Aug-2012	Finance Linked-Trade and export/import financing	11	BNP Paribas	110.94	¹⁴⁰
Trafigura Holding BV	30-Aug-2011	US\$ 1,000	693.4	28-Aug-2012	Working capital and general corporate purposes	15	BNP Paribas	97.08	¹⁴¹
Trafigura Beheer BV	12-Oct-2011	US\$ 437.50	327.9	10-Oct-2012	Refinancing bank debt and general corporate purposes	20	KBC	14.05	¹⁴²

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	(Est.) amount per bank (€ mln)	Source
Trafigura Beheer BV	12-Oct-2011	US\$ 437.50	327.9	12-Oct-2014	Refinancing bank debt and general corporate purposes	20	KBC	14.05	¹⁴³
Trafigura Beheer BV	23-Nov-2011	US\$ 500	368.2	23-Nov-2012	Working capital	9	Credit Suisse Deutsche Bank ING KBC UBS UniCredit	31.56 31.56 73.64 31.56 31.56 31.56	¹⁴⁴
Trafigura Beheer BV	5-Mar-2012	US\$ 1,080	808.2	4-Mar-2013	Refinancing bank debt	20	Credit Suisse Deutsche Bank KBC UBS UniCredit	37.30 37.30 37.30 46.18 46.18	¹⁴⁵
Trafigura Beheer BV	5-Mar-2012	€ 15	15.0	4-Mar-2013	Refinancing bank debt	8	UBS UniCredit	1.88 1.88	¹⁴⁶
Trafigura Trading(Shanghai)Co	30-May-2012	CNY 707	89.0	30-May-2013	Working capital	6	BNP Paribas ING	17.63 13.85	¹⁴⁷
Trafigura Beheer BV	16-Aug-2012	US\$ 400	323.8	16-Aug-2013	Refinancing bank debt, working capital and general corporate purposes	12	BNP Paribas	129.52	¹⁴⁸
Trafigura AG	21-Aug-2012	US\$ 1,305	1,059.1	21-Aug-2013	Working capital and general corporate purposes	18	BNP Paribas	121.74	¹⁴⁹
Trafigura Beheer BV	9-Oct-2012	US\$ 1,025	792.2	8-Oct-2013	Refinancing and general corporate purposes	25	KBC	7.73	¹⁵⁰
Trafigura Beheer BV	28-Feb-2013	US\$ 1,360	1,022.9	28-Feb-2014	Refinancing bank debt and general corporate purposes	37	Commerzbank Credit Suisse Deutsche Bank KBC KfW UBS	17.53 17.53 17.53 17.53 17.53 17.53	¹⁵¹
Trafigura Beheer BV	28-Feb-2013	US\$ 2,905	2,184.9	28-Feb-2016	Refinancing bank debt and general corporate purposes	28	BNP Paribas Commerzbank Credit Suisse Deutsche Bank ING UBS UniCredit	145.66 59.59 59.59 59.59 145.66 59.59 59.59	¹⁵²
Trafigura Mexico SA de CV	29-Mar-2013	US\$ 380	295.3	29-Mar-2014	Working capital	9	BNP Paribas Credit Suisse	118.12 22.15	¹⁵³
Total BNP Paribas								893.43	

Source	(Est.) amount (€ mln)
Selected banks	
No. of banks	
Use of proceeds	
Maturity date	
Total amount (€ mln)	
Total amount (original currency mln)	
Issue Date	
Company	

Total Commerzbank
Total Credit Suisse
Total Deutsche Bank
Total ING
Total KBC
Total KfW
Total UBS
Total UniCredit

Chapter 26 Vale (Brazil)

26.1 Shareholders

Table 94 provides an overview of the shares of Vale which were owned or managed by the selected financial institutions at the most recent filing date.

Table 94 Shareholders of Vale

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	21,630,186	0.41	274.86	31-May-2012 to 30-Jun-2013
Belfius	472,900	0.01	4.46	30-Jun-2013
BNP Paribas	30,213,639	0.57	384.60	31-Dec-2011 to 30-Jun-2013
Commerzbank	381,666	0.01	3.71	30-Jun-2013
Credit Suisse	33,490,949	0.63	419.34	31-Mar-2012 to 31-Mar-2013
DekaBank	615,949	0.01	7.95	30-Jun-2012 to 31-Mar-2013
Deutsche Bank	16,940,040	0.32	178.74	31-Dec-2011 to 30-Jun-2013
DZ Bank	3,577,950	0.07	44.93	31-Mar-2013
ING	2,248,500	0.04	25.32	30-Jun-2012 to 30-Jun-2013
KBC	1,165,574	0.02	12.14	31-Dec-2011 to 31-May-2013
Munich Re	175,000	0.00	1.73	30-Jun-2013
UBS	10,172,734	0.19	127.29	30-Sep-2012 to 30-Apr-2013
UniCredit	2,954,538	0.06	35.40	31-Oct-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 95 provides an overview of the shares of Vale's separately listed subsidiary Vale Indonesia which were owned or managed by the selected financial institutions at the most recent filing date.

Table 95 Shareholders of Vale Indonesia

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas	6,537,000	0.07	1.38	28-Feb-2013 to 31-Mar-2013
Deutsche Bank	2,520	0.00	0.00	30-Jun-2013
ING	161,837	0.00	0.05	31-Dec-2011
KBC	138,500	0.00	0.04	31-Dec-2011

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

26.2 Bondholders

Table 96 provides an overview of the bonds of Vale which were owned or managed by the selected financial institutions at the most recent filing date.

Table 96 Bondholders of Vale

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Global Investors Ireland	0.01	0.68	30-Apr-2013
	Allianz Global Investors KAG MB	0.00	0.15	30-Apr-2013
	Allianz Global Investors Luxembourg	0.03	3.41	30-Apr-2013
	Allianz Global Risks US Insurance Company	0.02	2.83	31-Mar-2013
	Allianz Invest Kagmgh/Austria	0.00	0.08	30-Jun-2013
	Allianz Life Insurance Company of North America	0.88	116.06	31-Mar-2013
	Allianz of America	0.01	1.09	31-Mar-2013
	PIMCO Advisors LP	0.80	106.07	31-Mar-2013
	PIMCO Funds Global Investors	0.72	95.38	31-Mar-2013
Total Allianz		2.46	325.75	
Belfius	Dexia Asset Management Luxembourg	0.07	8.90	30-Jun-2013
Total Belfius		0.07	8.90	
BNP Paribas	BNP Asset Management Brasil Ltd	0.01	1.96	30-Apr-2013
	BNP Paribas Asset Management Brazil	0.13	17.72	30-Jun-2013
	BNP Paribas Investment Partners	0.00	0.29	30-Sep-2012
Total BNP Paribas		0.15	19.97	
Credit Suisse	Clarden Leu Ltd	0.02	2.64	31-May-2013
	Credit Suisse Asset Management	0.05	6.68	31-May-2013
	Credit Suisse Brasil DVTM SA	0.02	3.22	31-Jul-2013
Total Credit Suisse		0.09	12.54	
DekaBank	Deka Investment GmbH	0.02	2.01	30-Apr-2013
Total DekaBank		0.02	2.01	
Deutsche Bank	Deutsche Asset Management	0.03	3.93	30-Apr-2013
	Deutsche Postbank Capital Management	0.00	0.50	31-Mar-2013
	DWS Investment S.A.	0.07	8.65	30-Apr-2013
	Oppenheim Pramerica Asset Management	0.00	0.09	31-Jan-2013
Total Deutsche Bank		0.10	13.17	
DZ Bank	Union Investment GmbH	0.01	1.36	31-Mar-2013
Total DZ Bank		0.01	1.36	
ING	ING International Advisory SA	0.09	11.40	31-Mar-2013
	ING Investments LLC	0.04	5.59	30-Jun-2013
	ING Investment Management Company	0.00	0.21	30-Jun-2013
	ING Investment Management NV	0.02	2.88	31-Aug-2012
	ING Life Insurance & Annuity Company	0.05	7.19	31-Mar-2013
	ING USA Annuity and Life Insurance Company	0.03	4.39	31-Mar-2013
	Reliastar Life Insurance Company of New York	0.00	0.38	31-Mar-2013
	Reliastar Life Insurance Company	0.03	3.39	31-Mar-2013

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Total ING		0.27	35.43	
KBC	KBC Bank Luxembourg SA	0.01	1.15	30-Apr-2013
KBC		0.01	1.15	
Munich Re	Munich American Life Reinsurance Company	0.02	2.04	31-Mar-2013
	Munich American Reassurance Company	0.05	6.56	31-Mar-2013
	Munich Reinsurance America Inc	0.12	16.34	31-Mar-2013
Total Munich Re		0.19	24.94	
UBS	UBS Brasil Admin de Valores Mob	0.00	0.32	31-Mar-2013
	UBS Focused Fund Management Company	0.15	19.66	30-Apr-2013
	UBS Fund Management Switzerland	0.01	1.01	30-Apr-2013
	UBS Global Asset Management US	0.01	1.20	30-Sep-2012
	UBS Institutional Fund Management Company	0.01	1.11	30-Apr-2013
	UBS Pactual Asset Management	0.02	2.07	28-Feb-2013
	UBS Strategy Fund Management Company	0.05	7.14	30-Apr-2013
Total UBS		0.25	32.51	
UniCredit	Pioneer Asset Management SA	0.01	1.34	31-Mar-2013
	Pioneer Investment Management	0.00	0.32	30-Jun-2013
	Pioneer Investment Management Sgrpa	0.01	0.71	28-Feb-2013
	Pioneer Investments Austria GmbH	0.02	2.01	31-May-2013
Total UniCredit		0.03	4.38	

Source: Bloomberg Database, "Bond Holdings", *Bloomberg Database*, Viewed in August 2013.

26.3 Bond issuances

Table 97 provides an overview of the bond issuances of Vale since January 2011, in which one or more of the selected financial institutions participated.

Table 97 Bond issuances of Vale

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Vale Overseas Ltd	28-Mar-2012	US\$ 1,250	938.6	11-Jan-2022	4.375	General corporate purposes	3	Deutsche Bank	312.88 ¹⁵⁴
Vale SA	03-Jul-2012	€ 750	750.0	10-Jan-2023	3.750	General corporate purposes	9	BNP Paribas	140.63 ¹⁵⁵
Total BNP Paribas									140.63
Total Deutsche Bank									312.88

26.4 Loans

Table 98 provides an overview of the loans secured by Vale since January 2011, in which one or more of the selected financial institutions participated.

Table 98 Loans secured by Vale

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount per bank (€ mln)
Vale SA	12-Apr-2011	US\$ 3,000	2,097.3	8-Apr-2016	General corporate purposes	27	BNP Paribas Credit Suisse Deutsche Bank DZ Bank	79.70 34.96 79.70 17.48
Vale SA	7-Apr-13	US\$ 2,000	1,553.8	7-Apr-18	General corporate purposes	16	BNP Paribas Deutsche Bank	310.76 66.59
Total BNP Paribas								390.46
Total Credit Suisse								34.96
Total Deutsche Bank								146.29
Total DZ Bank								17.48

Chapter 27 VF Corp (United States)

27.1 Shareholders

Table 99 provides an overview of the shares of VF Corp which were owned or managed by the selected financial institutions at the most recent filing date.

Table 99 Shareholders of VF Corp

Financial institution	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz	52,622	0.05	7.39	31-Oct-2011 to 30-Jun-2013
Belfius	1,607	0.00	0.23	30-Jun-2013
BNP Paribas	40,088	0.04	5.08	31-Oct-2011 to 30-Jun-2013
Commerzbank	40,064	0.04	5.83	30-Jun-2013
Credit Suisse	442,290	0.40	55.30	30-Apr-2012 to 31-May-2013
DekaBank	14,295	0.01	1.81	31-Dec-2012 to 30-Jun-2013
Deutsche Bank	331,916	0.30	42.42	31-Jan-2012 to 30-Jun-2013
ING	252,012	0.23	35.80	31-Dec-2012 to 30-Jun-2013
KBC	26,679	0.02	3.57	31-Dec-2011 to 31-May-2011
UBS	1,056,795	0.96	133.82	31-Jan-2013 to 30-Apr-2013
UniCredit	18,862	0.02	2.72	30-Jun-2012 to 30-Jun-2013

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

27.2 Bondholders

Table 100 provides an overview of the bonds of VF Corp which were owned or managed by the selected financial institutions at the most recent filing date.

Table 100 Bondholders of VF Corp

Financial institution	Asset manager	% of all bonds	Value (€ mln)	Filing date
Allianz	Allianz Life Insurance Company of North America	1.39	18.85	31-Mar-2013
Total Allianz		1.39	18.85	
Deutsche Bank	Deutsche Asset Management	0.00	0.01	30-Jun-2013
Total Deutsche Bank		0.00	0.01	
ING	Reliastar Life Insurance Company of New York	0.44	6.03	31-Mar-2013
	Reliastar Life Insurance Company	0.11	1.51	31-Mar-2013
Total ING		0.55	7.54	
UniCredit	Pioneer Mutual Life Insurance	0.01	0.19	31-Mar-2013
Total Unicredit		0.01	0.19	

Source: Bloomberg Database, "Bond Holdings", Bloomberg Database, Viewed in August 2013.

27.3 Bond issuances

Table 101 provides an overview of the bond issuances of VF Corp since January 2011, in which one or more of the selected financial institutions participated.

Table 101 Bond issuances of VF Corp

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Coupon (%)	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount (€ mln)
VF Corp	17-Aug-2011	US\$ 400	277.2	23-Aug-2013	Floating rate	Future acquisitions and general corporate purposes	13	BNP Paribas ING	5.54 5.54
VF Corp	17-Aug-2011	US\$ 500	346.5	01-Sep-2021	3.500	Future acquisitions and general corporate purposes	13	BNP Paribas ING	6.93 6.93
Total BNP Paribas									¹⁵⁸ 12.47
Total ING									¹⁵⁹ 12.47

27.4 Loans

Table 102 provides an overview of the loans secured by VF Corp since January 2011, in which one or more of the selected financial institutions participated.

Table 102 Loans secured by VF Corp

Company	Issue Date	Total amount (original currency mln)	Total amount (€ mln)	Maturity date	Use of proceeds	No. of banks	Selected banks	Source (Est.) amount (€ mln)
VF Corp	8-Dec-2011	US\$ 1,250	934.98	8-Dec-2016	Refinancing bank debt and general corporate purposes	10	Credit Suisse ING	80.13 80.13
Total Credit Suisse								¹⁶⁰ 80.13
Total ING								80.13

Appendix 1 Detailed shareholdings

Adidas

Table 103 provides an overview of the shares of Adidas which were owned or managed by the selected financial institutions at the most recent filing date.

Table 103 Shareholders of Adidas

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Adifonds	34,978	0.02	2.88	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Concentra	747,083	0.36	61.54	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Deluxe	29,344	0.01	2.31	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz EuropaVision	28,456	0.01	2.34	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Flexi Euro Balance	15,175	0.01	1.25	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Flexi Euro Dynamik	8,861	0.00	0.73	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Flexi Rentenfonds	19,983	0.01	1.64	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Fondak	535,000	0.26	44.07	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM European Equity	3,863	0.00	0.30	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Thesaurus	29,724	0.01	2.45	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondra	5,000	0.00	0.41	31-May-2013
Allianz Global Investors Taiwan Ltd.	Allianz Global Investors Target 2020 Fund	1,024	0.00	0.07	31-Dec-2012
Allianz Global Investors (UK) Limited	Allianz Customised Global Equity Fund (CN)	9,818	0.00	0.53	30-Jun-2012
Allianz Nederland Asset Management B.V.	Allianz Holland Selectie Fonds	110,378	0.05	9.00	30-Jun-2013
PIMCO (US)	Target Moderate Allocation Fund	3,205	0.00	0.25	30-Apr-2013
RCM (UK) Ltd.	Allianz Duurzaam Wereld Fonds	6,457	0.00	0.53	30-Jun-2013
RCM (UK) Ltd.	Allianz Fonds Industria	191,024	0.09	15.73	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
RCM (UK) Ltd.	Allianz Global Equity	15,251	0.01	1.20	30-Apr-2013
RCM (UK) Ltd.	Allianz Global Sustainability	5,413	0.00	0.43	30-Apr-2013
RCM (UK) Ltd.	Allianz Interglobal	147,289	0.07	12.13	31-May-2013
RCM (UK) Ltd.	Allianz Islamic Global Equity Opportunities	7,476	0.00	0.48	31-Oct-2012
RCM (UK) Ltd.	Allianz RCM Global Unconstrained	1,892	0.00	0.11	31-May-2012
RCM (UK) Ltd.	BMO Guardian Sustainable Opportunities Class	611	0.00	0.05	30-Jun-2013
RCM (UK) Ltd.	Brunner Investment Trust PLC	41,523	0.02	2.20	30-Nov-2011
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	810	0.00	0.07	30-Jun-2013
RCM (UK) Ltd.	Grassroots	21,916	0.01	1.73	30-Apr-2013
Total Allianz		2,021,554	0.97	164.43	
Argenta					
Argenta Fund	Argenta Fund Actions Distribution	5,316	0.00	0.43	30-Jun-2013
Argenta Fund	Argenta Fund Actions Europe	6,558	0.00	0.54	30-Jun-2013
Argenta Fund	Argenta Fund Actions Monde	2,568	0.00	0.21	31-May-2013
Argenta Fund	Argenta Fund Responsible Growth Fund	3,331	0.00	0.27	30-Jun-2013
Petercam S.A.	Argenta Pensioenspaarfonds	45,000	0.02	3.71	31-May-2013
Total Argenta		62,773	0.03	5.16	
Belfius					
Dexia Asset Management Belgium S.A.	Cleome Index Daily Consumption	1,527	0.00	0.13	30-Jun-2013
Dexia Asset Management Belgium S.A.	Cleome Index Europe	21,088	0.01	1.72	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L EMU	30,919	0.01	2.52	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Sustainable World	3,543	0.00	0.29	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Luxpart	2,960	0.00	0.23	31-Mar-2013
Dexia Asset Management Belgium S.A.	Dexia Sustainable World	540	0.00	0.05	30-Jun-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	3,826	0.00	0.26	31-Dec-2012
Dexia Asset Management S.A. (France)	Dexia Long Short European Equity	195	0.00	0.02	31-Mar-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total Belfius		64,598	0.03	5.20	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares Dow Jones-UBS Commodity Swap (De)	31,688	0.02	1.96	30-Sep-2012
BlackRock Asset Management Deutschland AG	iShares DAX (DE)	4,287,882	2.05	349.56	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares Dow Jones Eurozone Sustainability Screened (DE)	11,610	0.01	0.95	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares Euro Stoxx (DE)	48,364	0.02	3.94	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	74,562	0.04	6.08	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Personal & Household Goods (DE)	19,032	0.01	1.48	31-Mar-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Large 200 (DE)	990	0.00	0.08	30-Jun-2013
Total BlackRock Germany		4,474,128	2.14	364.06	
BNP Paribas					
Alfred Berg Kapitalforvaltning AS	Alfred Berg Global	553	0.00	0.04	28-Feb-2013
Alfred Berg Kapitalforvaltning AS	Alfred Berg Global Quant	4,000	0.00	0.32	30-Apr-2013
Alfred Berg Kapitalförfärltning AB	Lansforsakringar Pension 2010	1,215	0.00	0.10	31-Mar-2013
Alfred Berg Kapitalförfärltning AB	Lansforsakringar Pension 2015	2,037	0.00	0.16	31-Mar-2013
Alfred Berg Kapitalförfärltning AB	Lansforsakringar Pension 2020	2,342	0.00	0.18	31-Mar-2013
Alfred Berg Kapitalförfärltning AB	Lansforsakringar Pension 2025	2,427	0.00	0.19	31-Mar-2013
Alfred Berg Kapitalförfärltning AB	Lansforsakringar Pension 2030	2,566	0.00	0.20	31-Mar-2013
Alfred Berg Kapitalförfärltning AB	Lansforsakringar Pension 2035	1,791	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförfärltning AB	Lansforsakringar Pension 2040	1,370	0.00	0.11	31-Mar-2013
Bishop Street Capital Management Corp		3,071	0.00	0.13	30-Jun-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	1,257	0.00	0.10	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	36	0.00	0.00	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Indice Euro	19,343	0.01	1.33	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Equity Europe Consumer Durables	2,712	0.00	0.21	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners (France)	BNPP L1 Equity Germany	40,575	0.02	2.79	28-Feb-2013
BNP Paribas Investment Partners (France)	EasyETF Euro Stoxx	6,367	0.00	0.52	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Low Carbon 100 Europe	4,393	0.00	0.36	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	5,906	0.00	0.48	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity Europe LS30	435	0.00	0.02	31-Jul-2012
BNP Paribas Investment Partners (France)	Parworld Track Continental Europe	5,945	0.00	0.47	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track EMU	2,755	0.00	0.22	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track Europe	6,753	0.00	0.53	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track FTSE EDHEC-Risk Efficient Eurobloc	1,902	0.00	0.15	31-Mar-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	2,260	0.00	0.12	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	2,151	0.00	0.11	31-Jan-2012
BNP Paribas Investment Partners España SA SGIIC	6V De Valores Mobiliarios SICAV SA	200	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Aledo Inversiones SICAV SA	115	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Alfa Inversiones SICAV SA	400	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Alkeh 2000 SICAV SA	666	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ayedo de Inversiones SICAV SA	208	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas CAAP Moderado FI	1,682	0.00	0.13	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Diversified FI	1,550	0.00	0.12	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Global Conservative FI	1,626	0.00	0.13	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Mixto Moderado FI	1,800	0.00	0.14	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Base Rioja 2 SICAV SA	271	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	CMA Global Investments SICAV SA	861	0.00	0.07	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Compromiso Fondo Etico FI	951	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Costa Rica Inversiones SICAV SA	608	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Esjapiol SICAV SA	366	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Gonrapa Inversiones SICAV SA	442	0.00	0.04	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners España SA SGIIC	Gripa SICAV SA	641	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Hedge Inversiones SICAV SA	215	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Icles Investments SICAV SA	748	0.00	0.06	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ingoso 2000 SICAV SA	268	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Inversiones Diema SICAV SA	178	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Inversiones Realtta SICAV SA	1,449	0.00	0.11	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Inversiones Sanfe SICAV SA	150	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ivernessis Inversiones SICAV SA	47	0.00	0.00	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Mirriax SICAV SA	498	0.00	0.04	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Overall Inversiones SICAV SA	222	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Password Inversiones SICAV SA	800	0.00	0.06	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Prestige Inversiones SICAV SA	198	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Royalty Inversiones SICAV SA	276	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Snoopy Inversiones SICAV SA	92	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Speculum Global SA SICAV	1,254	0.00	0.10	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Split Inversiones SICAV SA	291	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Timing Inversiones SICAV SA	225	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Vasanva SA SICAV	1,221	0.00	0.10	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Yield Inversiones SICAV SA	340	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ziorvel SICAV SA	352	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2018	244	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2022	379	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2028	388	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2032	385	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2038	165	0.00	0.01	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2040	217	0.00	0.02	31-Aug-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Luxembourg	Luxumbrella - Equity Premium EUR	4,997	0.00	0.32	30-Apr-2012
Exane Asset Management	Exane Equity Select Europe	5,517	0.00	0.37	31-Dec-2012
Total BNP Paribas		157,665	0.08	11.43	
Commerzbank					
Commerzbank AG	ComStage ETF FR DAX	15,161	0.01	1.24	30-Jun-2013
Total Commerzbank		15,161	0.01	1.24	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI EMU	70,102	0.03	3.97	31-Jul-2012
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	2,375	0.00	0.17	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	513	0.00	0.03	31-Jul-2012
Credit Suisse Asset Management	CS ETF (Lux) on MSCI EMU Large Cap	28,243	0.01	2.22	30-Apr-2013
Credit Suisse Asset Management	CS SICAV One (Lux) Equity Eurozone	70,003	0.03	5.77	31-May-2013
Credit Suisse Asset Management	CSIF Europe ex CH Index Blue	42,258	0.02	3.48	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	5,893	0.00	0.36	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	5,172	0.00	0.32	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	555	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	2,438	0.00	0.15	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	1,724	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	635	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	3,928	0.00	0.24	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	2,813	0.00	0.17	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	830	0.00	0.05	30-Sep-2012
Credit Suisse Asset Management	Csif Eurozone Index	174,245	0.08	14.35	31-May-2013
Credit Suisse Asset Management KAG mbH	CS Portfolio Plus	1,800	0.00	0.14	30-Jun-2013
Credit Suisse Asset Management KAG mbH	Credit Suisse Aktien Plus	2,900	0.00	0.23	30-Jun-2013
Credit Suisse Private Banking (Singapore)	Credit Suisse SICAV (Lux) Equity Asia Consumer	4,000	0.00	0.33	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity Europe (CN)	1,854	0.00	0.11	30-Apr-2012
Crédit Suisse Gestión S.G.I.I.C., S.A.	Fesis Responsabilidad Inversiones SICAV SA	410	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inpisa Dos SICAV SA	2,700	0.00	0.21	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	RFMI Multigestion FI	698	0.00	0.05	31-Mar-2013
Total Credit Suisse		426,089	0.17	32.57	
DeKa Bank					
Deka Investment GmbH	Deka Arideka Fonds	100,000	0.05	6.71	31-Dec-2012
Deka Investment GmbH	Deka Europa Trend	7,798	0.00	0.52	31-Dec-2012
Deka Investment GmbH	Deka Europavalue	3,256	0.00	0.22	31-Dec-2012
Deka Investment GmbH	Deka Eurostocks	68,100	0.03	4.57	31-Dec-2012
Deka Investment GmbH	Deka Kohn-Aktienfonds	19,800	0.01	1.33	31-Dec-2012
Deka Investment GmbH	Deka Kommunal Euroland Balance	17,691	0.01	1.18	31-Dec-2012
Deka Investment GmbH	Deka Naspa Europafonds	2,977	0.00	0.20	31-Dec-2012
Deka Investment GmbH	Deka Privat Vorsorge AS	12,000	0.01	0.81	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Konservativ	1,082	0.00	0.08	31-Dec-2012
Deka Investment GmbH	Deka Stiftungen Balance	33,310	0.02	2.23	31-Dec-2012
Deka Investment GmbH	Deka-Deutschland Balance CF	14,250	0.01	0.96	31-Dec-2012
Deka Investment GmbH	Deka-Europa Aktien Spezial	98	0.00	0.01	31-Dec-2012
Deka Investment GmbH	Deka-EuropaGarant 80	2,544	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka-Nachhaltigkeit Balance	2,362	0.00	0.16	31-Dec-2012
Deka Investment GmbH	DekaFonds	900,000	0.43	60.36	31-Dec-2012
Deka Investment GmbH	Dekalux Deutschland TF	190,000	0.09	12.74	31-Dec-2012
Deka Investment GmbH	Dekalux Europa TF	35,000	0.02	2.34	31-Dec-2012
Deka Investment GmbH	Frankfurter-Sparinvest Deka	28,000	0.01	1.88	31-Dec-2012
Deka Investment GmbH	WestOptiFlex Alpha	2,800	0.00	0.19	31-Dec-2012
ETFlab Investment GmbH	ETFlab DAX	206,429	0.10	16.82	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ETFlab Investment GmbH	ETFlab DAX Ausschuttend	101,121	0.05	8.25	30-Jun-2013
ETFlab Investment GmbH	ETFlab EURO STOXX 50 Daily Short	3,485	0.00	0.20	31-Jul-2012
ETFlab Investment GmbH	ETFlab MSCI Emerging Markets	5,843	0.00	0.41	31-Jan-2013
ETFlab Investment GmbH	ETFlab MSCI Europe	1,664	0.00	0.14	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI Europe LC	1,810	0.00	0.15	30-Jun-2013
Total DekaBank		1,761,420	0.84	122.60	
Deutsche Bank					
DB Platinum Advisors	DB Platinum Croci Germany	18,667	0.01	1.30	31-Jan-2013
DB Platinum Advisors	DB Platinum IV Croci Euro	59,848	0.03	4.19	31-Jan-2013
DB Platinum Advisors	DB Platinum PWM CROCI Multi Fund	59,474	0.03	4.17	31-Jan-2013
DB Platinum Advisors	DB X-Trackers DAX UCITS ETF	2,145,280	1.03	174.89	30-Jun-2013
DB Platinum Advisors	DB X-Trackers LevDAX Daily ETF	7,889	0.00	0.64	30-Jun-2013
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	20,785	0.01	1.70	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P Europe 350 Shariah UCITS ETF	519	0.00	0.05	30-Jun-2013
DB Platinum Advisors	DB X-Trackers ShortDax X2 Daily UCITS ETF	19,545	0.01	1.59	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	265	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Index UCITS ETF	35,097	0.02	2.86	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI MSCI World Consumer Discretionary Index U	2,874	0.00	0.23	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pan-Euro Index UCITS ETF	1,875	0.00	0.15	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	14,163	0.01	1.15	30-Jun-2013
DB Platinum Advisors	db x-trackers ShortDAX Daily UCITS ETF	116,424	0.06	9.49	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe Christian UCITS ETF	299	0.00	0.02	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS Global Themes Equity Fund	2,025	0.00	0.14	31-Dec-2012
Deutsche Asset Management (Japan) Ltd.	Deutsche Eurostar Open	4,500	0.00	0.25	29-May-2012
Deutsche Asset Management (Korea) Co., Ltd.	Deutsche DWS Premier Europe Equity Class A	983	0.00	0.08	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Asset Management (Korea) Co., Ltd.	Deutsche DWS Premier Global Theme Equity Class A	154	0.00	0.02	31-Mar-2013
Deutsche Asset Management Investmentgesellschaft mbH	DEAM Fonds WOP 2	8,800	0.00	0.47	30-Jun-2012
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Deutschland Direkt 2014	70,600	0.03	4.85	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Lea Fonds	3,000	0.00	0.24	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds HAD Mitarbeiter II	800	0.00	0.05	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds PVZ 1	600	0.00	0.03	31-Jan-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Hw Fonds	1,200	0.00	0.07	30-Jun-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM-HAD-Mitarbeiter I	400	0.00	0.02	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	Deam Stratav European Strategy 1 Fonds	7,000	0.00	0.41	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	Degef Fonds Bayer Mitarbeiter	7,000	0.00	0.47	31-Dec-2012
Deutsche Asset Management Investmentgesellschaft mbH	Inka RF 1	10,000	0.00	0.54	31-Jan-2012
Deutsche Asset Management Investmentgesellschaft mbH	Luxembourg Placement Fund - Bolle	4,800	0.00	0.38	31-Mar-2013
Deutsche Postbank AG		40,600	0.02	2.51	30-Sep-2012
DWS Investment GmbH	Basler-Aktienfonds DWS	100,000	0.05	8.24	31-May-2013
DWS Investment GmbH	DWS Aktien Strategie Deutschland	450,000	0.22	36.69	30-Jun-2013
DWS Investment GmbH	DWS Deutschlandfond	1,000,000	0.48	81.52	30-Jun-2013
DWS Investment GmbH	DWS Equity Dividend Fund	69,000	0.03	5.62	30-Jun-2013
DWS Investment GmbH	DWS Eurovestafond	171,000	0.08	13.94	30-Jun-2013
DWS Investment GmbH	DWS German Equities Typ O	90,000	0.04	7.33	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DWS Global Equity Fund	405	0.00	0.03	30-Jun-2013
DWS Investment GmbH	DWS Institutional Euroland Equities 130/30	1,200	0.00	0.10	31-May-2013
DWS Investment GmbH	DWS International Fund	273,300	0.13	22.28	30-Jun-2013
DWS Investment GmbH	DWS Invest European Equities	49,749	0.02	4.06	30-Jun-2013
DWS Investment GmbH	DWS Invest German Equities LC	56,078	0.03	4.57	30-Jun-2013
DWS Investment GmbH	DWS Invest II ESG Equity Europe	1,300	0.00	0.11	30-Jun-2013
DWS Investment GmbH	DWS Invest II Europe Stability	600	0.00	0.05	30-Jun-2013
DWS Investment GmbH	DWS Invest Top Euroland	40,420	0.02	3.29	30-Jun-2013
DWS Investment GmbH	DWS Investa	1,100,000	0.53	89.67	30-Jun-2013
DWS Investment GmbH	DWS Merkur Fonds 1	40,000	0.02	3.26	30-Jun-2013
DWS Investment GmbH	DWS Performance Strategy	1,500	0.00	0.12	31-May-2013
DWS Investment GmbH	DWS Sachwerte	40,000	0.02	3.26	30-Jun-2013
DWS Investment GmbH	DWS Timing Chance Vermögensmandat	2,000	0.00	0.17	30-Jun-2013
DWS Investment GmbH	DWS Variable Series I-International VIP	51,500	0.02	4.20	30-Jun-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	3,350	0.00	0.27	30-Jun-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	245,000	0.12	19.98	30-Jun-2013
DWS Investment GmbH	DWS Vola Strategy	3,000	0.00	0.24	30-Jun-2013
DWS Investment GmbH	DWS WvF Strategie Fonds Nr 1	72,000	0.03	5.93	31-May-2013
DWS Investment GmbH	Postbank Dynamik DAX	45,183	0.02	3.03	31-Dec-2012
DWS Investment GmbH	Postbank Dynamik Vision	44,000	0.02	3.59	30-Jun-2013
DWS Investment GmbH	The European Equity Fund, Inc.	18,000	0.01	1.41	31-Mar-2013
Deutsche Bank AG	DWS Etoile	792	0.00	0.07	31-May-2013
DWS Investments	DWS Europaische Aktien Typ O	121,700	0.06	9.92	30-Jun-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Bester Zins SICAV SA	158	0.00	0.01	31-Dec-2011
DWS Investments (Spain), S.G.I.I.C., S.A.	Bierzo 99 de Inversiones SICAV S.A	500	0.00	0.04	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	DWS Europa Bolsa FI	4,780	0.00	0.28	31-Mar-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investments (Spain), S.G.I.I.C., S.A.	DWS Foncreativo FI	173	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Inversiones Pradoviejo CIII SICAV SA	209	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Invervulcano SICAV SA	598	0.00	0.03	31-Dec-2011
DWS Investments (Spain), S.G.I.I.C., S.A.	Louca 98 SICAV SA	3,000	0.00	0.23	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Pigoysa de Inversiones SICAV SA	252	0.00	0.02	31-Dec-2011
DWS Investments (Spain), S.G.I.I.C., S.A.	Trachelium SICAV SA	300	0.00	0.02	31-Dec-2011
FPM Frankfurt Performance Management AG	DWS Top 25 S	4,500	0.00	0.37	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT Frankfurt-Effekten	670,000	0.32	54.62	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Nikolaus Belling Global Fund	2,400	0.00	0.19	30-Apr-2013
Global Thematic Partners, LLC	DWS Global Thematic	983	0.00	0.08	30-Jun-2013
Global Thematic Partners, LLC	DWS Invest Global Ex Japan (USD)	1,104	0.00	0.09	31-May-2013
Northern Trust Global Investments	DWS EAFFE Equity Index Fund	4,242	0.00	0.35	31-May-2013
Oppenheim Kapitalanlagegesellschaft mbH	OP Euroland Werte	6,692	0.00	0.52	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Dax-Werte Fund	6,519	0.00	0.51	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Dynamic Europe Balance	5,671	0.00	0.44	31-Mar-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	13,178	0.01	0.83	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	324	0.00	0.03	30-Jun-2013
Total Deutsche Bank		7,482,126	3.58	604.61	
DZ Bank					
DZ Privatbank S.A.	DZPB Portfolio - Zuwachs	13,000	0.01	1.02	31-Mar-2013
IPConcept (Luxemburg) S.A.	BS Best Strategies UL Fd - Trend & Value	27,000	0.01	1.81	31-Dec-2012
IPConcept (Luxemburg) S.A.	CMT - European Market Maximum Yield	15,000	0.01	1.18	30-Apr-2013
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Aktien	3,000	0.00	0.20	31-Dec-2012
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Chance	2,000	0.00	0.14	31-Dec-2012
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Ertrag	4,000	0.00	0.27	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Wachstum	4,000	0.00	0.27	31-Dec-2012
IPConcept (Luxemburg) S.A.	WAC Fonds - 1	4,000	0.00	0.27	28-Feb-2013
Union Investment Group	FVB-Deutscher Aktienfonds	9,800	0.00	0.77	31-Mar-2013
Union Investment Group	UniDynamicFonds - Europa	39,000	0.02	3.05	31-Mar-2013
Union Investment Group	UniMarktführer	19,887	0.01	1.55	31-Mar-2013
Union Investment Group	Unieuropa	19,900	0.01	1.55	31-Mar-2013
Union Investment Group	Union Inv Volksbank Gütersloh NachhaltigkeitsInvest	10,000	0.00	0.78	31-Mar-2013
Union Investment Group	Union Investment Geno Euro Classic	28,355	0.01	2.22	31-Mar-2013
Union Investment Group	Union Investment Geno Euro Classic II	17,246	0.01	1.35	31-Mar-2013
Union Investment Group	Union Investment GenoAS 1	22,735	0.01	1.78	31-Mar-2013
Union Investment Group	Union Investment Invest Euroland	23,000	0.01	1.80	31-Mar-2013
Union Investment Group	Union Investment UniDeutschland	240,000	0.11	18.76	31-Mar-2013
Union Investment Group	Union Investment UniEuroAktien	230,000	0.11	17.98	31-Mar-2013
Union Investment Group	Union Investment UniFonds -net-	754,800	0.36	59.01	31-Mar-2013
Union Investment Group	Union Investment Unifonds	127,550	0.06	9.97	31-Mar-2013
Union Investment Group	Union Investment Unirak	300,000	0.14	23.45	31-Mar-2013
Union Investment Group	Union investment UniEuropa -net-	15,900	0.01	1.24	31-Mar-2013
Total DZ Bank		1,930,173	0.92	150.43	
ING					
ING Investment Management (Netherlands)	ING (B) Invest Germany	10,500	0.01	0.87	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Sustainable Equity	25,685	0.01	2.12	31-May-2013
ING Investment Management (Netherlands)	ING Duurzaam Aandelen Fonds	17,045	0.01	1.40	31-May-2013
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	3,372	0.00	0.23	31-Dec-2012
ING Investment Management Co. LLC	ING International Index Portfolio	7,834	0.00	0.61	31-Mar-2013
ING Investments, LLC	ING International Capital Appreciation Fund (CN)	7,357	0.00	0.36	31-Dec-2011
Total ING		71,793	0.03	5.59	

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC					
KBC Asset Management N.V.	KBC Eco Fund - Sustainable Euroland	873	0.00	0.08	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Consumer Durables	7,058	0.00	0.58	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Euro Cyclical	745	0.00	0.06	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Europe	9,200	0.00	0.76	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Eurozone	117,484	0.06	9.68	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund SRI Equity Institutional Shares	1,741	0.00	0.11	31-Dec-2012
KBC Asset Management N.V.	KBC Equity Fund World	2,620	0.00	0.22	31-May-2013
KBC Asset Management N.V.	KBC Fivest Euroland	5,491	0.00	0.27	31-Dec-2011
KBC Asset Management N.V.	KBC Index Fund Europe	1,662	0.00	0.14	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	1,635	0.00	0.14	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Equity	35,664	0.02	2.94	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund European Equity	229	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	82	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global SRI Defensive 1	1,206	0.00	0.10	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund SRI Euro Equities	4,622	0.00	0.38	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	1,201	0.00	0.10	31-May-2013
KBC Asset Management N.V.	KBC Multi Track Fund Germany	27,816	0.01	2.29	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund Euro Equity	24,353	0.01	2.01	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund European Equity	5,267	0.00	0.44	31-May-2013
KBC Asset Management N.V.	Pricos	127,575	0.06	6.26	31-Dec-2011
KBC Asset Management N.V.	Pricos Defensive	3,721	0.00	0.18	31-Dec-2011
Total KBC		380,245	0.18	26.75	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG EuroBalance	1,580	0.00	0.12	31-Mar-2013
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG ProInvest	23,500	0.01	1.84	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total Munich Re		25,080	0.01	1.96	
UBS					
CCR Asset Management	Le Cottage	2,950	0.00	0.20	30-Nov-2012
CCR Asset Management	Lundy	1,750	0.00	0.11	30-Nov-2012
Jupiter Asset Management Ltd.	CCR Croissance Europe	127,823	0.06	8.78	28-Feb-2013
UBS Gestión, S.G.I.I.C., S.A.	Acates Sisapon SICAV SA	1,000	0.00	0.08	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Boj Investment SICAV SA	1,000	0.00	0.08	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity Fund - Euro Countries Multi Strategy	31,302	0.01	2.46	30-Apr-2013
UBS Global Asset Management (Deutschland) GmbH	UBS (D) Aktienfonds Special I Deutschland	47,400	0.02	3.73	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - European Equities	3,650	0.00	0.26	31-Jan-2013
UBS Global Asset Management (Switzerland)	Skandia Maturity Protected Fund 2016	40,044	0.02	2.65	30-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe Passive	48,081	0.02	3.78	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	1,171	0.00	0.09	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	173	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	129	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	971	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	145	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	137	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	1,023	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	1,827	0.00	0.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	568	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	215	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	305	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	171	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	80	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	1,012	0.00	0.08	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	332	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	86	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	1,290	0.00	0.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	377	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	182	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	653	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	685	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	110	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	69	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	540	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	406	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	84	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	3,694	0.00	0.18	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	193	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (LUX) Struct Rogers Intl Commodity Index (CHF)	34,000	0.02	2.01	31-Aug-2012
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Structured SICAV - Multi Manager Guaranteed 2013 (6,372	0.00	0.50	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Structured SICAV Rogers Int Commodity Index (Eur)	61,676	0.03	3.63	31-Aug-2012
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Structured SICAV Rogers Int Commodity Index USD	61,528	0.03	3.63	31-Aug-2012
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	2,043	0.00	0.16	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - FTSE 100 SF	7,445	0.00	0.40	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI Canada TRN Index SF	3,232	0.00	0.17	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI EMU TRN Index SF	186	0.00	0.01	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI Emerging Markets TRN Index SF	13,113	0.01	0.71	30-Jun-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI Japan TRN Index SF	126	0.00	0.01	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI USA TRN Index SF	771	0.00	0.05	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - S&P 500 Index SF	491	0.00	0.03	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc-MSCI ACWI Risk Weighted TRN Index SF	307	0.00	0.02	31-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc-MSCI EMU Growth TRN Idx SF (EUR)	65	0.00	0.01	31-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc-MSCI USA Growth TRN Idx SF (USD)	8,437	0.00	0.57	31-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI EMU	40,914	0.02	3.22	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI Europe & Middle East Socially Responsible	1,796	0.00	0.14	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	3,681	0.00	0.29	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World Socially Responsible	1,668	0.00	0.13	30-Apr-2013
Total UBS		569,479	0.27	38.99	
UniCredit					
Pioneer Investment Management Ltd.	Pioneer Azionario Europa	16,361	0.01	1.03	30-Apr-2012
Pioneer Investment Management Ltd.	Pioneer CIM - Global Equity	16,467	0.01	1.30	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - European Research	67,197	0.03	5.29	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Euroland Equity	409,568	0.20	32.23	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Inv Aktien Deutschland	4,456	0.00	0.35	30-Apr-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	2,640	0.00	0.14	30-Jun-2012
Pioneer Investment Management, Inc.	Pioneer Multi-Asset Real Return Fund	35,812	0.02	2.92	30-Jun-2013
Pioneer Investments Austria GmbH	A 109 A	10,500	0.01	0.69	30-Nov-2012
Pioneer Investments Austria GmbH	Pioneer Akciový Fond	9,400	0.00	0.77	30-Jun-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordtrend	6,775	0.00	0.54	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments Euroaktien	3,406	0.00	0.27	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments German Equity	44,469	0.02	3.50	30-Apr-2013
Structured Invest S.A.	OptiTrend Balance	7,000	0.00	0.47	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total UniCredit		634,051	0.30	49.49	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Anglo American

Table 104 provides an overview of the shares of Anglo American which were owned or managed by the selected financial institutions at the most recent filing date.

Table 104 Shareholders of Anglo American

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Azioni Europa	52,805	0.00	0.77	30-Jun-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Fonds Assecura 1	9,319	0.00	0.22	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz High Dividend Discount	31,670	0.00	0.58	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	1,515,307	0.11	27.76	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	1,541,450	0.11	35.66	31-Oct-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	12,798	0.00	0.29	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögensbildung Europa	95,100	0.01	1.67	31-May-2013
Allianz Global Investors Taiwan Ltd.	Allianz Global Investors Global Emerging Markets Fund	50,159	0.00	1.16	31-Dec-2012
Allianz Global Investors (UK) Limited	Allianz UK Equity Fund (CN)	13,103	0.00	0.32	30-Jun-2012
Allianz Global Investors U.S. LLC	AllianzGI Global Equity & Convertible Income Fund	6,416	0.00	0.09	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI International & Premium Strategy Fund	32,097	0.00	0.47	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	76,793	0.01	1.78	31-Dec-2012
RCM (UK) Ltd.	Allianz UK Growth Fund	61,502	0.00	1.52	30-Jun-2012
RCM (UK) Ltd.	Allianz UK Index Fund	16,480	0.00	0.38	31-May-2012
RCM (UK) Ltd.	Brunner Investment Trust PLC	123,083	0.01	3.52	30-Nov-2011

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
RCM Vermögensverwaltungsgesellschaft		344,831	0.02	5.01	04-Jun-2013
Total Allianz		3,982,913	0.29	81.19	
Argenta					
Argenta Fund	Argenta Fund Actions Industrie de Base	19,056	0.00	0.28	30-Jun-2013
Total Argenta		19,056	0.00	0.28	
Belfius					
Dexia Asset Management Belgium S.A.	Cleome Index Europe	145,015	0.01	2.10	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L United Kingdom	40,000	0.00	0.70	31-May-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	16,696	0.00	0.38	31-Dec-2012
Total Belfius		201,711	0.01	3.19	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares FTSE 100 (DE)	72,352	0.01	1.05	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 50 (DE)	0	0.00	0.00	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	504,390	0.04	7.32	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Basic Resources (DE)	1,029,168	0.07	14.94	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Large 200 (DE)	6,660	0.00	0.10	30-Jun-2013
Total BlackRock		1,612,570	0.12	23.41	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	5,090	0.00	0.10	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	7,824	0.00	0.15	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	9,814	0.00	0.19	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	10,528	0.00	0.20	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	11,114	0.00	0.22	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	7,809	0.00	0.15	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	5,973	0.00	0.11	31-Mar-2013
Bishop Street Capital Management Corp		1,674	0.00	0.02	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Energy	1	0.00	0.00	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Materials	100,483	0.01	2.21	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	8,351	0.00	0.16	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	243	0.00	0.01	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Equity Europe Materials	47,611	0.00	0.87	30-Apr-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	39,708	0.00	0.57	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity UK Fund	15,403	0.00	0.35	31-May-2012
BNP Paribas Investment Partners (France)	Parworld Track Europe	46,829	0.00	0.90	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track UK	15,883	0.00	0.31	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.		694,699	0.05	15.38	29-Sep-2012
Exane Asset Management	Exane Equity Select Europe	41,803	0.00	0.97	31-Dec-2012
Total BNP Paribas		1,070,840	0.08	22.88	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on FTSE 100	62,008	0.00	1.39	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	15,721	0.00	0.35	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI UK	160,438	0.01	3.62	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	2,675	0.00	0.06	31-Jul-2012
Credit Suisse Asset Management	CSIF Europe ex CH Index Blue	280,544	0.02	4.91	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	3,486	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	23,612	0.00	0.52	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	3,062	0.00	0.07	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	1,530	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	6,238	0.00	0.14	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	2,745	0.00	0.06	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	1,734	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	11,750	0.00	0.26	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	3,298	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Csif Europe Ex Eurozone/Ch Index	717,208	0.05	12.54	31-May-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI UK Large Cap	54,782	0.00	1.24	31-Jan-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity Europe (CN)	11,689	0.00	0.34	30-Apr-2012
Total Credit Suisse		1,362,520	0.08	25.73	
DeKaBank					
Deka Investment GmbH	Deka Arideka Fonds	565,000	0.04	13.11	31-Dec-2012
Deka Investment GmbH	Deka BR 100	132,459	0.01	3.08	31-Dec-2012
Deka Investment GmbH	Deka BR 20	3,392	0.00	0.08	31-Dec-2012
Deka Investment GmbH	Deka BR 35	2,684	0.00	0.06	31-Dec-2012
Deka Investment GmbH	Deka BR 55	2,917	0.00	0.07	31-Dec-2012
Deka Investment GmbH	Deka BR 75	24,687	0.00	0.57	31-Dec-2012
Deka Investment GmbH	Deka BR 85	7,050	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka Basisindustrie	2,200	0.00	0.05	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	2,500	0.00	0.06	31-Dec-2012
Deka Investment GmbH	Deka Europavalue	30,610	0.00	0.71	31-Dec-2012
Deka Investment GmbH	Deka Institutionell Aktien Europa	6,500	0.00	0.15	31-Dec-2012
Deka Investment GmbH	Deka Naspa Aktienfonds	7,517	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka Naspa Fonds	7,000	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Offensiv	10,225	0.00	0.26	30-Jun-2012
Deka Investment GmbH	Deka Spezial Fund	65,866	0.00	1.53	31-Dec-2012
Deka Investment GmbH	Deka-bAV-Fonds	16,196	0.00	0.38	31-Dec-2012
Deka Investment GmbH	Dekalux Europa TF	160,000	0.01	3.71	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	6,800	0.00	0.17	30-Jun-2012
ETFlab Investment GmbH	ETFlab MSCI Europe	11,080	0.00	0.16	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI Europe LC	12,056	0.00	0.17	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total DeKaBank		1,076,739	0.08	24.81	
Deutsche Bank					
DB Platinum Advisors					
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	138,419	0.01	2.01	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Leveraged Daily UCITS ETF	1,803	0.00	0.03	30-Apr-2013
DB Platinum Advisors	db x-trackers FTSE 100 Short Daily ETF	42,749	0.00	0.62	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Super Short Daily UCITS ETF	1,477	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 UCITS ETF	222,256	0.02	3.23	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-Share UCITS ETF	133,751	0.01	1.94	30-Jun-2013
DB Platinum Advisors	db x-trackers Global Fund Supporters UCITS ETF	1,129	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Index UCITS ETF	233,727	0.02	3.39	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Value Index UCITS ETF	2,410	0.00	0.04	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pan-Euro Index UCITS ETF	12,486	0.00	0.18	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Materials Index UCITS ETF	4,111	0.00	0.06	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 Basic Resources UCITS ETF	460,927	0.03	6.69	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	95,143	0.01	1.38	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe 600 Basic Resources Short Daily U	26,563	0.00	0.56	30-Nov-2012
DB Platinum Advisors	db x-trackers Stoxx Europe Christian UCITS ETF	1,620	0.00	0.02	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds HAD Mitarbeiter II	2,000	0.00	0.05	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	3,670	0.00	0.11	31-Dec-2011
Deutsche Asset Management Investmentgesellschaft mbH	DeAM-HAD-Mitarbeiter I	1,000	0.00	0.03	31-Mar-2012
Deutsche Far Eastern Asset Management Co. Ltd	Deutsche Far Eastern DWS Global Material & Energy Fund	14,630	0.00	0.34	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Global Metals & Mining Typ O	93,258	0.01	1.36	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Postbank AG		70,000	0.01	1.55	30-Sep-2012
DWS Investment GmbH	DWS Global Equity Fund	3,961	0.00	0.06	30-Jun-2013
DWS Investment S.A.	DWS Etoile	5,101	0.00	0.09	31-May-2013
Northern Trust Global Investments	DWS EAFE Equity Index Fund	28,372	0.00	0.50	31-May-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	3,317	0.00	0.10	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	4,058	0.00	0.06	30-Jun-2013
Total Deutsche Bank		1,607,938	0.12	24.44	
DZ Bank					
DZ Privatbank S.A.		2,457,476	0.18	35.67	03-Jun-2013
Union Investment Group	Bbv-Invest-Union	16,763	0.00	0.32	31-Mar-2013
Union Investment Group	FVB-Deutscher Aktienfonds	5,000	0.00	0.10	31-Mar-2013
Union Investment Group	MVB Union Global Plus	3,600	0.00	0.07	31-Mar-2013
Union Investment Group	UniEM Global	116,000	0.01	2.25	31-Mar-2013
Union Investment Group	UniEM Immuno 90	700	0.00	0.02	31-Mar-2013
Union Investment Group	UniRak Emerging Markets	35,000	0.00	0.68	31-Mar-2013
Union Investment Group	Unieuropa	80,000	0.01	1.55	31-Mar-2013
Union Investment Group	Union Investment Multi-Asset Fonds Weinheim	60,000	0.00	1.69	31-Mar-2012
Union Investment Group	Union investment UniEuropa -net-	61,600	0.00	1.19	31-Mar-2013
Total DZ Bank		2,836,139	0.20	43.53	
ING					
ING Investment Management (Netherlands)	ING Emerging Countries Fund	20,600	0.00	0.29	31-Mar-2012
ING Investment Management Co. LLC	ING FTSE 100 Index Portfolio	292,434	0.02	5.67	31-Mar-2013
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	3,152	0.00	0.08	31-Dec-2012
ING Investment Management Co. LLC	ING Infrastructure, Industrials and Materials Fund	142,542	0.01	2.76	31-Mar-2013
ING Investment Management Co. LLC	ING International Index Portfolio	50,040	0.00	0.97	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total ING		508,768	0.04	9.77	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	8,757	0.00	0.15	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Euro Cyclical	4,968	0.00	0.09	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Quant Europe	12,708	0.00	0.35	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund SRI Equity Institutional Shares	1,392	0.00	0.03	31-Dec-2012
KBC Asset Management N.V.	KBC Equity Fund World	159	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Index Fund Europe	11,402	0.00	0.20	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	5,075	0.00	0.09	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Satellite Equity	34,830	0.00	0.61	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund European Equity	960	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	63	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global SRI Defensive 1	957	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund SRI World Equity	3,578	0.00	0.08	31-May-2012
KBC Asset Management N.V.	KBC Institutional Global	901	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional SRI World Equity Cap	22,195	0.00	0.38	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund European Equity	33,780	0.00	0.59	31-May-2013
KBC Asset Management N.V.	Sivek Global Low Fund	115	0.00	0.00	31-Dec-2011
KBC Asset Management N.V.	Sivek Global Medium Fund	946	0.00	0.02	31-Dec-2011
KBC Fund Management Limited	Centea Fund World Select	1,264	0.00	0.04	31-Dec-2011
KBC Fund Management Limited	KBC Equity Fund Global Leaders	4,707	0.00	0.08	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	11,550	0.00	0.20	31-May-2013
KBC Fund Management Limited	Sivek Global High Fund	356	0.00	0.01	31-Dec-2011
Total KBC		160,663	0.01	2.98	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG EuroBalance	9,751	0.00	0.19	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total Munich Re		9,751	0.00	0.19	
UBS					
UBS (Luxembourg) S.A.		249,297	0.02	4.06	02-Jul-2013
UBS Gestión, S.G.I.I.C., S.A.	Anta Gestión Patrimonial SICAV SA	1,343	0.00	0.02	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Serantes Cartera SICAV SA	3,284	0.00	0.06	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS (LUX) Equity SICAV European Quantitative	87	0.00	0.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - European Equities	20,978	0.00	0.47	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Global Materials	28,406	0.00	0.52	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe Passive	314,352	0.02	5.76	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	5,740	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	1,109	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	638	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	5,377	0.00	0.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	1,038	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	681	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Basic Materials (USD)	2,848	0.00	0.06	30-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Inst SICAV - Alpha Choice EP	2,500	0.00	0.06	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	15,885	0.00	0.29	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	11,223	0.00	0.20	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	3,691	0.00	0.07	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	1,063	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	1,603	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	983	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	356	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	5,472	0.00	0.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	1,883	0.00	0.04	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	494	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	7,474	0.00	0.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	2,635	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	901	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	3,287	0.00	0.06	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	3,482	0.00	0.06	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	546	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	412	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	3,020	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	2,614	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	415	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS Inv Funds ICVC III UBS Global Allocation Fund (UK)	166,200	0.01	3.05	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	22,743	0.00	0.63	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	873	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	13,299	0.00	0.24	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF FTSE 100	133,797	0.01	2.45	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	26,476	0.00	0.48	30-Apr-2013
Total UBS		1,068,505	0.08	19.39	
UniCredit					
Pioneer Investment Management Ltd.	Pioneer Azionario Europa	207,568	0.01	3.81	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Gold and Mining	74,929	0.01	1.37	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Core European Equity	452,017	0.03	8.28	30-Apr-2013
Pioneer Investment Management SGRpA	Bancoposta Mix 1	104,361	0.01	2.29	28-Feb-2013
Pioneer Investment Management SGRpA	Bancoposta Mix 2	150,702	0.01	3.31	28-Feb-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	16,338	0.00	0.41	30-Jun-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investment Management, Inc.	Pioneer S.F. - European Equity Market Plus (EUR)	46,026	0.00	0.84	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordtrend	9,252	0.00	0.17	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Funds - European Equity Target Income A	80,636	0.01	1.48	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv VPV Spezial	14,902	0.00	0.27	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer N-Fonds Nr. 1 Europa	10,893	0.00	0.20	30-Apr-2013
Total UniCredit		1,167,624	0.08	22.43	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 105 provides an overview of the shares of Anglo American's separately listed subsidiary Anglo American Platinum which were owned or managed by the selected financial institutions at the most recent filing date.

Table 105 Shareholders of Anglo American Platinum

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	56,402	0.02	2.25	31-Dec-2012
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	277	0.00	0.01	30-Jun-2013
Total Allianz		56,679	0.02	2.25	
BNP Paribas					
Shinhan BNP Paribas Asset Management Co., Ltd.	SH BNPP TheDream MiddleEast&Africa Equity 1 Cls A	57	0.00	0.00	31-Mar-2013
Total BNP Paribas		57	0.00	0.00	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI South Africa	15,734	0.01	0.61	31-Jul-2012
Credit Suisse Asset Management	CS ETF (Lux) on MSCI Emerging Markets	34,836	0.01	1.00	30-Apr-2013
Credit Suisse Asset Management	CS Index Fund (LUX) Equities Emerging Markets Fund	2,500	0.00	0.09	31-Jan-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	351	0.00	0.02	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	1,359	0.00	0.05	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	171	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	141	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	415	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	193	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	243	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	882	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	226	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Csif Emerging Market Index	65,000	0.02	1.61	31-May-2013
Total Credit Suisse		122,051	0.04	3.49	
Deutsche Bank					
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	75	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Africa TOP 50 Index UCITS ETF	2,043	0.00	0.05	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM EMEA Index UCITS ETF	9,592	0.00	0.22	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Materials Index UCITS ETF	4,651	0.00	0.11	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Short Daily Index UCITS ETF	234	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Emerging Markets Index UCITS ETF	57,521	0.02	1.30	30-Jun-2013
Total Deutsche Bank		74,116	0.03	1.68	
ING					
ING Investment Management (Netherlands)	ING Emerging Countries Fund	3,889	0.00	0.20	31-Mar-2012
ING Investment Management Co. LLC	ING Emerging Markets Index Portfolio	11,436	0.00	0.26	30-Jun-2013
Total ING		15,325	0.01	0.46	
KBC					
KBC Asset Management N.V.	KBC Equity Fund World	37	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	3	0.00	0.00	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Institutional Global	47	0.00	0.00	31-May-2013
KBC Asset Management N.V.	Plato Institutional Index Emerging Markets Class Plus Shares	3,590	0.00	0.09	31-May-2013
KBC Fund Management Limited	KBC Equity Fund New Markets	2,873	0.00	0.07	31-May-2013
Total KBC		6,550	0.00	0.16	
UBS					
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - South Africa (CN)	7,244	0.00	0.35	30-Apr-2012
UBS (Luxembourg) S.A.	UBS-ETF MSCI Emerging Markets (USD) A-dis	6,540	0.00	0.19	30-Apr-2013
Total UBS		13,784	0.01	0.54	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

AngloGold Ashanti

Table 106 provides an overview of the shares of AngloGold Ashanti which were owned or managed by the selected financial institutions at the most recent filing date.

Table 106 Shareholders of AngloGold Ashanti

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	376,480	0.10	5.53	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	405,000	0.11	10.37	31-Oct-2012
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	70,800	0.02	1.67	31-Dec-2012
PIMCO (US)	PIMCO EqS Pathfinder Fund	549,984	0.14	9.76	31-Mar-2013
PIMCO (US)	PIMCO EqS Pathfinder Fund (Canada)	2,505	0.00	0.06	31-Dec-2012
PIMCO (US)	PIMCO EqS Pathfinder Portfolio	128,956	0.03	2.29	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
PIMCO (US)	PIMCO GIS EqS Pathfinder	255,568	0.07	4.54	31-Mar-2013
PIMCO (US)	PIMCO GIS Global Multi-Asset Fund	69,055	0.02	1.23	31-Mar-2013
RCM Capital Management LLC		416,480	0.11	7.39	31-Mar-2013
Total Allianz			2,274,828	0.59	42.85
BNP Paribas					
BNP Paribas Investment Partners (France)	BNP Paribas Equipe - Attacco	27,610	0.01	0.49	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Equipe - Centrocampio	12,485	0.00	0.22	31-Mar-2013
Shinhan BNP Paribas Asset Management Co., Ltd.	SH BNPP Gold Equity 1 Class A	21,542	0.01	0.38	31-Mar-2013
Total BNP Paribas			61,637	0.02	1.09
Commerzbank					
Commerzbank AG		156,929	0.04	1.69	30-Jun-2013
Total Commerzbank			156,929	0.04	1.69
Credit Suisse					
Credit Suisse Securities (USA) LLC		1,102,833	0.29	19.58	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Azilana Valores SICAV SA	185	0.00	0.00	31-Mar-2013
Total Credit Suisse			1,103,018	0.29	19.58
Deutsche Bank					
Deutsche Asset Management Americas		284,585	0.07	5.05	31-Mar-2013
Deutsche Bank Securities Inc.		14,152	0.00	0.25	31-Mar-2013
DWS Investment GmbH		123,070	0.03	2.19	31-Mar-2013
Total Deutsche Bank			421,807	0.11	7.49
PKO Bank Polski					
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Akcji Nowa Europa FIO	8,549	0.00	0.22	30-Jun-2012
Total PKO Bank Polski			8,549	0.00	0.22
UBS					
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Gold	103,420	0.03	1.52	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Securities LLC		284,380	0.07	5.05	31-Mar-2013
UBS		387,800	0.10	6.57	
UniCredit					
Pioneer Investments Austria GmbH	Pioneer Funds Austria Gold Stock	105,000	0.03	2.45	30-Nov-2012
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments Substanzwerte	40,000	0.01	0.59	30-Apr-2013
Total UniCredit		145,000	0.04	3.04	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Arch Coal

Table 107 provides an overview of the shares of Arch Coal which were owned or managed by the selected financial institutions at the most recent filing date.

Table 107 Shareholders of Arch Coal

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas					
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	185	0.00	0.00	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	78	0.00	0.00	31-Jan-2012
Total BNP Paribas		263	0.00	0.00	
Commerzbank					
Commerzbank AG		59,132	0.03	0.17	30-Jun-2013
Total Commerzbank		59,132	0.03	0.17	
Credit Suisse					
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI USA Small Cap	9,580	0.00	0.05	31-Jul-2012
Credit Suisse Securities (USA) LLC		326,224	0.15	1.33	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total Credit Suisse		335,804	0.16	1.39	
Deutsche Bank					
DB Platinum Advisors					
Deutsche Asset Management Americas	db x-trackers Russell 2000 UCITS ETF	68,061	0.03	0.20	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH		332,857	0.16	1.36	31-Mar-2013
Deutsche Bank Securities Inc.		336,160	0.16	1.38	31-Mar-2013
Deutsche Bank Securities Inc.		1,907	0.00	0.01	31-Mar-2013
Total Deutsche Bank		738,985	0.35	2.95	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Energy	163,471	0.08	0.60	30-Apr-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	192	0.00	0.00	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	2,524	0.00	0.01	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	12,689	0.01	0.05	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	19,881	0.01	0.08	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	7,637	0.00	0.03	31-May-2013
ING Investment Management (Netherlands)	ING Energy Fonds	55,366	0.03	0.22	31-May-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	85,606	0.04	0.35	31-Mar-2013
ING Investment Management Co. LLC	ING Global Natural Resources Fund	106,909	0.05	0.30	30-Jun-2013
ING Investment Management Co. LLC	ING Global Resources Portfolio	879,347	0.41	2.50	30-Jun-2013
ING Investment Management Co. LLC	ING Index Plus MidCap Portfolio	96,509	0.05	0.27	30-Jun-2013
ING Investment Management Co. LLC	ING Risk Managed Natural Resources Fund	97,388	0.05	0.28	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Small Cap Index Portfolio	118,800	0.06	0.49	31-Mar-2013
ING Securities Investment & Trust Co., Ltd.	ING Global Equity Fund	11,439	0.01	0.06	31-Dec-2012
Total ING		1,657,758	0.78	5.23	
UBS					
UBS Gestión, S.G.I.I.C., S.A.	Anta Gestion Patrimonial SICAV SA	9,015	0.00	0.04	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland) UBS Global Asset Management (Switzerland) UBS Global Asset Management (UK) Ltd. UBS Securities LLC	Multi Manager Access - US Equities	1,188	0.00	0.01	31-Jan-2013
	UBS (Lux) Institutional Fund Midcap US Equity	72,900	0.03	0.35	30-Sep-2012
		54,300	0.03	0.22	31-Mar-2013
		399,917	0.19	1.64	31-Mar-2013
Total UBS		537,320	0.25	2.25	
UniCredit					
Pioneer Investment Management, Inc.	Pioneer Funds US Small Companies	3,866	0.00	0.02	30-Nov-2012
Pioneer Investment Management, Inc.	Pioneer Investments Austria GmbH	116,100	0.05	0.33	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Akciový Fond	116,100	0.05	0.33	30-Jun-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordglobal	10,354	0.00	0.04	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordtrend	30,115	0.01	0.11	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Funds - Global Select	496,583	0.23	2.04	31-Mar-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv Top World	69,814	0.03	0.26	30-Apr-2013
Total UniCredit		842,932	0.40	3.13	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Areva

Table 108 provides an overview of the shares of Areva which were owned or managed by the selected financial institutions at the most recent filing date.

Table 108 Shareholders of Areva

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas					
BNP Paribas Investment Partners (France)	Profiléa Europe Multigestion Dynamique	234	0.00	0.00	31-Mar-2013

BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	235	0.00	0.01	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	246	0.00	0.01	31-Jan-2012
Total BNP Paribas		715	0.00	0.02	
DZ Bank					
Union Investment Group	UniDynamicFonds - Europa	278,000	0.07	3.09	31-Mar-2013
Union Investment Group	UniMid&SmallCaps Europa	103,022	0.03	1.15	31-Mar-2013
Total DZ Bank		381,022	0.10	4.24	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Quant Europe	1,808	0.00	0.03	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund World	4	0.00	0.00	31-May-2013
Total KBC		1,812	0.00	0.03	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

BAE Systems

Table 109 provides an overview of the shares of BAE Systems which were owned or managed by the selected financial institutions at the most recent filing date.

Table 109 Shareholders of BAE Systems

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz High Dividend Discount	170,000	0.01	0.75	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	97,260	0.00	0.38	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	12,866	0.00	0.05	30-Sep-2012
Allianz Global Investors (UK) Limited	Allianz UK Equity Fund (CN)	73,264	0.00	0.25	30-Jun-2012
Allianz Global Investors U.S. LLC	AllianzGI Global Equity & Convertible Income Fund	11,929	0.00	0.05	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI International & Premium Strategy Fund	56,269	0.00	0.25	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Dividendo Europa FI	107,627	0.00	0.48	31-Mar-2013
NFJ Investment Group LLC	Allianz Global Equity Dividend	865,800	0.03	4.02	31-May-2013
NFJ Investment Group LLC	AllianzGI NFJ Global Dividend Value Fund	242,100	0.01	1.06	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value Fund	2,324,100	0.07	41.31	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value II Fund	7,500	0.00	0.03	30-Jun-2013
NFJ Investment Group LLC	AZL NFJ International Value Fund	115,850	0.00	2.11	31-Mar-2013
PIMCO (US)	Target Moderate Allocation Fund	39,000	0.00	0.17	30-Apr-2013
RCM (UK) Ltd.	Allianz UK Equity Income Fund	845,786	0.03	2.89	30-Jun-2012
RCM (UK) Ltd.	Allianz UK Growth Fund	395,192	0.01	1.35	30-Jun-2012
RCM (UK) Ltd.	Allianz UK Index Fund	40,523	0.00	0.13	31-May-2012
RCM (UK) Ltd.	Allianz UK Unconstrained Fund	147,767	0.00	0.51	30-Jun-2012
RCM (UK) Ltd.	Brunner Investment Trust PLC	927,682	0.03	3.00	30-Nov-2011
RCM (UK) Ltd.	Merchants Trust PLC	6,223,056	0.19	22.75	31-Jan-2012
Total Allianz		12,703,571	0.39	81.54	
Belfius					
Dexia Asset Management Belgium S.A.	Dexia Equities L United Kingdom	74,520	0.00	0.35	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities Europe	1,040,894	0.03	4.83	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities World	33,712	0.00	0.16	31-May-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	62,237	0.00	0.26	31-Dec-2012
Total Belfius		1,211,363	0.04	5.59	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares FTSE 100 (DE)	180,152	0.01	0.79	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	1,171,062	0.04	5.14	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Industrial Goods & Services (DE)	210,726	0.01	0.93	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Large 200 (DE)	15,465	0.00	0.07	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Select Dividend 30 (DE)	918,827	0.03	4.03	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Global Select Dividend 100 (DE)	954,448	0.03	4.19	30-Jun-2013
Total BlackRock Germany		3,450,680	0.11	15.15	
BNP Paribas					
BNP Paribas Asset Management, Inc.	BNP Paribas Actions Monde	200,409	0.01	0.90	31-Mar-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Industrials	42,276	0.00	0.19	30-Apr-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	18,685	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	563	0.00	0.00	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Equity Europe Industrials	33,216	0.00	0.14	30-Apr-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	92,164	0.00	0.41	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity UK Fund	37,734	0.00	0.12	31-May-2012
BNP Paribas Investment Partners (France)	Parworld Track Europe	104,530	0.00	0.47	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track UK	37,166	0.00	0.17	31-Mar-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Opportunities World	61,965	0.00	0.25	30-Sep-2012
BNP Paribas Investment Partners UK Limited		174,443	0.01	0.69	24-Sep-2012
Total BNP Paribas		803,151	0.02	3.43	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on FTSE 100	155,768	0.00	0.63	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	37,460	0.00	0.15	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI UK	378,124	0.01	1.54	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	8,626	0.00	0.03	31-Jul-2012
Credit Suisse Asset Management	CS SICAV One (Lux) European Equity Dividend Plus	688,950	0.02	3.20	31-May-2013
Credit Suisse Asset Management	CSIF Europe ex CH Index Blue	655,465	0.02	3.05	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	8,208	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	55,597	0.00	0.22	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	7,209	0.00	0.03	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	3,602	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	14,689	0.00	0.06	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	6,463	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	4,082	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	27,667	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	7,766	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Csif Europe Ex Eurozone/Ch Index	1,705,880	0.05	7.91	31-May-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI UK Large Cap	130,076	0.00	0.53	31-Jan-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity Europe (CN)	24,997	0.00	0.09	30-Apr-2012
Total BNP Paribas		3,920,629	0.12	17.66	
DeKaBank					
Deka Investment GmbH	Deka Basisindustrie	28,000	0.00	0.10	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	23,200	0.00	0.10	31-Dec-2012
Deka Investment GmbH	Deka Dividendvalue Europa	183,326	0.01	0.63	30-Jun-2012
Deka Investment GmbH	Deka Keln-Aktien Global	29,600	0.00	0.12	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Offensiv	35,409	0.00	0.12	30-Jun-2012
Deka Investment GmbH	Deka-DividendenStrategie CF (A)	303,000	0.01	1.25	31-Dec-2012
Deka Investment GmbH	Dekalux Europa TF	365,000	0.01	1.51	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	45,000	0.00	0.15	30-Jun-2012
ETFlab Investment GmbH	ETFlab MSCI Europe	25,850	0.00	0.11	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI Europe LC	28,126	0.00	0.12	30-Jun-2013
Total DeKaBank		1,066,511	0.03	4.21	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	322,657	0.01	1.42	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P Europe 350 Shariah UCITS ETF	8,057	0.00	0.04	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Leveraged Daily UCITS ETF	4,477	0.00	0.02	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DB Platinum Advisors	db x-trackers FTSE 100 Short Daily ETF	106,135	0.00	0.47	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Super Short Daily UCITS ETF	3,666	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 UCITS ETF	551,800	0.02	2.42	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-Share UCITS ETF	332,066	0.01	1.45	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Index UCITS ETF	544,822	0.02	2.39	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Value Index UCITS ETF	5,617	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pan-Euro Index UCITS ETF	29,106	0.00	0.13	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Industrials Index UCITS ETF	90,635	0.00	0.40	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 Industrial Goods UCITS ETF	207,307	0.01	0.91	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	220,789	0.01	0.97	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe 600 Industrial Goods Short Daily	86,189	0.00	0.38	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Global Select Dividend 100 UCITS ETF	968,664	0.03	4.25	30-Jun-2013
Deutsche Asset Management Americas	DWS Global Income Builder Fund	1,249,236	0.04	5.07	31-Jan-2013
Deutsche Asset Management Americas	DWS Var. Series II-Global Income Builder VIP	314,200	0.01	1.24	30-Sep-2012
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2014	730,000	0.02	2.96	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2017	32,550	0.00	0.14	31-Jan-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Invest Top Dividend Premium	103,400	0.00	0.42	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	9,890	0.00	0.03	31-Dec-2011
Deutsche Asset Management Investmentgesellschaft mbH	Deutsche Bank Zins & Dividende - Offensiv	164,185	0.01	0.72	30-Jun-2013
DWS Investment GmbH	DWS Garant Top Dividende 2018	18,283	0.00	0.07	31-Aug-2012
DWS Investment GmbH	DWS Global Equity Fund	7,101	0.00	0.03	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DWS Global Value	2,750,000	0.09	11.16	28-Feb-2013
DWS Investment GmbH	DWS Invest Global Value	140,000	0.00	0.57	28-Feb-2013
DWS Investment GmbH	DWS Invest Top Dividend	3,693,127	0.11	14.99	28-Feb-2013
DWS Investment GmbH	DWS Top Dividende	19,609,223	0.61	79.57	28-Feb-2013
DWS Investment GmbH	DWS World Dividend Fund	660,310	0.02	2.90	30-Jun-2013
DWS Investment S.A.	DWS Etoile	12,553	0.00	0.06	31-May-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Simi Parillion SICAV SA	5,039	0.00	0.02	31-Mar-2013
Northern Trust Global Investments	DWS EAFFE Equity Index Fund	65,976	0.00	0.31	31-May-2013
Oppenheim Pramerica Asset Management S.à r.l.		167,200	0.01	0.66	24-Sep-2012
QS Investors, LLC	DWS Lifecycle Long Range Fund	4,921	0.00	0.02	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	8,559	0.00	0.04	30-Jun-2013
Total Deutsche Bank		33,227,740	1.03	136.26	
DZ Bank					
DZ Privatbank S.A.		2,966,004	0.09	12.24	20-Dec-2012
Quoniam Asset Management GmbH	Quoniam SICAV - Global Equities MinRisk	50,529	0.00	0.23	31-Mar-2013
Quoniam Asset Management GmbH	Unilnstitutional European MinRisk Equities	1,708,858	0.05	7.72	31-Mar-2013
Union Investment Group	Union Investment Uni21 Jahrhundert -net-	551,630	0.02	2.49	31-Mar-2013
Total DZ Bank		5,277,021	0.16	22.67	
ING					
ING Investment Management Co. LLC	ING FTSE 100 Index Portfolio	728,029	0.02	3.29	31-Mar-2013
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	13,170	0.00	0.05	31-Dec-2012
ING Investment Management Co. LLC	ING International Index Portfolio	124,118	0.00	0.56	31-Mar-2013
Total ING		865,317	0.03	3.90	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Euro Cyclical	11,884	0.00	0.05	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Equity Fund Industrials & Infrastructure	115,000	0.00	0.47	31-Dec-2012
KBC Asset Management N.V.	KBC Equity Fund World	1,036	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Index Fund Europe	26,996	0.00	0.13	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	21,972	0.00	0.11	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Satellite Equity	79,620	0.00	0.37	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	146	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	2,079	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund European Equity	78,142	0.00	0.36	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Buyback Europe	416,879	0.01	1.94	31-May-2013
Total KBC		753,754	0.02	3.44	
UBS					
UBS (Luxembourg) S.A.		4,903,493	0.15	25.09	03-Jul-2013
UBS Global Asset Management (Americas), Inc.	UBS (LUX) Equity SICAV European Quantitative	1,194	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity SICAV - European High Dividend	725,567	0.02	3.19	30-Apr-2013
UBS Global Asset Management (Canada) Inc.	Ferique European Fund	239,930	0.01	1.06	30-Jun-2013
UBS Global Asset Management (Deutschland) GmbH	UBS (D) Mesina Aktienfonds	192,600	0.01	0.79	31-Dec-2012
UBS Global Asset Management (Switzerland)	BPER International Sicav - Equity Europe	128,169	0.00	0.57	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - European Equities	169,878	0.01	0.69	31-Jan-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	132,457	0.00	0.54	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe (ex Switzerland)	208,411	0.01	0.92	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe Passive	731,194	0.02	3.21	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	17,349	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	2,543	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	1,955	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	15,045	0.00	0.07	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	3,136	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	2,085	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Global High Dividend (USD)	1,201,967	0.04	5.28	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Inst SICAV - Alpha Choice EP	20,500	0.00	0.07	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Key Selection European Equity	1,626,820	0.05	7.15	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Key Selection SICAV - Absolute Return Plus (CHF) P	35,238	0.00	0.14	30-Sep-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Key Selection SICAV Global Allocation (EUR)	391,361	0.01	1.72	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	23,789	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	31,330	0.00	0.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	11,153	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	3,257	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	3,820	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	2,730	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	1,212	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	16,541	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	6,847	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	1,231	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	17,740	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	7,964	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	2,760	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	11,591	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	12,502	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	1,673	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	1,030	0.00	0.01	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	8,147	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	8,473	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	1,132	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS Inv Funds ICVC III UBS Global Allocation Fund (UK)	989,970	0.03	4.35	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	60,500	0.00	0.20	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	Skandia Multimanager Trust UK Securities Fund	268,857	0.01	0.97	31-Mar-2012
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	2,845	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection Sicav - European Core Equities	1,141,632	0.04	5.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	30,840	0.00	0.14	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF FTSE 100	332,477	0.01	1.46	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	60,220	0.00	0.26	30-Apr-2013
UBS Hana Asset Management Company Ltd.	Hana UBS Europe Equity 1 Class A	11,982	0.00	0.05	31-Mar-2013
Total UBS		13,825,137	0.43	63.86	
UniCredit					
Pioneer Investment Management SGRpA	Effepilux - Azionario	39,160	0.00	0.14	30-Jun-2012
Pioneer Investment Management, Inc.	Pioneer S.F. - European Equity Market Plus (EUR)	308,845	0.01	1.36	30-Apr-2013
Total UniCredit		348,005	0.01	1.49	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Barrick Gold

Table 110 provides an overview of the shares of Barrick Gold which were owned or managed by the selected financial institutions at the most recent filing date.

Table 110 Shareholders of Barrick Gold

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors France	Allianz Actions US	19,000	0.00	0.28	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	1,223,392	0.12	18.19	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	1,239,424	0.12	37.77	31-Oct-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum Plus	155,359	0.02	2.46	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	57,201	0.01	1.80	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	4,328	0.00	0.14	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	25,256	0.00	0.40	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	38,214	0.00	0.60	31-May-2013
Allianz Global Investors U.S. LLC		1,861,757	0.19	41.21	31-Mar-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Recursos Naturales FI	8,519	0.00	0.19	31-Mar-2013
NFJ Investment Group LLC	Allianz Global Equity Dividend	275,100	0.03	4.36	31-May-2013
NFJ Investment Group LLC	Allianz US Large Cap Value	2,400	0.00	0.04	30-Apr-2013
NFJ Investment Group LLC	AllianzGI NFJ Dividend Interest & Premium Strategy Fund	2,029,900	0.20	24.15	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Dividend Value Fund	9,389,800	0.94	111.71	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Global Dividend Value Fund	48,000	0.00	0.57	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value II Fund	800	0.00	0.01	30-Jun-2013
NFJ Investment Group LLC	Columbia VP-NFJ Dividend Value	2,367,700	0.24	28.17	30-Jun-2013
NFJ Investment Group LLC	Premier NFJ Dividend Value Portfolio	44,200	0.00	0.70	31-May-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	123,329	0.01	3.26	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	1,966	0.00	0.02	30-Jun-2013
RCM Capital Management LLC		2,650,562	0.26	58.68	31-Mar-2013
Total Allianz		21,566,207	2.15	334.70	
Belfius					
Dexia Asset Management Belgium S.A.	Belfius Pension Fund Low Equities	1,991	0.00	0.07	31-Dec-2011
Dexia Asset Management Belgium S.A.	Dexia Quant Equities World	6,040	0.00	0.10	31-May-2013
Total Belfius		8,031	0.00	0.17	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	3,233	0.00	0.07	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	5,422	0.00	0.12	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	6,254	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	6,456	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	6,829	0.00	0.15	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	4,860	0.00	0.11	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	3,725	0.00	0.08	31-Mar-2013
Bishop Street Capital Management Corp		265	0.00	0.00	30-Jun-2013
BNP Paribas Asset Management, Inc.	BNP Paribas Actions Monde	42,843	0.00	0.95	31-Mar-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Materials	110,288	0.01	2.53	28-Feb-2013
BNP Paribas Investment Partners (France)	Parworld Track North America	20,676	0.00	0.50	31-Jan-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	868	0.00	0.03	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	366	0.00	0.02	31-Jan-2012
BNP Paribas Investment Partners Belgium S.A.		18,089	0.00	0.40	31-Mar-2013
BNP Paribas Securities Corp. North America		23,216	0.00	0.51	31-Mar-2013
Shinhan BNP Paribas Asset Management Co., Ltd.	SH BNPP Gold Equity 1 Class A	67,832	0.01	1.50	31-Mar-2013
THEAM		126,059	0.01	2.79	31-Mar-2013
Total BNP Paribas		447,281	0.04	10.03	

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Commerzbank					
Commerzbank AG		1,475,441	0.15	17.56	30-Jun-2013
Total Commerzbank		1,475,441	0.15	17.56	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI Canada	135,201	0.01	3.35	31-Jul-2012
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	2,000	0.00	0.05	31-Jul-2012
Credit Suisse Asset Management	CSIF US Index Blue - Pension Fund	131,922	0.01	2.09	31-May-2013
Credit Suisse Asset Management	Credit Suisse Equity Fund (CH) Swissac	94,000	0.01	1.48	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	5,618	0.00	0.17	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	18,319	0.00	0.58	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	2,385	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	2,300	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	6,304	0.00	0.20	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	2,614	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	4,351	0.00	0.14	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	7,164	0.00	0.23	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	3,319	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Csif Canada Index	387,691	0.04	6.14	31-May-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity North America (CN)	1,058	0.00	0.03	30-Apr-2012
Crédit Suisse Gestión S.G.I.I.C., S.A.	Azilana Valores SICAV SA	1,895	0.00	0.05	31-Mar-2013
Total Credit Suisse		806,141	0.08	14.84	
DeKaBank					
Deka Investment GmbH	Deka Arideka Fonds	250,000	0.02	6.61	31-Dec-2012
Deka Investment GmbH	Deka BR 100	78,000	0.01	2.07	31-Dec-2012
Deka Investment GmbH	Deka BR 20	1,870	0.00	0.05	31-Dec-2012
Deka Investment GmbH	Deka BR 35	1,378	0.00	0.04	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deka Investment GmbH	Deka BR 55	1,590	0.00	0.05	31-Dec-2012
Deka Investment GmbH	Deka BR 75	13,300	0.00	0.35	31-Dec-2012
Deka Investment GmbH	Deka BR 85	4,820	0.00	0.13	31-Dec-2012
Deka Investment GmbH	Deka Basisindustrie	1,500	0.00	0.05	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	1,500	0.00	0.04	31-Dec-2012
Deka Investment GmbH	Deka GlobalChampions	9,000	0.00	0.24	31-Dec-2012
Deka Investment GmbH	Deka Naspa Aktienfonds	3,400	0.00	0.09	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Balanced Fonds	2,200	0.00	0.06	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Konservativ	2,400	0.00	0.06	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Offensiv	4,700	0.00	0.14	30-Jun-2012
Deka Investment GmbH	Deka Spezial Fund	35,000	0.00	0.93	31-Dec-2012
Deka Investment GmbH	Deka-MegaTrends CF	7,000	0.00	0.20	30-Jun-2012
Deka Investment GmbH	Deka-bAV-Fonds	11,844	0.00	0.32	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	7,100	0.00	0.20	30-Jun-2012
DekaBank Deutsche Girozentrale		830,102	0.08	18.38	31-Mar-2013
Total DeKaBank		1,266,704	0.13	29.99	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	99,448	0.01	1.18	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	1,266	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Canada Index UCITS ETF	16,409	0.00	0.20	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Materials Index UCITS ETF	2,953	0.00	0.04	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS Global Themes Equity Fund	6,259	0.00	0.17	31-Dec-2012
Deutsche Asset Management (Korea) Co., Ltd.	Deutsche DWS Premier Global Theme Equity Class A	665	0.00	0.02	31-Mar-2013
Deutsche Asset Management Americas		1,890,128	0.19	41.84	31-Mar-2013
Deutsche Asset Management Investmentgesellschaft mbH		260,340	0.03	5.77	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Bank Private Wealth Management Limited		18,523	0.00	0.41	31-Mar-2013
Deutsche Bank Securities Inc.		700,207	0.07	15.50	31-Mar-2013
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Material & Energy Fund	27,065	0.00	0.72	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Global Metals & Mining Typ O	17,445	0.00	0.21	30-Jun-2013
Deutsche Investment Management Americas, Inc.	DWS Gold & Precious Metals Fund	666,917	0.07	7.94	30-Jun-2013
Deutsche Investment Management Americas, Inc.	DWS Invest Gold and Precious Metals Equities	198,842	0.02	3.14	31-May-2013
Deutsche Postbank AG		24,000	0.00	0.75	30-Sep-2012
DWS Investment GmbH	DWS (CH) - Aktien Global	25,000	0.00	0.29	30-Jun-2013
DWS Investment GmbH	DWS Convertibles	350,000	0.03	7.75	31-Mar-2013
DWS Investment GmbH	DWS E.ON Aktienfonds	80,000	0.01	0.95	30-Jun-2013
DWS Investment GmbH	DWS Garant Top Dividende 2018	300	0.00	0.01	31-Aug-2012
DWS Investment GmbH	DWS Global Equity Fund	2,700	0.00	0.03	30-Jun-2013
DWS Investment GmbH	DWS Invest Global Equities	103,000	0.01	1.63	31-May-2013
DWS Investment GmbH	DWS Invest II US Top Dividend	900	0.00	0.02	28-Feb-2013
DWS Investment GmbH	DWS Lowen-Aktienfonds	26,500	0.00	0.32	30-Jun-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	15,960	0.00	0.19	30-Jun-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	1,000,000	0.10	11.89	30-Jun-2013
DWS Investment GmbH	Multi Opportunities II	125,000	0.01	1.97	31-May-2013
DWS Investment GmbH	Multi Opportunities III	125,000	0.01	1.97	31-May-2013
DWS Investment GmbH	Nord Est Fund - Azionario Globale	3,450	0.00	0.09	31-Dec-2012
DWS Investment GmbH	PWM Vermogensfondsmandat - DWS - High Conviction Equity	30,000	0.00	0.44	30-Apr-2013
DWS Investment S.A.	DWS Etoile	4,300	0.00	0.07	31-May-2013
DWS Investments	DWS Invest Real Assets (CN)	550	0.00	0.02	
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim OP Strategieportfolio IV	30,000	0.00	1.09	29-Feb-2012
QS Investors, LLC	DWS Lifecycle Long Range Fund	800	0.00	0.02	30-Apr-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	3,500	0.00	0.05	30-Jun-2013
Total Deutsche Bank		5,857,427	0.59	106.69	
DZ Bank					
IPConcept (Luxemburg) S.A.	Generations Global Growth - Fonds I	16,490	0.00	0.52	30-Sep-2012
Union Investment Group	MVB Union Global Plus	2,200	0.00	0.05	31-Mar-2013
Union Investment Group	Union Investment Invest Global	6,950	0.00	0.15	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	625,000	0.06	13.83	31-Mar-2013
Union Investment Group	Union Investment UniGlobal -net-	45,300	0.00	1.00	31-Mar-2013
Union Investment Group	Union Investment Unirak	200,000	0.02	4.42	31-Mar-2013
Total DZ Bank		895,940	0.09	19.98	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Global Opportunities	424,615	0.04	6.72	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Materials	108,289	0.01	1.71	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest World	81,154	0.01	1.21	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Patrimonial First Class Multi Asset	89,519	0.01	1.33	30-Apr-2013
ING Investment Management (Netherlands)	ING Direct Dividendo Arancio	130,959	0.01	2.07	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	233	0.00	0.00	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	2,858	0.00	0.05	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	13,285	0.00	0.21	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	23,314	0.00	0.37	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	7,666	0.00	0.12	31-May-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend Fund	34,895	0.00	0.41	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend and Premium Opportunity Fund	306,864	0.03	6.79	31-Mar-2013
ING Investment Management (Netherlands)	ING Global Opportunities Basis Fonds	186,810	0.02	4.64	31-Jul-2012
ING Investment Management (Netherlands)	ING Global Opportunities Fund	29,873	0.00	0.35	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management (Netherlands)	ING Global Opportunities Fund	413,108	0.04	6.54	31-May-2013
ING Investment Management (Netherlands)	ING L Invest Global High Dividend	268,310	0.03	3.99	30-Apr-2013
ING Investment Management (Netherlands)	ING Materials Basis Fonds	47,267	0.00	0.75	31-May-2013
ING Investment Management (Netherlands)	NN Aandelen Fonds	170,026	0.02	3.89	28-Feb-2013
ING Investment Management (Netherlands)	NN Mix Fonds	90,272	0.01	2.07	28-Feb-2013
ING Investment Management (Netherlands)	RVS Mixfonds	8,550	0.00	0.13	30-Apr-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	10,000	0.00	0.22	31-Mar-2013
ING Investment Management Co. LLC	ING Global Advantage and Premium Opportunity Fund	50,302	0.01	1.12	31-Mar-2013
ING Investment Management Co. LLC	ING International Value Fund	75,900	0.01	1.68	31-Mar-2013
ING Securities Investment & Trust Co., Ltd.	ING Global Equity Fund	5,885	0.00	0.16	31-Dec-2012
Total ING		2,579,954	0.26	46.51	
KBC					
KBC Asset Management N.V.	Imperial Global Equity Income Pool	3,620	0.00	0.12	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	11,648	0.00	0.18	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	320	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	4,428	0.00	0.07	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	24	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	350	0.00	0.01	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	23,262	0.00	0.37	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Quant Global 1	2,702	0.00	0.05	31-May-2013
Total KBC		46,354	0.00	0.80	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG Nachhaltigkeit Fond	6,000	0.00	0.14	31-Mar-2013
Total Munich Re		6,000	0.00	0.14	
UBS					
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	12,280	0.00	0.29	31-Jan-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Gold	393,588	0.04	5.85	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Global (Ex CH) Quanti	10,800	0.00	0.16	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	4,400	0.00	0.07	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	844	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	500	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	4,100	0.00	0.06	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	800	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	500	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Basic Materials (USD)	2,700	0.00	0.07	30-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	12,800	0.00	0.19	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	8,000	0.00	0.12	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	2,500	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	800	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	1,000	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	845	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	288	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	3,700	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	1,200	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	347	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	4,900	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	2,000	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	700	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	2,500	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	2,600	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	296	0.00	0.01	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	309	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	2,300	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	1,900	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	300	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	609	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Canada	134,577	0.01	2.00	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	18,894	0.00	0.28	30-Apr-2013
UBS Securities LLC		2,564,752	0.26	56.78	31-Mar-2013
Total UBS		3,198,629	0.32	66.36	
UniCredit					
Pioneer Investment Management Ltd.	Pioneer Funds - Gold and Mining	53,831	0.01	0.80	30-Apr-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Ethik Fonds	3,000	0.00	0.05	31-May-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Gold Stock	190,000	0.02	4.97	30-Nov-2012
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv Aktien Rohstoffe	244,291	0.02	3.63	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments Substanzwerte	75,000	0.01	1.12	30-Apr-2013
Total UniCredit		566,122	0.06	10.56	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Bolloré Group

Table 111 provides an overview of the shares of the Bolloré Group which were owned or managed by the selected financial institutions at the most recent filing date.

Table 111 Shareholders of the Bolloré Group

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares Euro Stoxx (DE)	1,356	0.00	0.42	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	1,462	0.01	0.46	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Industrial Goods & Services (DE)	391	0.00	0.12	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Small 200 (DE)	3,417	0.01	1.07	30-Jun-2013
Total BlackRock Germany		6,626	0.02	2.07	
BNP Paribas					
BNP Paribas Investment Partners (France)	EasyETF Euro Stoxx	189	0.00	0.06	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	167	0.00	0.05	30-Jun-2013
BNP Paribas Investment Partners (France)	Parworld Track FTSE EDHEC-Risk Efficient Eurobloc	350	0.00	0.10	31-Mar-2013
BNP Paribas Investment Partners (France)	Profiléa Europe Multigestion Dynamique	58	0.00	0.02	31-Mar-2013
Total BNP Paribas		764	0.00	0.23	
Credit Suisse					
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Director Balanced FI	1,680	0.01	0.49	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Director Growth FI	1,315	0.00	0.38	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Invera GRN 2000 SICAV SA	332	0.00	0.10	31-Mar-2013
Total Credit Suisse		3,327	0.01	0.97	
DeKaBank					
Deka Investment GmbH	Dekalux Midcap TF	2,200	0.01	0.57	31-Dec-2012
Total DeKaBank		2,200	0.01	0.57	

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Bank					
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	7	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 Industrial Goods UCITS ETF	377	0.00	0.12	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	402	0.00	0.13	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe 600 Industrial Goods Short Daily	157	0.00	0.05	30-Jun-2013
Total Deutsche Bank		943	0.00	0.30	
KBC					
KBC Asset Management N.V.	KBC Institutional Fund Euro Eq Small & Medium Caps	65	0.00	0.02	31-May-2013
Total KBC		65	0.00	0.02	
UBS					
CCR Asset Management	CCR Opportunity	11,036	0.04	3.23	28-Feb-2013
CCR Asset Management	CCR Opportunity PEA	476	0.00	0.14	28-Feb-2013
CCR Asset Management	Le Cottage	770	0.00	0.20	30-Nov-2012
CCR Asset Management	Lundy	500	0.00	0.13	30-Nov-2012
Total UBS		12,782	0.05	3.70	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Chevron

Table 112 provides an overview of the shares of Chevron which were owned or managed by the selected financial institutions at the most recent filing date.

Table 112 Shareholders of Chevron

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors France	Allianz Actions US	5,900	0.00	0.54	30-Apr-2013
Allianz Global Investors Italia SGR S.p.A.		38,683	0.00	3.47	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global High Payout Fund	15,000	0.00	1.22	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global Twin-Focus Fund	3,000	0.00	0.24	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Balance	14,221	0.00	1.32	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum	25,046	0.00	2.31	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum Plus	110,021	0.01	10.18	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	56,069	0.00	4.93	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	4,030	0.00	0.35	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögensbildung Global	17,570	0.00	1.63	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	22,881	0.00	2.12	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	36,403	0.00	3.37	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Rovere Azionario Nord America	0	0.00	0.00	31-Mar-2013
Allianz Global Investors Taiwan Ltd.		800	0.00	0.08	31-Mar-2013
Allianz Global Investors U.S. LLC	AllianzGI U.S. Equity Hedged Fund	606	0.00	0.05	30-Jun-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Recursos Naturales FI	2,002	0.00	0.18	31-Mar-2013
Fuller & Thaler Asset Management Inc.	AllianzGI Behavioral Advantage Large Cap Fund	5,230	0.00	0.47	30-Jun-2013
NFJ Investment Group LLC	Allianz US Large Cap Value	700	0.00	0.07	30-Apr-2013
NFJ Investment Group LLC	AllianzGI NFJ All-Cap Value Fund	5,100	0.00	0.45	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Dividend Interest & Premium Strategy	200,000	0.01	17.84	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
NFJ Investment Group LLC	Fund AllianzGI NFJ Dividend Value Fund	1,475,800	0.08	131.65	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Large-Cap Value Fund	171,100	0.01	15.26	30-Jun-2013
NFJ Investment Group LLC	Columbia VP-NFJ Dividend Value	321,300	0.02	28.66	30-Jun-2013
NFJ Investment Group LLC	Premier NFJ Dividend Value Portfolio	15,200	0.00	1.41	31-May-2013
NFJ Investment Group LLC	Target Portfolio Trust-Large Capitalization Value	22,800	0.00	2.04	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	178,518	0.01	14.55	31-Dec-2012
PIMCO (US)	Target Conservative Allocation Fund	2,300	0.00	0.21	30-Apr-2013
PIMCO (US)	Target Moderate Allocation Fund	6,600	0.00	0.61	30-Apr-2013
RCM (UK) Ltd.	Allianz Energy	84,400	0.00	7.81	31-May-2013
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	4,278	0.00	0.38	30-Jun-2013
RCM Capital Management LLC	Allianz US Equity	43,600	0.00	3.47	30-Jun-2012
Total Allianz		2,889,158	0.15	256.88	
Argenta					
Argenta Fund		6,570	0.00	0.54	31-Dec-2012
Total Argenta		6,570	0.00	0.54	
Belfius					
Dexia Asset Management Belgium S.A.	Belfius Pension Fund Low Equities	2,463	0.00	0.20	31-Dec-2011
Dexia Asset Management Belgium S.A.	Dexia Equities B Global Energy	46,000	0.00	4.10	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Global Energy Sector	6,230	0.00	0.56	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities USA	133,153	0.01	12.32	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities World	4,948	0.00	0.46	31-May-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	4,048	0.00	0.33	31-Dec-2012
Total Belfius		196,842	0.01	17.96	
BlackRock Germany					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BlackRock Asset Management Deutschland AG	iShares Dow Jones Global Titans 50 (DE)	27,027	0.00	2.41	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares Dow Jones Industrial Average (DE)	122,880	0.01	10.96	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares Dow Jones US Select Dividend (DE)	39,339	0.00	3.51	30-Jun-2013
Total BlackRock Germany		189,246	0.01	16.89	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	6,483	0.00	0.58	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	10,765	0.00	0.96	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	12,702	0.00	1.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	14,011	0.00	1.25	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	14,790	0.00	1.33	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	10,392	0.00	0.93	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	7,949	0.00	0.71	31-Mar-2013
Bank of the West		82,536	0.00	7.39	31-Mar-2013
Bishop Street Capital Management Corp		27,278	0.00	2.43	30-Jun-2013
BNP Paribas Asset Management, Inc.	BNP PARIBAS L1 Equity USA Core	254,233	0.01	23.53	31-May-2013
BNP Paribas Asset Management, Inc.	BNP Paribas B Fund I - Equity USA	4,828	0.00	0.44	30-Apr-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity Best Selection USA	15,444	0.00	1.36	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Energy	206,522	0.01	18.23	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Low Volatility	35,933	0.00	3.17	28-Feb-2013
BNP Paribas Asset Management, Inc.	Transamerica BNP Paribas Large Cap Growth VP	13,099	0.00	1.18	31-Mar-2013
BNP Paribas Investment Partners (France)	Agipi Monde Durable	11,844	0.00	1.09	31-May-2013
BNP Paribas Investment Partners (France)	BNP Paribas Equipe - Attacco	7,472	0.00	0.67	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Equipe - Centrocampo	3,416	0.00	0.31	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	0	0.00	0.00	31-Oct-2012
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Prudente	0	0.00	0.00	31-Jul-2012
BNP Paribas Investment Partners (France)	BNP Paribas Islamic Fund - Equity Optimiser	0	0.00	0.00	31-Dec-2011

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners (France)	BNP Paribas Premium Global Dividend Fund	34,076	0.00	3.05	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2016-2018	3,001	0.00	0.27	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2019-2021	5,290	0.00	0.47	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2022-2024	6,826	0.00	0.61	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2025-2027	5,800	0.00	0.52	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2028-2030	3,088	0.00	0.28	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 50	9,649	0.00	0.87	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 75	22,108	0.00	1.98	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite Horizon	63,513	0.00	5.69	31-Mar-2013
BNP Paribas Investment Partners (France)	EasyETF DJ Islamic Market Titans 100	6,005	0.00	0.54	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Russell 1000	11,765	0.00	1.05	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF S&P 500	12,448	0.00	1.11	30-Jun-2013
BNP Paribas Investment Partners (France)	Parworld Track North America	39,927	0.00	3.47	31-Jan-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	1,750	0.00	0.14	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	733	0.00	0.06	31-Jan-2012
BNP Paribas Investment Partners Asia Ltd.		288,660	0.01	25.86	31-Mar-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Balanced	2,223	0.00	0.20	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Stability	780	0.00	0.08	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Growth	491	0.00	0.05	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Stability	189	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Opportunities World	1,470	0.00	0.13	30-Sep-2012
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W1	208	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W10	142	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W4	80	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W7	101	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W1	291	0.00	0.03	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W10	348	0.00	0.03	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W4	183	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W7	497	0.00	0.05	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Aandelenfonds	18,457	0.00	1.53	31-Oct-2012
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Amerikafonds	1,237	0.00	0.11	31-Oct-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas All In Fund	16,036	0.00	1.44	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Global High Income Equity Fund	302,170	0.02	24.63	31-Dec-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Equity High Dividend USA	78,415	0.00	6.39	31-Dec-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Equity High Dividend World	9,070	0.00	0.78	31-Jan-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2015	170	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2018	336	0.00	0.03	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2020	808	0.00	0.08	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2022	526	0.00	0.05	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2025	1,393	0.00	0.13	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2028	532	0.00	0.05	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2030	1,354	0.00	0.13	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2032	529	0.00	0.05	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2035	1,324	0.00	0.12	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2038	226	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2040	299	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 2	991	0.00	0.09	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 3	10,262	0.00	0.95	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 4	17,582	0.00	1.63	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 5	7,973	0.00	0.73	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 6	2,875	0.00	0.26	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	IA Clarington Global Dividend Fund	15,573	0.00	1.39	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Securities Corp. North America Shinhan BNP Paribas Asset Management Co., Ltd. THEAM	SH BNP Tops Global Balance Mixed 1	312,760	0.02	28.01	31-Mar-2013
		293	0.00	0.02	30-Sep-2012
		42,473	0.00	3.81	31-Mar-2013
Total BNP Paribas		2,105,003	0.11	185.78	
Commerzbank					
Commerzbank AG		1,124,785	0.06	100.34	30-Jun-2013
Total Commerzbank		1,124,785	0.06	100.34	
Credit Suisse					
Credit Suisse (Deutschland) AG	Credit Suisse Vario MACS II	3,725	0.00	0.33	30-Jun-2013
Credit Suisse (Deutschland) AG	Credit Suisse Vario MACS III	2,860	0.00	0.26	30-Jun-2013
Credit Suisse Asset Management	CS ETF (IE) on Dow Jones Industrial Average	64,119	0.00	5.56	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI USA	48,956	0.00	4.04	31-Jul-2012
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	3,585	0.00	0.29	31-Jul-2012
Credit Suisse Asset Management	CS ETF (IE) on S&P 500	107,526	0.01	9.33	31-Jan-2013
Credit Suisse Asset Management	CS SICAV One (Lux) Global Equity Dividend Plus	19,638	0.00	1.82	31-May-2013
Credit Suisse Asset Management	CS Solutions (Lux) Megatrends B USD	15,000	0.00	1.39	31-May-2013
Credit Suisse Asset Management	CSIF US Index	189,133	0.01	17.50	31-May-2013
Credit Suisse Asset Management	CSIF US Index - Pension Fund	449,483	0.02	41.59	31-May-2013
Credit Suisse Asset Management	CSIF US Index Blue - Pension Fund	257,315	0.01	23.81	31-May-2013
Credit Suisse Asset Management	Credit Suisse (CH) US Quant Equity Fund	1,283	0.00	0.12	31-May-2013
Credit Suisse Asset Management	Credit Suisse Equity Fund (Lux) USA	190,000	0.01	17.58	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (CH) Privilege	3,500	0.00	0.32	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	7,122	0.00	0.63	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	29,159	0.00	2.56	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	1,597	0.00	0.14	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	3,027	0.00	0.26	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	9,078	0.00	0.80	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	1,825	0.00	0.16	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	4,425	0.00	0.39	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	16,630	0.00	1.46	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	2,028	0.00	0.18	30-Sep-2012
Credit Suisse Asset Management	Nikko World High Dividend Equity Mother Fund	65,600	0.00	6.07	20-May-2013
Credit Suisse Asset Management Funds S.p.A.	Credit Suisse Portfolio Fund (Lux) Reddito (Euro)	1,800	0.00	0.17	31-May-2013
Credit Suisse Asset Management KAG mbH	Credit Suisse MACS Global Equity	4,100	0.00	0.37	30-Jun-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI USA Large Cap	9,153	0.00	0.79	31-Jan-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity North America (CN)	538	0.00	0.05	30-Apr-2012
Credit Suisse Securities (USA) LLC		6,859,407	0.35	614.37	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Abisal 72 SICAV SA	4,007	0.00	0.36	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Anfe Invest 2017 SICAV SA	491	0.00	0.04	31-Dec-2011
Crédit Suisse Gestión S.G.I.I.C., S.A.	Banyan Investment SICAV SA	250	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Bilbao Equity SICAV SA	601	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Branch de Inversiones SICAV SA	551	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Global Value Opportunities FI	1,002	0.00	0.09	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Renta Variable Internacional FI	6,010	0.00	0.54	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Cartebanc SICAV SA	2,905	0.00	0.26	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Castevanni SICAV SA	1,002	0.00	0.09	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Chulapico SICAV SA	501	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Crisgar 2002 Investment SICAV SA	250	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Donados 2000 SICAV SA	1,002	0.00	0.09	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Fesis Responsabilidad Inversiones SICAV SA	401	0.00	0.04	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Fincapital SICAV SA	551	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Foix de Inversiones SICAV SA	501	0.00	0.05	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Crédit Suisse Gestión S.G.I.I.C., S.A.	Gurutze Inversiones SICAV SA	1,002	0.00	0.09	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inpisa Dos SICAV SA	3,005	0.00	0.27	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inversiones Arga SICAV SA	2,404	0.00	0.22	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inversora de Valores Comerciales E Industriales SICAV SA	6,010	0.00	0.54	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Isaga 2001 SICAV SA	4,007	0.00	0.36	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Jiminy Cricket SICAV SA	2,404	0.00	0.20	31-Dec-2011
Crédit Suisse Gestión S.G.I.I.C., S.A.	La Abadia Inversiones SICAV SA	491	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Long Investor SICAV SA	1,503	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Lopbu-6 SICAV SA	501	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Maivaren SICAV SA	601	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Nueva Rimatra Bursatil SICAV SA	200	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Otrormar 9 SICAV SA	601	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Quemora Valores SICAV SA	1,503	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	SJJ Valores Corolypso SICAV SA	1,503	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Sapphire Inversiones SICAV SA	701	0.00	0.06	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Sauce Valores SICAV SA	301	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Siglo XXI Bolsa SICAV SA	601	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Tagalo de Inversiones SICAV SA	301	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Universum Capital SICAV SA	1,002	0.00	0.09	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Valdarbi Invest SICAV SA	2,003	0.00	0.18	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Webster Inversiones SICAV SA	1,002	0.00	0.09	31-Mar-2013
Total Credit Suisse		8,423,283	0.43	756.97	
DeKaBank					
Deka Investment GmbH	Deka BR 100	100,050	0.01	8.16	31-Dec-2012
Deka Investment GmbH	Deka BR 20	2,703	0.00	0.22	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deka Investment GmbH	Deka BR 35	2,009	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka BR 55	2,627	0.00	0.21	31-Dec-2012
Deka Investment GmbH	Deka BR 75	19,527	0.00	1.59	31-Dec-2012
Deka Investment GmbH	Deka BR 85	5,565	0.00	0.45	31-Dec-2012
Deka Investment GmbH	Deka Basisindustrie	600	0.00	0.05	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	300	0.00	0.02	31-Dec-2012
Deka Investment GmbH	Deka GlobalChampions	31,401	0.00	2.56	31-Dec-2012
Deka Investment GmbH	Deka Keln-Aktien Global	2,300	0.00	0.19	31-Dec-2012
Deka Investment GmbH	Deka MegaTrends TF	8,700	0.00	0.71	31-Dec-2012
Deka Investment GmbH	Deka Naspa Aktienfonds	5,240	0.00	0.43	31-Dec-2012
Deka Investment GmbH	Deka Spezial Fund	49,750	0.00	4.06	31-Dec-2012
Deka Investment GmbH	Deka-DividendenStrategie CF (A)	15,400	0.00	1.26	31-Dec-2012
Deka Investment GmbH	Deka-MegaTrends CF	7,000	0.00	0.56	30-Jun-2012
Deka Investment GmbH	Deka-Nachhaltigkeit Aktien	5,500	0.00	0.44	31-Dec-2012
Deka Investment GmbH	Deka-bAV-Fonds	13,820	0.00	1.12	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	4,200	0.00	0.33	30-Jun-2012
Deka Investment GmbH	Dekalux U.S.A. Fonds	74,000	0.00	6.03	31-Dec-2012
DekaBank Deutsche Girozentrale		587,267	0.03	52.60	31-Mar-2013
ETFlab Investment GmbH	ETFlab MSCI USA	6,942	0.00	0.62	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI USA LC	7,318	0.00	0.66	30-Jun-2013
Total DeKaBank		952,219	0.05	82.43	
Deutsche Bank					
DB Platinum Advisors	DB Platinum Branchen Stars	25,326	0.00	2.20	31-Jan-2013
DB Platinum Advisors	DB Platinum IV Croci US	151,064	0.01	13.12	31-Jan-2013
DB Platinum Advisors	DB X-Trackers DJ Islamic Market Titans 100 UCITS ETF	1,351	0.00	0.12	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	193,004	0.01	17.22	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Inverse Daily UCITS ETF	8,872	0.00	0.79	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Leveraged Daily UCITS ETF	3,580	0.00	0.32	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 Shariah UCITS ETF	1,583	0.00	0.14	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	2,464	0.00	0.22	30-Jun-2013
DB Platinum Advisors	db x-trackers Global Fund Supporters UCITS ETF	619	0.00	0.05	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI USA Index UCITS ETF	270,140	0.01	24.10	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Energy Index UCITS ETF	6,611	0.00	0.59	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Equal Weight UCITS ETF	86	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Inverse Daily UCITS ETF	32,800	0.00	2.92	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 UCITS ETF	119,726	0.01	10.68	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P U.S. Carbon Efficient UCITS ETF	1,171	0.00	0.11	30-Jun-2013
Deutsche Asset Management Americas	DWS Core Equity Fund	329,400	0.02	30.48	31-May-2013
Deutsche Asset Management Americas	DWS Variable Series I - Core Equity VIP	16,500	0.00	1.31	30-Jun-2012
Deutsche Asset Management Americas	Santander Premium Fund United States Equities	14,119	0.00	1.26	30-Jun-2013
Deutsche Asset Management Americas	Deutsche Asset Management Investmentgesellschaft mbH	220,330	0.01	19.73	31-Mar-2013
Deutsche Asset Management Americas	DWS Dividende Direkt 2014	52,500	0.00	4.64	28-Feb-2013
Deutsche Asset Management Americas	DWS Dividende Direkt 2017	2,310	0.00	0.20	31-Jan-2013
Deutsche Asset Management Americas	DWS Internationale Aktien Typ O	5,540	0.00	0.50	30-Jun-2013
Deutsche Asset Management Americas	DWS Invest Top Dividend Premium	7,400	0.00	0.66	28-Feb-2013
Deutsche Asset Management Americas	DeAM Manager Select Global Equities	1,600	0.00	0.13	31-Dec-2011
Deutsche Asset Management Americas	Deutsche Bank Zins & Dividende - Offensiv	8,060	0.00	0.72	30-Jun-2013
Deutsche Asset Management Americas	Luxembourg Placement Fund - Bolle	5,316	0.00	0.47	31-Mar-2013
Deutsche Bank (Suisse) SA		302	0.00	0.03	30-Sep-2012
Deutsche Bank Private Wealth Management Limited		256,567	0.01	22.98	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Bank Securities Inc.		18,905	0.00	1.70	31-Mar-2013
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Material & Energy Fund	12,468	0.00	1.02	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Blue Chip Fund (CN)	155,700	0.01	12.11	31-Jan-2012
Deutsche Investment Management Americas, Inc.	DWS Energy Typ O	23,386	0.00	2.09	30-Jun-2013
Deutsche Investment Management Americas, Inc.	DWS S&P 500 Plus Fund (CN)	29,700	0.00	2.40	31-Mar-2012
Deutsche Investment Management Americas, Inc.	DWS Var. Series II-Blue Chip Portfolio (CN)	15,200	0.00	1.23	31-Mar-2012
DWS Investment GmbH	DWS (CH) - US Equities	4,000	0.00	0.35	30-Jun-2013
DWS Investment GmbH	DWS Akkumula Fund	356,000	0.02	31.76	30-Jun-2013
DWS Investment GmbH	DWS Invest II US Top Dividend	1,360	0.00	0.12	28-Feb-2013
DWS Investment GmbH	DWS Invest StepIn Akkumula	5,650	0.00	0.51	30-Jun-2013
DWS Investment GmbH	DWS Invest Top Dividend	263,466	0.01	23.27	28-Feb-2013
DWS Investment GmbH	DWS Large Cap Value Fund	350,000	0.02	31.22	30-Jun-2013
DWS Investment GmbH	DWS Sachwerte	32,500	0.00	2.90	30-Jun-2013
DWS Investment GmbH	DWS Timing Chance Vermögensmandat	1,150	0.00	0.11	30-Jun-2013
DWS Investment GmbH	DWS Top Dividende	1,400,000	0.07	123.63	28-Feb-2013
DWS Investment GmbH	DWS US Equities Typ O	7,071	0.00	0.63	30-Jun-2013
DWS Investment GmbH	DWS Var. Series II - DWS Large Cap Value VIP	95,000	0.00	8.47	30-Jun-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	13,400	0.00	1.20	30-Jun-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	870,000	0.04	77.61	30-Jun-2013
DWS Investment S.A.	DWS Etoile	19,100	0.00	1.76	31-May-2013
DWS Investments	DWS Invest US Value Equities	4,800	0.00	0.43	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT Interspezial	44,700	0.00	3.99	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Frankfurt Trust Global HighDividend	10,000	0.00	0.89	30-Jun-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Exklusiv Aktien Welt	788	0.00	0.06	30-Apr-2012
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Global Securities	5,666	0.00	0.51	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Santander Mix OP	711	0.00	0.06	31-Mar-2013
Oppenheim Pramerica Asset Management S.à r.l.	Aktienstrategie MultiManager OP	7,800	0.00	0.70	31-Mar-2013
QS Investors, LLC	DWS Disciplined Market Neutral Fund	0	0.00	0.00	31-Aug-2012
QS Investors, LLC	DWS Lifecycle Long Range Fund	33,017	0.00	2.65	30-Apr-2012
Total Deutsche Bank		5,519,879	0.28	489.06	
DZ Bank					
Quoniam Asset Management GmbH	Quoniam SICAV - Global Equities MinRisk	1,639	0.00	0.14	31-Mar-2013
Union Investment Group	MVB Union Global Plus	5,480	0.00	0.49	31-Mar-2013
Union Investment Group	Uni Sector - Basic Industries	33,764	0.00	3.02	31-Mar-2013
Union Investment Group	UniGlobal II	650	0.00	0.06	31-Mar-2013
Union Investment Group	UniMarktführer	31,915	0.00	2.86	31-Mar-2013
Union Investment Group	UniProInvest: Aktien	20,600	0.00	1.85	31-Mar-2013
Union Investment Group	UniValueFonds Global	198,500	0.01	17.78	31-Mar-2013
Union Investment Group	Unividenden ASS	160,000	0.01	14.33	31-Mar-2013
Union Investment Group	Union Investment Invest Global	12,030	0.00	1.08	31-Mar-2013
Union Investment Group	Union Investment Uni21 Jahrhundert -net-	198,301	0.01	17.76	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	1,030,400	0.05	92.29	31-Mar-2013
Union Investment Group	Union Investment UniGlobal -net-	79,050	0.00	7.08	31-Mar-2013
Union Investment Group	Union Investment UniNordAmerika	60,752	0.00	5.44	31-Mar-2013
Union Investment Group	Union Investment Unifavorit Aktien	126,500	0.01	11.33	31-Mar-2013
Total DZ Bank		1,959,581	0.10	175.51	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Energy	34,674	0.00	3.19	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Invest US High Dividend	88,000	0.00	8.14	31-May-2013
ING Investment Management (Netherlands)	ING Direct Dividendo Arancio	23,200	0.00	2.15	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	47	0.00	0.01	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	596	0.00	0.05	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	2,900	0.00	0.27	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	4,876	0.00	0.45	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	1,633	0.00	0.15	31-May-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend Fund	5,918	0.00	0.53	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend and Premium Opportunity Fund	81,900	0.00	7.33	31-Mar-2013
ING Investment Management (Netherlands)	ING L Invest Global High Dividend	42,550	0.00	3.91	30-Apr-2013
ING Investment Management Co. LLC	ING (L) Invest US (Enhanced Core Concentrated)	24,680	0.00	2.27	30-Apr-2013
ING Investment Management Co. LLC	ING Corporate Leaders 100 Fund	34,395	0.00	3.07	30-Jun-2013
ING Investment Management Co. LLC	ING Corporate Leaders Trust Fund, Inc.	895,952	0.05	79.93	30-Jun-2013
ING Investment Management Co. LLC	ING Global Natural Resources Fund	61,867	0.00	5.52	30-Jun-2013
ING Investment Management Co. LLC	ING Global Resources Portfolio	507,579	0.03	45.28	30-Jun-2013
ING Investment Management Co. LLC	ING Index Plus LargeCap Portfolio	70,189	0.00	6.29	31-Mar-2013
ING Investment Management Co. LLC	ING International High Dividend Equity Income Fund	6,800	0.00	0.61	31-Mar-2013
ING Investment Management Co. LLC	ING Risk Managed Natural Resources Fund	236,522	0.01	21.10	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Large Cap Index Portfolio	113,219	0.01	10.14	31-Mar-2013
ING Investment Management Co. LLC	ING Russell Large Cap Value Index Portfolio	44,914	0.00	4.03	31-Mar-2013
ING Investment Management Co. LLC	ING U.S. Stock Index Portfolio	663,984	0.03	59.47	31-Mar-2013
ING Securities Investment & Trust Co., Ltd.	ING Global Equity Fund	1,910	0.00	0.16	31-Dec-2012
ING Securities Investment & Trust Co., Ltd.	ING Global High Dividend Fund	422	0.00	0.04	31-Mar-2013
Total ING		2,948,727	0.15	264.07	
KBC					
KBC Asset Management N.V.	Imperial Global Equity Income Pool	10,300	0.00	0.83	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund America	39,243	0.00	3.63	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Oil	68,125	0.00	6.30	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Equity Fund World	5,231	0.00	0.48	31-May-2013
KBC Asset Management N.V.	KBC Fivest Euroland	3,228	0.00	0.26	31-Dec-2011
KBC Asset Management N.V.	KBC Index Fund United States	11,850	0.00	1.09	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	6,736	0.00	0.63	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	123	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund US Equity	2,778	0.00	0.26	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	1,769	0.00	0.17	31-May-2013
KBC Asset Management N.V.	Pricos Defensive	2,286	0.00	0.18	31-Dec-2011
KBC Asset Management N.V.	Sivek Global Low Fund	451	0.00	0.04	31-Dec-2011
KBC Asset Management N.V.	Sivek Global Medium Fund	4,397	0.00	0.35	31-Dec-2011
KBC Fund Management Limited	Centea Fund World Select	1,172	0.00	0.09	31-Dec-2011
KBC Fund Management Limited	KBC Equity Fund Buyback America	114,921	0.01	10.64	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Global Leaders	2,075	0.00	0.19	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	4,715	0.00	0.44	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Quant Global 1	678	0.00	0.06	31-May-2013
KBC Fund Management Limited	Sivek Global High Fund	1,527	0.00	0.12	31-Dec-2011
Kleinwort Benson Investors Dublin Ltd	KBC Equity Fund High Dividend	104,819	0.01	9.70	31-May-2013
Total KBC		386,424	0.02	35.47	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH		33,342	0.00	2.98	30-Jun-2013
Total Munich Re		33,342	0.00	2.98	
UBS					
UBS Gestión, S.G.I.I.C., S.A.	Aucas de Inversiones SICAV SA	481	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Saran Investment SICAV SA	1,002	0.00	0.09	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Trubi de Inversiones SICAV SA	586	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Xabek Investment SICAV SA	586	0.00	0.05	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Americas), Inc.	UBS (CH) Equity Fund - USA Multi Strategy	2,100	0.00	0.20	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (LUX) Equity SICAV USA Quantitative	23,437	0.00	2.16	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity Fund - USA Multi Strategy	17,200	0.00	1.58	30-Apr-2013
UBS Global Asset Management (Canada) Inc.	Ferique American Fund	34,860	0.00	3.11	30-Jun-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	14,089	0.00	1.22	31-Jan-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	110,292	0.01	9.57	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Global (Ex CH) Quanti	40,372	0.00	3.72	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities USA Passive	148,337	0.01	13.64	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund 2 - Eq USA Passiv	274,430	0.01	25.24	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	8,000	0.00	0.74	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	1,578	0.00	0.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	900	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	8,100	0.00	0.75	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	1,400	0.00	0.13	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	900	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Global High Dividend (USD)	58,700	0.00	5.40	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	21,300	0.00	1.96	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	15,600	0.00	1.43	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	4,448	0.00	0.41	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	1,500	0.00	0.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	2,028	0.00	0.19	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	1,424	0.00	0.13	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	525	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	7,600	0.00	0.70	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	2,300	0.00	0.21	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	604	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	10,500	0.00	0.96	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	3,600	0.00	0.33	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	1,200	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	5,000	0.00	0.46	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	5,000	0.00	0.46	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	650	0.00	0.06	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	578	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	4,700	0.00	0.43	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	3,800	0.00	0.35	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	600	0.00	0.05	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA (USD)	807	0.00	0.08	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA Value (USD)	1,567	0.00	0.14	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	1,112	0.00	0.11	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - S&P 500 (USD)	843	0.00	0.08	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI USA	208,482	0.01	19.18	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	38,214	0.00	3.51	30-Apr-2013
UBS Securities LLC		4,248,389	0.22	380.51	31-Mar-2013
Total UBS		5,339,721	0.28	480.13	
UniCredit					
Pioneer Investment Management Ltd.	Pioneer CIM - Global Equity	20,160	0.00	1.85	30-Apr-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	6,523	0.00	0.52	30-Jun-2012
Pioneer Investment Management, Inc.	ING Pioneer Fund Portfolio	121,820	0.01	10.87	30-Jun-2013
Pioneer Investment Management, Inc.	Met Investors Series Trust Pioneer Fund Portfolio	51,914	0.00	4.63	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Core Equity Fund	550,200	0.03	50.91	31-May-2013
Pioneer Investment Management, Inc.	Pioneer Disciplined Value Fund	573,600	0.03	53.08	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investment Management, Inc.	Pioneer Equity Income Fund	29,400	0.00	2.62	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Equity-Income VCT Portfolio	7,071	0.00	0.63	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Fund	484,651	0.02	43.23	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Fund VCT Portfolio	20,645	0.00	1.84	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Fundamental Value VCT Portfolio	83,651	0.00	7.46	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Funds - Multi Asset Real Return	4,651	0.00	0.43	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Funds - North American Basic Value	182,282	0.01	16.76	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Funds - U.S. Pioneer Fund	157,146	0.01	14.45	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Funds - U.S. Research Value	77,288	0.00	7.11	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Funds U.S. Research	571,000	0.03	52.52	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Global Equity Fund	12,200	0.00	1.13	31-May-2013
Pioneer Investment Management, Inc.	Pioneer Multi-Asset Income Fund	3,520	0.00	0.32	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Multi-Asset Real Return Fund	52,673	0.00	4.70	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Research Fund	341,590	0.02	30.47	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - U.S. Equity Market Plus (EUR)	12,250	0.00	1.12	30-Apr-2013
Pioneer Investments Austria GmbH	Pioneer Akciový Fond	10,700	0.00	0.96	30-Jun-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments Alpha Centauri Aktien	14,000	0.00	1.29	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermögensportfolio KI 100 PI 1	3,534	0.00	0.32	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermögensportfolio KI 70 PI 4	6,201	0.00	0.57	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermögensportfolio Klassik 100 II PI	1,266	0.00	0.11	31-Oct-2012
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermögensportfolio Klassik 50 II PI	2,004	0.00	0.17	31-Oct-2012
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermögensportfolio Klassik 50 PI	5,738	0.00	0.53	30-Apr-2013
Total UniCredit		3,407,678	0.18	310.60	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

ENRC (Eurasian Natural Resources)

Table 113 provides an overview of the shares of ENRC which were owned or managed by the selected financial institutions at the most recent filing date.

Table 113 Shareholders of ENRC

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	1,391,230	0.11	4.47	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	1,983,500	0.15	7.90	31-Oct-2012
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	13,471	0.00	0.05	31-Dec-2012
RCM (UK) Ltd.	Allianz UK Index Fund	4,900	0.00	0.02	31-May-2012
Total Allianz		3,393,101	0.26	12.44	
Argenta					
Argenta Fund	Argenta Fund Actions Industrie de Base	16,560	0.00	0.04	30-Jun-2013
Total Argenta		16,560	0.00	0.04	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares FTSE 100 (DE)	13,580	0.00	0.03	30-Jun-2013
Total BlackRock Germany		13,580	0.00	0.03	
BNP Paribas					
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	1,641	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	48	0.00	0.00	28-Feb-2013
BNP Paribas Actions Europe	BNP Paribas L1 Equity Europe Materials	9,703	0.00	0.03	30-Apr-2013
BNP Paribas Investment Partners (France)	Parvest Equity UK Fund	3,064	0.00	0.02	31-May-2012
BNP Paribas Actions Europe	Parworld Track Europe	8,944	0.00	0.02	31-Mar-2013
BNP Paribas Gestion Equilibre	Parworld Track UK	2,787	0.00	0.01	31-Mar-2013
Total BNP Paribas		26,187	0.00	0.08	

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on FTSE 100	11,290	0.00	0.05	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	2,837	0.00	0.01	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI UK	29,645	0.00	0.12	31-Jan-2013
Credit Suisse Asset Management	CSIF Europe ex CH Index Blue	46,626	0.00	0.13	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	650	0.00	0.00	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	4,402	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	571	0.00	0.00	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	285	0.00	0.00	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	1,163	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	512	0.00	0.00	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	323	0.00	0.00	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	2,191	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	615	0.00	0.00	30-Sep-2012
Credit Suisse Asset Management	Csif Europe Ex Eurozone/Ch Index	134,801	0.01	0.37	31-May-2013
Total Credit Suisse		235,911	0.02	0.70	
Deutsche Bank					
DB Platinum Advisors	db x-trackers FTSE 100 Leveraged Daily UCITS ETF	338	0.00	0.00	30-Apr-2013
DB Platinum Advisors	db x-trackers FTSE 100 Short Daily ETF	8,005	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Super Short Daily UCITS ETF	277	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 UCITS ETF	41,617	0.00	0.10	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-Share UCITS ETF	25,045	0.00	0.06	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P Select Frontier UCITS ETF	660,138	0.05	1.55	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe 600 Basic Resources Short Daily U	4,522	0.00	0.02	30-Nov-2012
Deutsche Asset Management (Asia) Ltd.		1,689,004	0.13	6.53	19-Feb-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Asset Management Investmentgesellschaft mbH		260,000	0.02	1.00	19-Feb-2013
Deutsche Bank (Suisse) SA		606,053	0.05	1.67	07-May-2013
Deutsche Bank Private Wealth Management Limited		240,287	0.02	0.58	01-Jul-2013
Total Deutsche Bank		3,535,286	0.27	11.51	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Emerging Markets High Dividend (EUR)	229,940	0.02	0.63	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Europe High Dividend	250,000	0.02	0.69	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Global Opportunities	425,037	0.03	1.17	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Sustainable Equity	76,088	0.01	0.21	31-May-2013
ING Investment Management (Netherlands)	ING (L) Patrimonial First Class Multi Asset	88,679	0.01	0.29	30-Apr-2013
ING Investment Management (Netherlands)	ING Duurzaam Aandelen Fonds	53,339	0.00	0.14	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	207	0.00	0.00	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	2,783	0.00	0.01	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	13,824	0.00	0.04	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	22,534	0.00	0.06	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	8,076	0.00	0.02	31-May-2013
ING Investment Management (Netherlands)	ING Emerging Markets High Dividend Equity Fund	624,664	0.05	1.76	31-Mar-2013
ING Investment Management (Netherlands)	ING Global Opportunities Basis Fonds	198,281	0.02	0.92	31-Jul-2012
ING Investment Management (Netherlands)	ING Global Opportunities Fund	410,023	0.03	1.12	31-May-2013
ING Investment Management Co. LLC	ING FTSE 100 Index Portfolio	54,909	0.00	0.16	31-Mar-2013
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	15,858	0.00	0.05	31-Dec-2012
ING Investment Management Co. LLC	ING International High Dividend Equity Income Fund	17,501	0.00	0.05	31-Mar-2013
ING Securities Investment & Trust Co., Ltd.	ING Europe High Dividend Fund	9,367	0.00	0.03	31-Dec-2012
Total ING		2,501,110	0.19	7.36	
KBC					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Index Fund Europe	2,696	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Satellite Equity	6,098	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	2	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	32	0.00	0.00	31-May-2013
Total KBC		8,828	0.00	0.02	
PKO Bank Polski					
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Akcji Nowa Europa FIO	55,607	0.00	0.27	30-Jun-2012
Total PKO Bank Polski		55,607	0.00	0.27	
UBS					
UBS Gestión, S.G.I.I.C., S.A.	Goalcia 2001 SICAV SA	14,854	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	IGVF FI	9,969	0.00	0.03	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Juferuca SICAV SA	9,969	0.00	0.03	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Mosefra SICAV SA	24,425	0.00	0.07	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Sotavento de Valores SICAV SA	14,954	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Tura Investment SICAV SA	9,969	0.00	0.03	31-Mar-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	30,650	0.00	0.12	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe Passive	57,270	0.00	0.18	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	6,043	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	3,995	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	511	0.00	0.00	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	4,204	0.00	0.03	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	1,858	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF FTSE 100	25,756	0.00	0.08	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	3,443	0.00	0.01	30-Apr-2013
Total UBS		217,870	0.02	0.72	
UniCredit					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investment Management Ltd.	Pioneer Funds - Gold and Mining	2,458	0.00	0.01	30-Apr-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	3,339	0.00	0.02	30-Jun-2012
Total UniCredit		5,797	0.00	0.02	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Gazprom

Table 114 provides an overview of the shares of Gazprom which were owned or managed by the selected financial institutions at the most recent filing date.

Table 114 Shareholders of Gazprom

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
NFJ Investment Group LLC	AllianzGI NFJ Emerging Markets Value Fund	9,502	0.00	0.02	30-Jun-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz BRIC Equity	177,300	0.00	1.06	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz BRIC Stars	153,200	0.00	0.92	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz BRIC Stars Fund	2,913,400	0.02	20.71	30-Jun-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Eastern Europe Equity	273,518	0.00	1.56	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Emerging Europe	1,539,554	0.01	9.21	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global Emerging Markets Equity	0	0.00	0.00	30-Apr-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global High Payout Fund	120,000	0.00	0.86	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global Twin-Focus Fund	13,000	0.00	0.09	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	16,534	0.00	0.09	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	24,995	0.00	0.14	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Skandia BRIC	93,823	0.00	0.60	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Global Investors Korea Ltd	Allianz RCM BRICs Equity 1 Class A	33,800	0.00	0.22	31-Mar-2013
Allianz Global Investors Korea Ltd	Allianz RCM BRICs Mixed 1 Class CE	50	0.00	0.00	31-Mar-2013
Allianz Invest Kapitalanlagegesellschaft	Allianz Invest Osteuropafonds	250,000	0.00	1.42	31-May-2013
Allianz Global Investors Fund Management LLC	Allianz RCM Global Ecotrends Fund (CN)	31,697	0.00	0.29	31-Jan-2012
NFJ Investment Group LLC	AllianzGI NFJ International Value II Fund	3,400	0.00	0.02	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	301,217	0.00	2.15	31-Dec-2012
RCM (UK) Ltd.	Allianz Global EcoTrends Fund	16,920	0.00	0.12	30-Jun-2012
Total Allianz		5,971,910	0.03	39.48	
Belfius					
Dexia Asset Management Belgium S.A.	Dexia Equities B BRIC	299,000	0.00	0.75	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities B Emerging Europe	760,000	0.00	1.91	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Emerging Europe	93,000	0.00	0.23	30-Jun-2013
Total Belfius		1,152,000	0.00	2.89	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares Dow Jones Global Titans 50 (DE)	82,390	0.00	0.41	30-Jun-2013
Total BlackRock Germany		82,390	0.00	0.41	
BNP Paribas					
Bishop Street Capital Management Corp		5,810	0.00	0.03	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity Russia	13,857,991	0.06	46.83	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas BRIC	609,689	0.01	4.09	28-Feb-2013
BNP Paribas Asset Management, Inc.	Parvest Equity BRIC	2,913,352	0.02	18.78	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Russia Stock Mother Fund	28,700	0.00	0.26	12-Mar-2012
BNP Paribas Investment Partners (France)	EasyETF DJ BRIC 50	106,232	0.00	0.53	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF DJ Russia Titans 10	265,624	0.00	1.32	30-Jun-2013
BNP Paribas Investment Partners (France)	Mitsubishi UFJ Eastern Euro & Russia Equity Mother	56,400	0.00	0.39	19-Oct-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners (France)	Parvest Equity Russia	8,795,457	0.07	59.01	28-Feb-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP PARIBAS L1 OBAM Equity World	113,000	0.00	0.64	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas OBAM N.V.	510,000	0.00	3.05	30-Apr-2013
BNP Paribas Investment Partners UK Limited	Parvest Equity Russia Opportunity	650,000	0.01	4.19	31-Mar-2013
TKB BNP Paribas Investment Partners OAO	Alfred Berg Ryssland	1,269,080	0.01	6.82	31-Mar-2013
Total BNP Paribas		29,181,335	0.18	145.95	
Credit Suisse					
Credit Suisse (Deutschland) AG	Credit Suisse MACS European Dividend Value	94,710	0.00	0.47	30-Jun-2013
Credit Suisse Asset Management	CS ETF (IE) on CS Global Alternative Energy	110,947	0.00	0.79	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Russia ADR/GDR	10,141,072	0.09	72.01	31-Jan-2013
Credit Suisse Asset Management	CS ETF (Lux) on MSCI Emerging Markets	1,715,015	0.01	10.26	30-Apr-2013
Credit Suisse Asset Management	CS Index Fund (LUX) Equities Emerging Markets Fund	215,000	0.00	1.53	31-Jan-2013
Credit Suisse Asset Management	CS SICAV One (Lux) Equity Global Emerging Markets	589,000	0.00	3.35	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	22,290	0.00	0.17	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	86,389	0.00	0.66	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	10,860	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	8,966	0.00	0.07	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	26,398	0.00	0.20	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	12,300	0.00	0.09	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	15,452	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	56,069	0.00	0.42	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	14,361	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Csif Emerging Market Index	4,917,894	0.04	28.03	31-May-2013
Credit Suisse Hedging-Griffo Asset Management S.A.	UBAM Equity BRIC+	201,982	0.00	1.36	31-Dec-2012
Credit Suisse Private Banking (Switzerland)	Credit Suisse SICAV (Lux) Equity Russia	1,314,626	0.01	5.53	31-May-2013
Polunin Capital Partners Limited	Credit Suisse SICAV (Lux) Equity Emerging Markets	97,628	0.00	0.56	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Wellington Management Company, LLP	Credit Suisse SICAV (Lux) Equity Energy	507,194	0.00	2.89	31-May-2013
Total Credit Suisse		20,158,153	0.15	128.68	
DeKaBank					
Deka Investment GmbH	Daiwa Russia Equity Mother Fund	900,000	0.00	3.31	20-Aug-2012
Deka Investment GmbH	Deka Convergence Aktien	9,500,000	0.06	44.59	31-Dec-2012
Deka Investment GmbH	Deka GlobalChampions	334,324	0.00	2.38	31-Dec-2012
Deka Investment GmbH	Deka Mainfranken Wertkonzept Ausgewogen	44,000	0.00	0.32	31-Dec-2012
Deka Investment GmbH	Mainfranken Wertkonzept Konservativ	50,000	0.00	0.35	31-Dec-2012
Total DeKaBank		10,828,324	0.06	50.95	
Deutsche Bank					
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	14,412	0.00	0.04	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI BRIC Index UCITS ETF	62,717	0.00	0.16	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM EMEA Index UCITS ETF	1,684,057	0.01	4.22	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Eastern Europe Index UCITS ETF	90,419	0.00	0.23	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Energy Index UCITS ETF	157,295	0.00	0.39	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Short Daily Index UCITS ETF	40,999	0.00	0.11	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Emerging Markets Index UCITS ETF	10,098,668	0.04	25.30	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Russia Capped Index UCITS ETF	23,574,448	0.10	59.05	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS Global Themes Equity Fund	15,293	0.00	0.11	31-Dec-2012
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Emerging Markets Direkt 2015	550,000	0.00	3.69	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	9,300	0.00	0.08	31-Dec-2011
DWS Investment GmbH	Central Europe and Russia Fund	7,398,000	0.05	41.23	31-Jan-2013
DWS Investment GmbH	DWS Global Emerging Markets Balance Portfolio	30,000	0.00	0.15	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DWS Invest Emerging Markets Top Dividend Plus	190,000	0.00	0.94	30-Jun-2013
DWS Investment GmbH	DWS Lowen-Aktienfonds	65,000	0.00	0.32	30-Jun-2013
DWS Investment GmbH	DWS Osteuropa	2,600,000	0.02	12.90	30-Jun-2013
DWS Investment GmbH	DWS Russia	2,500,000	0.02	12.40	30-Jun-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	23,590	0.00	0.12	30-Jun-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	1,500,000	0.01	7.44	30-Jun-2013
DWS Investment GmbH	Deutsche DWS Russia Europe Rising Nation Eq Inv Tr Mother Fu	1,650,000	0.01	10.68	22-Oct-2012
DWS Investment GmbH	Deutsche DWS Russia Stock Mother Fund	250,000	0.00	1.79	17-Dec-2012
DWS Investment GmbH	Deutsche Eastern Europe Equity Mother Fund	1,900,000	0.02	10.82	20-May-2013
DWS Investment GmbH	Nord Est Fund - Azionario Globale	17,400	0.00	0.12	31-Dec-2012
Total Deutsche Bank		54,421,598	0.28	192.28	
DZ Bank					
IPConcept (Luxemburg) S.A.	Boss Concept IPC Sicav - Boss Concept 2	5,000	0.00	0.04	31-Dec-2012
IPConcept (Luxemburg) S.A.	Generations Global Growth - Fonds I	32,000	0.00	0.24	30-Sep-2012
Union Investment Group	Nord Est Fund Azionario Europa dell'Est	585,000	0.00	4.17	31-Dec-2012
Union Investment Group	UniEM Global	880,000	0.01	5.67	31-Mar-2013
Union Investment Group	UniEM Immuno 90	4,200	0.00	0.03	31-Mar-2013
Union Investment Group	UniEM Osteuropa	2,710,000	0.02	17.47	31-Mar-2013
Union Investment Group	UniRak Emerging Markets	500,000	0.00	3.23	31-Mar-2013
Union Investment Group	Union Investment Invest Global	17,400	0.00	0.11	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	1,200,000	0.01	7.73	31-Mar-2013
Union Investment Group	Union Investment UniGlobal -net-	109,400	0.00	0.71	31-Mar-2013
Union Investment TFI S.A.	UniAkcje Nowa Europa FIO	89,200	0.00	0.63	31-Dec-2012
Total DZ Bank		6,132,200	0.04	40.03	
ING					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management (Netherlands)	ING (L) Invest Emerging Europe	1,108,100	0.01	6.32	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Emerging Markets High Dividend (EUR)	670,281	0.01	3.82	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Europe High Dividend	1,300,000	0.01	7.41	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest European Equity	272,722	0.00	1.63	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Invest Global Opportunities	303,270	0.00	1.73	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest World	157,071	0.00	0.94	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Patrimonial First Class Multi Asset	72,522	0.00	0.44	30-Apr-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	345	0.00	0.00	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	5,360	0.00	0.03	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	24,114	0.00	0.14	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	39,706	0.00	0.23	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	15,665	0.00	0.09	31-May-2013
ING Investment Management (Netherlands)	ING Emerging Countries Fund	77,300	0.00	0.71	31-Mar-2012
ING Investment Management (Netherlands)	ING Emerging Europe Fund	395,100	0.00	2.25	31-May-2013
ING Investment Management (Netherlands)	ING Emerging Markets Equity Dividend Fund	88,511	0.00	0.44	30-Jun-2013
ING Investment Management (Netherlands)	ING Emerging Markets High Dividend Equity Fund	786,369	0.01	5.07	31-Mar-2013
ING Investment Management (Netherlands)	ING Europe Growth Fonds	8,403	0.00	0.08	31-Oct-2011
ING Investment Management (Netherlands)	ING Global Opportunities Basis Fonds	463,634	0.00	3.23	31-Jul-2012
ING Investment Management (Netherlands)	ING Global Opportunities Fund	299,253	0.00	1.70	31-May-2013
ING Investment Management (Netherlands)	ING Global Opportunities Fund	21,419	0.00	0.11	30-Jun-2013
ING Investment Management (Netherlands)	ING Russia Fund	2,363,800	0.01	5.92	30-Jun-2013
ING Investment Management (Netherlands)	NN Aandelen Fonds	548,494	0.00	3.68	28-Feb-2013
ING Investment Management (Netherlands)	NN Europa Fonds	39,174	0.00	0.26	28-Feb-2013
ING Investment Management (Netherlands)	NN Mix Fonds	291,210	0.00	1.95	28-Feb-2013
ING Investment Management (Netherlands)	RVS Mixfonds	15,214	0.00	0.09	30-Apr-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	99,561	0.00	0.64	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management Co. LLC	ING Emerging Markets Index Portfolio	387,100	0.00	2.50	31-Mar-2013
ING Investment Management Co. LLC	ING Global Advantage and Premium Opportunity Fund	142,961	0.00	0.92	31-Mar-2013
ING Securities Investment & Trust Co., Ltd.	ING Europe High Dividend Fund	27,700	0.00	0.20	31-Dec-2012
ING Securities Investment & Trust Co., Ltd.	ING Global Climate Change Fund	20,381	0.00	0.14	31-Dec-2012
ING Securities Investment & Trust Co., Ltd.	ING Greater Russia Fund	447,931	0.00	3.20	31-Dec-2012
Total ING		10,492,671	0.05	55.85	
KBC					
CSOB Asset Management a.s.	Horizon Access Fund Russia	1,159,000	0.01	6.60	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Eastern Europe	515,000	0.00	2.93	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Oil	67,343	0.00	0.38	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	10,717	0.00	0.06	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	301	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	4,331	0.00	0.02	31-May-2013
KBC Asset Management N.V.	Plato Institutional Index Emerging Markets Class Plus Shares	334,286	0.00	1.91	31-May-2013
KBC Fund Management Limited	Centea Fund World Select	3,427	0.00	0.03	31-Dec-2011
KBC Fund Management Limited	KBC Equity Fund BRIC	265,789	0.00	1.52	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Global Leaders	34,137	0.00	0.20	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Growth By Innovation	9,429	0.00	0.05	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	78,289	0.00	0.44	31-May-2013
KBC Fund Management Limited	KBC Equity Fund New Markets	155,352	0.00	0.88	31-May-2013
Total KBC		2,637,401	0.01	15.03	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG Osteuropa	98,000	0.00	0.63	31-Mar-2013
Total Munich Re		98,000	0.00	0.63	
PKO Bank Polski					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Akcji Nowa Europa FIO	341,380	0.00	2.43	30-Jun-2012
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Stabilnego Wzrostu FIO	53,108	0.00	0.38	30-Jun-2012
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Stabilnego Wzrostu Plus	17,430	0.00	0.12	30-Jun-2012
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Zrownowazony FIO	156,455	0.00	1.12	30-Jun-2012
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Zrownowazony Plus	23,007	0.00	0.17	30-Jun-2012
Total PKO Bank Polski		591,380	0.00	4.21	
UBS					
UBS (Luxembourg) S.A.	UBS-ETF MSCI Emerging Markets (USD) A-dis	468,529	0.00	2.80	30-Apr-2013
UBS Gestión, S.G.I.I.C., S.A.	Aucas de Inversiones SICAV SA	12,003	0.00	0.08	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Dossau de Inversiones SICAV SA	30,007	0.00	0.20	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Layssis Global Investment SICAV SA	5,902	0.00	0.04	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Truvi de Inversiones SICAV SA	12,003	0.00	0.08	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS International Equity Fund	11,513	0.00	0.08	31-Mar-2013
UBS Global Asset Management (Singapore) Ltd.	UBS New Major Economies Equity Mother Fund	956,700	0.01	6.41	26-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Eastern Europe	912,265	0.01	5.46	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Energy	128,210	0.00	0.77	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (LUX) Equity SICAV Russia	1,355,362	0.01	8.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Energy (USD) P Acc	3,983	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS Global Securities Relationship Fund	16,161	0.00	0.11	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection SICAV - Global Equities	101,003	0.00	0.60	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS BRIC Mother Fund	277,100	0.00	1.97	05-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS Global Equity Fund	53,646	0.00	0.35	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS Global Optimal Fund	38,095	0.00	0.23	30-Apr-2013
UBS Hana Asset Management Company Ltd.	Hana UBS Eastern Europe Equity Feeder 1 Class C2	44,988	0.00	0.29	31-Mar-2013
Total UBS		4,427,470	0.03	27.58	
UniCredit					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investment Management Ltd.	Aperta SICAV Emerging Markets Equities	49,517	0.00	0.28	31-May-2013
Pioneer Investment Management Ltd.	Pioneer Azionario Paesi Emergenti	517,132	0.00	3.09	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Emerging Markets Fund	331,919	0.00	1.89	31-May-2013
Pioneer Investment Management Ltd.	Pioneer Emerging Markets VCT Portfolio	123,362	0.00	0.70	31-May-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Emerging Europe & Mediterranean Equity	4,649,545	0.04	27.81	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Emerging Markets Equity	962,889	0.01	5.76	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Russian Equity	162,155	0.00	0.97	30-Apr-2013
Pioneer Investments Austria GmbH	Pioneer Dynamicky Fond	259,300	0.00	1.29	30-Jun-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Eastern Europe Stock	1,075,000	0.01	6.13	31-May-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Rich Stock	95,000	0.00	0.63	31-May-2012
Pioneer Investments Austria GmbH	Pioneer Funds Austria Russia Stock	507,000	0.00	2.89	31-May-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments Substanzwerte	150,000	0.00	0.90	30-Apr-2013
Total UniCredit		8,882,819	0.06	52.33	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 115 provides an overview of the shares of Gazprom's separately listed subsidiary Gazprom Neft which were owned or managed by the selected financial institutions at the most recent filing date.

Table 115 Shareholders of Gazprom Neft

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	8,575	0.00	0.13	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	12,924	0.00	0.19	31-May-2013
Allianz Invest Kapitalanlagegesellschaft mbH	Allianz Invest Osteuropafonds	7,000	0.00	0.11	31-May-2013
Total Allianz		28,499	0.00	0.42	

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas					
BNP Paribas Asset Management, Inc.	BNP Paribas BRIC	14,000	0.00	0.24	28-Feb-2013
BNP Paribas Asset Management, Inc.	Parvest Equity BRIC	41,343	0.00	0.66	31-Mar-2013
BNP Paribas Investment Partners (France)	Parvest Equity Russia	2,797,010	0.06	47.65	28-Feb-2013
BNP Paribas Investment Partners UK Limited	Parvest Equity Russia Opportunity	211,593	0.00	3.38	31-Mar-2013
TKB BNP Paribas Investment Partners OAO	Alfred Berg Ryssland	346,501	0.01	5.53	31-Mar-2013
Total BNP Paribas		3,410,447	0.07	57.45	
Credit Suisse					
Wellington Management Company, LLP	Credit Suisse SICAV (Lux) Equity Energy	126,300	0.00	1.84	31-May-2013
Total Credit Suisse		126,300	0.00	1.84	
DZ Bank					
Union Investment Group	UniEM Global	215,000	0.00	3.43	31-Mar-2013
Union Investment Group	UniEM Immuno 90	2,100	0.00	0.03	31-Mar-2013
Union Investment Group	UniEM Osteuropa	50,000	0.00	0.80	31-Mar-2013
Union Investment Group	UniRak Emerging Markets	45,000	0.00	0.72	31-Mar-2013
Total DZ Bank		312,100	0.01	4.98	
ING					
ING Investment Management (Netherlands)	ING Russia Fund	63,000	0.00	0.85	30-Jun-2013
Total ING		63,000	0.00	0.85	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG Osteuropa	2,500	0.00	0.04	31-Mar-2013
Total Munich Re		2,500	0.00	0.04	
UniCredit					
Pioneer Investments Austria GmbH	Pioneer Dynamicky Fond	13,300	0.00	0.18	30-Jun-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Eastern Europe Stock	56,482	0.00	0.82	31-May-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Russia Stock	18,000	0.00	0.26	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total UniCredit		87,782	0.00	1.27	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Glencore Xstrata

Table 116 provides an overview of the shares of Glencore Xstrata which were owned or managed by the selected financial institutions at the most recent filing date.

Table 116 Shareholders of Glencore Xstrata

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	AS-Aktiv Dynamik	282,613	0.00	1.05	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Aktien Europa	610,000	0.00	2.26	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	1,433,100	0.01	5.31	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	1,680,000	0.01	7.00	31-Oct-2012
Allianz Global Investors U.S. LLC	AllianzGI Global Equity & Convertible Income Fund	13,807	0.00	0.05	30-Jun-2013
Allianz Invest Kapitalanlagegesellschaft mbH	Allianz Invest Aktienfonds	80,000	0.00	0.29	31-May-2013
Allianz Popular Asset Management, SGIIC, S.A	Eurovalor Recursos Naturales FI	29,935	0.00	0.12	31-Mar-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	1,768,729	0.01	7.61	31-Dec-2012
RCM (UK) Ltd.	Allianz UK Index Fund	16,100	0.00	0.06	31-May-2012
RCM Capital Management LLC	AllianzGI Global Commodity Equity Fund	186,420	0.00	0.58	30-Jun-2013
Total Allianz		6,100,704	0.05	24.34	
Argenta					
Argenta Fund	Argenta Fund Actions Europe	146,452	0.00	0.46	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Argenta Fund	Argenta Fund Actions Industrie de Base	304,794	0.00	0.95	30-Jun-2013
Total Argenta		451,246	0.00	1.41	
Belfius					
Dexia Asset Management Belgium S.A.	Dexia Equities L United Kingdom	302,000	0.00	1.12	31-May-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	52,629	0.00	0.23	31-Dec-2012
Total Belfius		354,629	0.00	1.34	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares FTSE 100 (DE)	481,768	0.00	1.50	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 50 (DE)	1,205,316	0.01	3.76	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	3,679,854	0.03	11.48	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Basic Resources (DE)	5,502,888	0.04	17.17	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Large 200 (DE)	48,465	0.00	0.15	30-Jun-2013
Total BlackRock Germany		10,918,291	0.08	34.06	
BNP Paribas					
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	665	0.00	0.00	28-Feb-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	289,572	0.00	0.90	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity UK Fund	16,973	0.00	0.07	31-May-2012
BNP Paribas Investment Partners (France)	Parworld Track Europe	131,935	0.00	0.54	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track UK	44,633	0.00	0.18	31-Mar-2013
BNP Paribas Investment Partners UK Limited		386,351	0.00	1.53	22-May-2012
Total BNP Paribas		870,129	0.01	3.22	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on FTSE 100	172,431	0.00	0.81	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	42,911	0.00	0.20	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI UK	441,757	0.00	2.08	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	3,570	0.00	0.02	31-Jul-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	CSIF Europe ex CH Index Blue	2,007,696	0.02	7.43	31-May-2013
Credit Suisse Asset Management	Credit Suisse Fund (Lux) Global Responsible Equities	122,000	0.00	0.45	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	9,554	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	64,719	0.00	0.27	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	8,392	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	4,193	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	17,099	0.00	0.07	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	7,523	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	4,752	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	32,206	0.00	0.14	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	9,040	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Csif Europe Ex Eurozone/Ch Index	5,204,992	0.04	19.27	31-May-2013
Credit Suisse Asset Management	NAB Aktien Europa ex CH (EUR)	200,000	0.00	0.74	31-May-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI UK Large Cap	151,369	0.00	0.71	31-Jan-2013
Total Credit Suisse		8,504,204	0.06	32.38	
DeKaBank					
Deka Investment GmbH	Dekalux Europa TF	160,000	0.00	0.69	31-Dec-2012
ETFlab Investment GmbH	ETFlab MSCI Europe	79,482	0.00	0.25	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI Europe LC	86,481	0.00	0.27	30-Jun-2013
ETFlab Investment GmbH	ETFlab STOXX Europe 50	41,971	0.00	0.13	30-Jun-2013
Total DeKaBank		367,934	0.00	1.33	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	992,922	0.01	3.10	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Leveraged Daily UCITS ETF	12,000	0.00	0.05	30-Apr-2013
DB Platinum Advisors	db x-trackers FTSE 100 Short Daily ETF	284,504	0.00	0.89	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Super Short Daily UCITS ETF	9,827	0.00	0.03	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DB Platinum Advisors	db x-trackers FTSE 100 UCITS ETF	1,479,143	0.01	4.61	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-Share UCITS ETF	890,130	0.01	2.77	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Index UCITS ETF	1,676,597	0.01	5.23	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Value Index UCITS ETF	11,235	0.00	0.04	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pan-Euro Index UCITS ETF	89,569	0.00	0.28	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Materials Index UCITS ETF	29,488	0.00	0.09	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 Basic Resources UCITS ETF	2,464,527	0.02	7.69	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	693,794	0.01	2.16	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe 600 Basic Resources Short Daily U	61,363	0.00	0.26	30-Nov-2012
DB Platinum Advisors	db x-trackers Stoxx Europe Christian UCITS ETF	5,138	0.00	0.02	30-Jun-2013
Deutsche Investment Management Americas, Inc.	DWS Global Metals & Mining Typ O	774,333	0.01	2.42	30-Jun-2013
DWS Investment GmbH	DB Privatmandat Comfort Balance (U)	689,300	0.01	2.55	31-May-2013
DWS Investment GmbH	DWS Alpha Fonds	55,650	0.00	0.26	31-Dec-2011
DWS Investment GmbH	DWS E.ON Aktienfonds	90,000	0.00	0.28	30-Jun-2013
DWS Investment GmbH	DWS Europe Dynamic	75,000	0.00	0.23	30-Jun-2013
DWS Investment GmbH	DWS Global Equity Fund	24,781	0.00	0.08	30-Jun-2013
DWS Investment GmbH	DWS Gottlieb Daimler Aktienfonds	590,000	0.00	1.84	30-Jun-2013
DWS Investment GmbH	DWS Merkur Fonds 1	610,000	0.00	1.91	30-Jun-2013
DWS Investment GmbH	DWS WvF Strategie Fonds Nr 1	1,000,681	0.01	3.70	31-May-2013
DWS Investment S.A.	DWS Etoile	37,014	0.00	0.14	31-May-2013
Frankfurt-Trust Investment-Gesellschaft mbH		52,350	0.00	0.22	19-Sep-2012
Northern Trust Global Investments	DWS EAFFE Equity Index Fund	203,552	0.00	0.75	31-May-2013
Total Deutsche Bank		12,902,898	0.10	41.58	
DZ Bank					
Union Investment Group	UniDynamicFonds - Europa	550,000	0.00	2.25	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total DZ Bank		550,000	0.00	2.25	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Materials	461,607	0.00	1.71	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Sustainable Equity	467,662	0.00	1.73	31-May-2013
ING Investment Management (Netherlands)	ING Duurzaam Aandelen Fonds	300,308	0.00	1.11	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	785	0.00	0.00	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	11,730	0.00	0.05	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	55,221	0.00	0.20	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	89,340	0.00	0.33	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	36,357	0.00	0.14	31-May-2013
ING Investment Management (Netherlands)	ING Materials Basis Fonds	213,486	0.00	0.79	31-May-2013
ING Investment Management Co. LLC	ING FTSE 100 Index Portfolio	812,545	0.01	3.32	31-Mar-2013
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	7,416	0.00	0.03	31-Dec-2012
ING Investment Management Co. LLC	ING International Index Portfolio	143,833	0.00	0.59	31-Mar-2013
Total ING		2,600,290	0.02	10.00	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	142,302	0.00	0.53	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Euro Cyclical	37,083	0.00	0.14	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Europe	122,000	0.00	0.45	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	2,729	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Index Fund Europe	83,578	0.00	0.31	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	24,070	0.00	0.09	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Satellite Equity	238,523	0.00	0.88	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund European Equity	4,373	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	447	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global SRI Defensive 1	12,154	0.00	0.05	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Institutional Global	6,381	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional SRI World Equity Cap	108,958	0.00	0.41	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund European Equity	241,309	0.00	0.89	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	48,857	0.00	0.18	31-May-2013
Total KBC		1,072,764	0.01	3.96	
UBS					
UBS Gestión, S.G.I.I.C., S.A.	Pangaea Investment SICAV SA	7,998	0.00	0.03	31-Mar-2013
UBS Global Asset Management (Canada) Inc.	Ferique European Fund	238,946	0.00	0.75	30-Jun-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	37,063	0.00	0.17	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Global Materials	291,531	0.00	1.09	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe Passive	886,112	0.01	3.29	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	3,874	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Basic Materials (USD)	18,446	0.00	0.08	30-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	37,846	0.00	0.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	5,434	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	2,960	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	376	0.00	0.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	2,574	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	16,067	0.00	0.08	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	Nationwide International Value Fund	569,108	0.00	1.78	30-Jun-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	1,710	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Equity Fund - Great Britain	479,363	0.00	1.78	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	37,400	0.00	0.14	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS UK Opportunities Fund	141,081	0.00	0.52	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF FTSE 100	369,057	0.00	1.37	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	72,358	0.00	0.27	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total UBS		3,219,304	0.02	11.53	
UniCredit					
Pioneer Investment Management Ltd.	Pioneer Funds - Gold and Mining	209,532	0.00	0.78	30-Apr-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	16,897	0.00	0.06	30-Jun-2012
Pioneer Investment Management, Inc.	Pioneer Rustovy Fond Nadaci	35,075	0.00	0.13	31-May-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - European Equity Market Plus (EUR)	74,186	0.00	0.28	30-Apr-2013
Pioneer Investments Austria GmbH	Pioneer Akciový Fond	145,790	0.00	0.45	30-Jun-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria-Plus Unternehmensvorsorgefonds	40,565	0.00	0.15	31-May-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordstrategie	30,500	0.00	0.10	30-Jun-2013
Total shareholding UniCredit		552,545	0.00	1.94	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Golden Agri-Resources

Table 117 provides an overview of the shares of Golden Agri-Resources which were owned or managed by the selected financial institutions at the most recent filing date.

Table 117 Shareholders of Golden Agri-Resources

Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	3,011,000	0.02	1.22	30-Sep-2012
Allianz Global Investors Taiwan Ltd.	Allianz Global Investors Global Agricultural Trends fund	11,621,198	0.09	4.67	31-Dec-2012
Allianz Global Investors Taiwan Ltd.	Allianz Global Investors Rising Asia Fund	1,481,174	0.01	0.60	31-Dec-2012
NFJ Investment Group LLC	AZL NFJ International Value Fund	5,425,500	0.04	1.91	31-Mar-2013

Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
NFJ Investment Group LLC	AllianzGI NFJ International Value Fund	156,334,800	1.22	52.05	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value II Fund	64,400	0.00	0.02	30-Jun-2013
PIMCO (US)	Target Moderate Allocation Fund	245,300	0.00	0.08	30-Apr-2013
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	28,376	0.00	0.01	30-Jun-2013
Total Allianz		178,211,748	1.39	60.56	
Belfius					
Dexia Asset Management Luxembourg S.A.	Dexia Equities L Asia Premier	1,000,000	0.01	0.40	30-Jun-2012
Total Belfius		1,000,000	0.01	0.40	
BNP Paribas					
BNP Paribas Investment Partners (France)	EasyETF BNP Paribas Global Agribusiness	4,726,114	0.04	1.58	30-Jun-2013
Total BNP Paribas		4,726,114	0.04	1.58	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI Pacific ex Japan	222,000	0.00	0.10	31-Jul-2012
Credit Suisse Asset Management	CSIF Pacific ex Japan Index Blue	1,859,070	0.01	0.64	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	34,245	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	98,351	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	14,261	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	11,583	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	26,427	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	14,541	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	24,768	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	67,862	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	20,086	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Csif Pacific Ex Japan Index	3,961,946	0.03	1.36	31-May-2013
Total Credit Suisse		6,355,140	0.05	2.22	
DeKaBank					

Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deka Investment GmbH	Dekalux Pazifik Fonds	1,200,000	0.01	0.48	31-Dec-2012
Total DeKaBank		1,200,000	0.01	0.48	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	732,125	0.01	0.24	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	8,141	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI AC Asia ex Japan Index UCITS ETF	11,734	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pacific ex Japan Index UCITS ETF	2,068,413	0.02	0.69	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Singapore IM Index UCITS ETF	319,436	0.00	0.11	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Consumer Staples Index UCITS ETF	77,566	0.00	0.02	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS Singapore Equity Fund A	1,498,000	0.01	0.60	31-Dec-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	235,000	0.00	0.10	31-Dec-2011
DWS Investment GmbH	DWS Global Equity Fund	340,000	0.00	0.11	30-Jun-2013
DWS Investment S.A.	DWS Etoile	91,000	0.00	0.03	31-May-2013
Northern Trust Global Investments	DWS EAFFE Equity Index Fund	148,373	0.00	0.05	31-May-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	328,000	0.00	0.14	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	381,000	0.00	0.13	30-Jun-2013
Total Deutsche Bank		6,238,788	0.05	2.23	
ING					
ING Investment Management Co. LLC	ING International Index Portfolio	289,122	0.00	0.11	31-Mar-2013
Total ING		289,122	0.00	0.11	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Food & Personal Products	389,000	0.00	0.14	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	7,063	0.00	0.00	31-May-2013

Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	209	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	2,972	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund Pacific Equity	241,505	0.00	0.08	31-May-2013
Kleinwort Benson Investors Dublin Ltd	KBC Institutional Agri Fund	2,963,753	0.02	1.05	31-Mar-2013
Total KBC		3,604,502	0.03	1.27	
UBS					
UBS Gestión, S.G.I.I.C., S.A.	Kasama Investment Sicav SA	50,175	0.00	0.02	31-Dec-2012
UBS Global Asset Management (Singapore) Ltd.	UBS (Lux) Equity Fund - Singapore	6,947,000	0.05	2.25	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	384,000	0.00	0.15	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Pacific (ex Japan) Pa	1,672,152	0.01	0.54	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Pacific (Ex Japan)	2,061,000	0.02	0.67	30-Apr-2013
Total UBS		11,114,327	0.09	3.64	
UniCredit					
Pioneer Investment Management Ltd.	Pioneer SF Pacific (Ex-Japan) Equity Market Plus	168,000	0.00	0.05	30-Apr-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Tiger Stock	156,000	0.00	0.05	31-May-2013
Total UniCredit		324,000	0.00	0.11	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Jabil Circuit

Table 118 provides an overview of the shares of Jabil Circuit which were owned or managed by the selected financial institutions at the most recent filing date.

Table 118 Shareholders of Jabil Circuit

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors U.S. LLC	AllianzGI U.S. Equity Hedged Fund	58	0.00	0.00	30-Jun-2013
Allianz Global Investors U.S. LLC	Allianz NFJ Mid-Cap Value Fund (CN)	13,400	0.01	0.21	31-Oct-2011
NFJ Investment Group LLC	AllianzGI NFJ Mid-Cap Value Fund	330,800	0.16	5.08	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Small-Cap Value Fund	3,251,000	1.60	49.95	30-Jun-2013
NFJ Investment Group LLC	Pacific Life Small Cap Value Fund	51,200	0.03	0.69	30-Apr-2013
NFJ Investment Group LLC	Pacific Select Small Cap Value Portfolio	234,300	0.12	3.60	30-Jun-2013
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	570	0.00	0.01	30-Jun-2013
RCM Capital Management LLC		110,155	0.05	1.54	31-Mar-2013
Total Allianz		3,991,483	1.97	61.07	
BNP Paribas					
Bank of the West		33,049	0.02	0.46	31-Mar-2013
BNP Paribas (Suisse) S.A.	Luxumbrella Equity Alpha USD	32,540	0.02	0.50	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Russell 1000	1,234	0.00	0.02	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF S&P 500	1,188	0.00	0.02	30-Jun-2013
BNP Paribas Investment Partners Belgium S.A.		84,900	0.04	1.18	31-Mar-2013
BNP Paribas Luxembourg	Luxumbrella - Equity Premium USD	5,130	0.00	0.09	30-Apr-2012
BNP Paribas Securities Corp. North America		555,955	0.27	7.74	31-Mar-2013
Total BNP Paribas		713,996	0.35	10.01	
Commerzbank					
Commerzbank AG		73,178	0.04	1.12	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total Commerzbank		73,178	0.04	1.12	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI USA	1,339	0.00	0.02	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on S&P 500	10,187	0.01	0.14	31-Jan-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI USA Small Cap	14,473	0.01	0.20	31-Jan-2013
Credit Suisse Securities (USA) LLC		667,778	0.33	9.30	31-Mar-2013
Total Credit Suisse		693,777	0.34	9.67	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers S&P 500 2x Inverse Daily UCITS ETF	844	0.00	0.02	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Leveraged Daily UCITS ETF	340	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	236	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers Russell Midcap UCITS ETF	2,742	0.00	0.05	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Equal Weight UCITS ETF	533	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Inverse Daily UCITS ETF	3,119	0.00	0.05	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 UCITS ETF	11,386	0.01	0.17	30-Jun-2013
Deutsche Asset Management Americas		86,026	0.04	1.20	31-Mar-2013
Deutsche Asset Management Investmentgesellschaft mbH		14,600	0.01	0.20	31-Mar-2013
Deutsche Bank Securities Inc.		3,706	0.00	0.05	31-Mar-2013
Deutsche Investment Management Americas, Inc.	DWS S&P 500 Plus Fund (CN)	1,800	0.00	0.04	31-Mar-2012
QS Investors, LLC	DWS Lifecycle Long Range Fund	7,900	0.00	0.14	30-Apr-2012
Total Deutsche Bank		133,232	0.07	1.93	
ING					
ING Investment Management (Netherlands)		500,000	0.25	6.97	31-Mar-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	93,847	0.05	1.44	30-Jun-2013
ING Investment Management Co. LLC	ING Core Equity Research Fund	201,700	0.10	3.10	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management Co. LLC	ING Growth and Income Portfolio	2,247,725	1.11	34.53	30-Jun-2013
ING Investment Management Co. LLC	ING Index Plus MidCap Portfolio	161,818	0.08	2.49	30-Jun-2013
ING Investment Management Co. LLC	ING Large Cap Value Fund	205,862	0.10	3.17	30-Jun-2013
ING Investment Management Co. LLC	ING Large Cap Value Portfolio	205,862	0.10	3.17	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Mid Cap Index Portfolio	83,715	0.04	1.29	30-Jun-2013
ING Investment Management Co. LLC	ING SMID Cap Equity Fund	89,100	0.04	1.37	30-Jun-2013
ING Investment Management Co. LLC	ING U.S. Stock Index Portfolio	55,598	0.03	0.85	30-Jun-2013
ING Investments, LLC (Arizona)	ING Value Choice Fund	123,935	0.06	1.91	30-Jun-2013
Total ING		3,969,162	1.96	60.27	
KBC					
KBC Asset Management N.V.	KBC Equity Fund World	2,334	0.00	0.04	31-May-2013
Total KBC		2,334	0.00	0.04	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH		129,523	0.06	1.99	30-Jun-2013
Total Munich Re		129,523	0.06	1.99	
UBS					
UBS Global Asset Management (Americas), Inc.		47,853	0.02	0.66	31-Mar-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	3,466	0.00	0.05	31-Jan-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - S&P 500 (USD)	78	0.00	0.00	30-Apr-2013
UBS Securities LLC		241,811	0.12	3.37	31-Mar-2013
Total UBS		293,208	0.14	4.09	
UniCredit					
Pioneer Investment Management SGRpA	Effepilux - Azionario	591	0.00	0.01	30-Jun-2012
Total UniCredit		591	0.00	0.01	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Jindal Steel & Power

Table 119 provides an overview of the shares of Jindal Steel & Power which were owned or managed by the selected financial institutions at the most recent filing date.

Table 119 Shareholders of Jindal Steel & Power

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Belfius					
Dexia Asset Management Belgium S.A.	Dexia Equities B BRIC	52,500	0.01	0.14	30-Jun-2013
Total Belfius		52,500	0.01	0.14	
BNP Paribas					
BNP Paribas Investment Partners Asia Ltd.	BNP Paribas B Fund I Equity Asia ex-Japan	12,414	0.00	0.05	30-Apr-2013
BNP Paribas Investment Partners Asia Ltd.	BNP Paribas L1 Equity Best Selection Asia ex-Japan	684,273	0.07	3.31	28-Feb-2013
Total BNP Paribas		696,687	0.07	3.36	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers MSCI India Index UCITS ETF	81,325	0.01	0.23	30-Jun-2013
DB Platinum Advisors	db x-trackers CNX Nifty UCITS ETF	264,301	0.03	0.73	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	474	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI AC Asia ex Japan Index UCITS ETF	595	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI BRIC Index UCITS ETF	1,981	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Asia Index UCITS ETF	178,604	0.02	0.49	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Materials Index UCITS ETF	25,794	0.00	0.07	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Short Daily Index UCITS ETF	1,295	0.00	0.00	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Emerging Markets Index UCITS ETF	319,026	0.03	0.88	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS India Equity Fund	110,000	0.01	0.68	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DWS Emerging Markets Equity Fund	44,300	0.00	0.26	31-Jan-2013
Total Deutsche Bank		1,027,695	0.11	3.35	
ING					
ING Investment Management India Private Ltd.	ING Balanced Fund - IND	2,872	0.00	0.01	30-Jun-2013
ING Investment Management India Private Ltd.	ING Core Equity Fund	21,000	0.00	0.06	30-Jun-2013
ING Investment Management India Private Ltd.	ING Large Cap Equity Fund	945	0.00	0.00	30-Jun-2013
ING Investment Management India Private Ltd.	ING Tax Saving Fund	10,695	0.00	0.03	30-Jun-2013
ING Securities Investment & Trust Co., Ltd.	ING India Star Fund	31,689	0.00	0.20	31-Dec-2011
Total ING		67,201	0.01	0.30	
KBC					
KBC Asset Management N.V.	KBC Equity Fund World	860	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	22	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	315	0.00	0.00	31-May-2013
KBC Asset Management N.V.	Plato Institutional Index Emerging Markets Class Plus Shares	24,300	0.00	0.09	31-May-2013
KBC Fund Management Limited	Horizon Access Asian Infrastructure	1,710	0.00	0.01	31-May-2013
KBC Fund Management Limited	Horizon Access India Fund	233,001	0.02	0.88	31-May-2013
KBC Fund Management Limited	KBC Equity Fund BRIC	17,235	0.00	0.07	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	9,231	0.00	0.04	31-May-2013
KBC Fund Management Limited	KBC Equity Fund New Asia	38,959	0.00	0.15	31-May-2013
KBC Fund Management Limited	KBC Equity Fund New Markets	19,183	0.00	0.08	31-May-2013
Total KBC		344,816	0.04	1.31	
UBS					
UBS (Luxembourg) S.A.	UBS-ETF MSCI Emerging Markets (USD) A-dis	36,780	0.00	0.16	30-Apr-2013
UBS Global Asset Management (Singapore) Ltd.	UBS (CH) Equity Fund - Asia	236,037	0.03	1.01	30-Apr-2013
UBS Global Asset Management (Singapore) Ltd.	UBS (CH) Equity Fund - Emerging Asia	380,486	0.04	1.63	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Singapore) Ltd.	UBS (Lux) Key Selection SICAV Asian Equities	95,845	0.01	0.41	30-Apr-2013
UBS Global Asset Management (Singapore) Ltd.	UBS New Major Economies Equity Mother Fund	799,800	0.09	4.48	26-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Emerging Markets	105,155	0.01	0.45	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Emerging Markets Asia	258,038	0.03	1.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Emerging Markets Glob	93,071	0.01	0.40	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (LUX) Institutional Fund Emerging Markets Eq	130,079	0.01	0.56	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity Fund Emer Mrkts Infrastructure	567,745	0.06	2.43	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity Fund Emerging Markets	576,529	0.06	2.46	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS Global Emerging Markets Equity Fund	688,199	0.07	2.94	30-Apr-2013
Total UBS		3,967,764	0.42	18.02	
UniCredit					
Baroda Pioneer Asset Management Company Limited	Baroda Pioneer Growth Fund	18,000	0.00	0.05	30-Jun-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Rich Stock	24,000	0.00	0.14	31-May-2012
Total UniCredit		42,000	0.00	0.20	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Lockheed Martin

Table 120 provides an overview of the shares of Lockheed Martin which were owned or managed by the selected financial institutions at the most recent filing date.

Table 120 Shareholders of Lockheed Martin

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors U.S. LLC	AllianzGI U.S. Equity Hedged Fund	83	0.00	0.01	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Fuller & Thaler Asset Management Inc.	AllianzGI Behavioral Advantage Large Cap Fund	2,350	0.00	0.19	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Dividend Interest & Premium Strategy Fund	115,300	0.04	9.43	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Dividend Value Fund	1,652,400	0.51	135.10	30-Jun-2013
NFJ Investment Group LLC	Columbia VP-NFJ Dividend Value	362,100	0.11	29.60	30-Jun-2013
NFJ Investment Group LLC	Premier NFJ Dividend Value Portfolio	19,300	0.01	1.54	31-May-2013
NFJ Investment Group LLC	Target Portfolio Trust-Large Capitalization Value	12,700	0.00	1.04	30-Jun-2013
PIMCO (US)	Target Conservative Allocation Fund	1,200	0.00	0.09	30-Apr-2013
PIMCO (US)	Target Moderate Allocation Fund	3,500	0.00	0.26	30-Apr-2013
PIMCO Commercial Mortgage Securities Trust, Inc	PIMCO Dividend and Income Builder Fund	12,924	0.00	0.91	30-Sep-2012
PIMCO Commercial Mortgage Securities Trust, Inc	PIMCO EqS Dividend Fund	150,808	0.05	10.98	31-Mar-2013
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	732	0.00	0.06	30-Jun-2013
RCM Capital Management LLC		38,666	0.01	2.81	31-Mar-2013
Total Allianz		2,372,063	0.74	192.02	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares Dow Jones US Select Dividend (DE)	60,357	0.02	4.94	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Global Select Dividend 100 (DE)	38,896	0.01	3.18	30-Jun-2013
Total BlackRock Germany		99,253	0.03	8.12	
BNP Paribas					
Bank of the West		14,461	0.00	1.06	31-Mar-2013
BNP Paribas Investment Partners (France)	EasyETF Russell 1000	1,576	0.00	0.13	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF S&P 500	1,707	0.00	0.14	30-Jun-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	259	0.00	0.02	31-Oct-2011
BNP Paribas Securities Corp. North America		17,384	0.01	1.27	31-Mar-2013
Total BNP Paribas		35,387	0.01	2.61	
Commerzbank					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Commerzbank AG		122,368	0.04	10.00	30-Jun-2013
Total Commerzbank		122,368	0.04	10.00	
Credit Suisse Asset Management	CS ETF (IE) on MSCI USA	7,219	0.00	0.47	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	675	0.00	0.05	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on S&P 500	14,806	0.00	0.97	31-Jan-2013
Credit Suisse Asset Management	CS SICAV One (Lux) Global Equity Dividend Plus	10,444	0.00	0.84	31-May-2013
Credit Suisse Asset Management	CSIF US Index	26,367	0.01	2.10	31-May-2013
Credit Suisse Asset Management	CSIF US Index - Pension Fund	62,802	0.02	5.01	31-May-2013
Credit Suisse Asset Management	CSIF US Index Blue - Pension Fund	36,210	0.01	2.89	31-May-2013
Credit Suisse Asset Management	Credit Suisse (CH) US Quant Equity Fund	1,555	0.00	0.12	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	401	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	2,778	0.00	0.20	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	66	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	168	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	794	0.00	0.05	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	109	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	411	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	1,853	0.00	0.13	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	207	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Nikko World High Dividend Equity Mother Fund	46,000	0.01	3.67	20-May-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI USA Large Cap	1,336	0.00	0.09	31-Jan-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity North America (CN)	760	0.00	0.05	30-Apr-2012
Credit Suisse Securities (USA) LLC		532,095	0.17	38.72	31-Mar-2013
Total Credit Suisse		747,056	0.23	55.46	
DeKaBank					
DekaBank Deutsche Girozentrale		3,583	0.00	0.26	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ETFlab Investment GmbH	ETFlab MSCI USA	980	0.00	0.08	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI USA LC	1,033	0.00	0.08	30-Jun-2013
Total DeKaBank		5,596	0.00	0.43	
Deutsche Bank					
DB Platinum Advisors	DB Platinum IV Croci US	175,907	0.05	11.52	31-Jan-2013
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	27,241	0.01	2.22	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Inverse Daily UCITS ETF	1,217	0.00	0.10	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Leveraged Daily UCITS ETF	491	0.00	0.04	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 Shariah UCITS ETF	217	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	410	0.00	0.03	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI USA Index UCITS ETF	38,128	0.01	3.12	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Industrials Index UCITS ETF	7,652	0.00	0.63	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Equal Weight UCITS ETF	96	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Inverse Daily UCITS ETF	4,499	0.00	0.37	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 UCITS ETF	16,421	0.01	1.34	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P U.S. Carbon Efficient UCITS ETF	187	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Global Select Dividend 100 UCITS ETF	39,378	0.01	3.22	30-Jun-2013
Deutsche Asset Management Americas	DWS Blue Chip Fund (CN)	13,800	0.00	0.86	31-Jan-2012
Deutsche Asset Management Americas	DWS Core Equity Fund	133,800	0.04	10.67	31-May-2013
Deutsche Asset Management Americas	DWS S&P 500 Plus Fund (CN)	3,330	0.00	0.23	31-Mar-2012
Deutsche Asset Management Americas	DWS Variable Series I - Core Equity VIP	2,600	0.00	0.17	30-Jun-2012
Deutsche Asset Management Americas	DWS Var. Series II-Blue Chip Portfolio (CN)	3,800	0.00	0.26	31-Mar-2012
Deutsche Bank Private Wealth Management Limited		980	0.00	0.07	31-Mar-2013
Deutsche Bank Securities Inc.		21,530	0.01	1.57	31-Mar-2013
Deutsche Investment Management Americas, Inc.		160,125	0.05	11.65	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH		500	0.00	0.04	31-Mar-2013
DWS Investment S.A.	DWS Etoile	500	0.00	0.04	31-May-2013
Oppenheim Kapitalanlagegesellschaft mbH	Vermoegensmanagement Rendite OP	12,800	0.00	0.93	31-Mar-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	4,300	0.00	0.29	30-Apr-2012
Total Deutsche Bank		669,909	0.21	49.40	
ING					
ING Investment Management Co. LLC	ING Corporate Leaders 100 Fund	38,031	0.01	3.11	30-Jun-2013
ING Investment Management Co. LLC	ING Infrastructure, Industrials and Materials Fund	61,200	0.02	5.01	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Large Cap Growth Index Portfolio	18,824	0.01	1.54	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Large Cap Index Portfolio	15,633	0.00	1.28	30-Jun-2013
ING Investment Management Co. LLC	ING U.S. Stock Index Portfolio	80,384	0.03	6.57	30-Jun-2013
Total ING		214,072	0.07	17.50	
KBC					
KBC Groupe SA		3,181	0.00	0.26	30-Jun-2013
Total KBC		3,181	0.00	0.26	
UBS					
UBS Global Asset Management (Americas), Inc.	PL Large-Cap Growth Fund	4,220	0.00	0.32	30-Apr-2013
UBS Global Asset Management (Canada) Inc.	Ferique American Fund	7,900	0.00	0.65	30-Jun-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	11,219	0.00	0.73	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities USA Passive	20,463	0.01	1.53	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund 2 - Eq USA Passiv	38,405	0.01	2.87	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA (USD)	113	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA Value (USD)	110	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	217	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - S&P 500 (USD)	113	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI USA	29,647	0.01	2.22	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	5,248	0.00	0.39	30-Apr-2013
UBS O'Connor LLC		100,000	0.03	7.27	31-Mar-2013
UBS Securities LLC		256,252	0.08	18.64	31-Mar-2013
Total UBS			473,907	0.15	34.66
UniCredit					
Pioneer Investment Management, Inc.	Pioneer Multi-Asset Income Fund	4,390	0.00	0.36	30-Jun-2013
Total UniCredit			4,390	0.00	0.36

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

LPP

Table 121 provides an overview of the shares of LPP which were owned or managed by the selected financial institutions at the most recent filing date.

Table 121 Shareholders of LPP

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Eastern Europe Equity	2,130	0.12	3.29	31-May-2013
PTE Allianz Polska S.A.	Allianz Polska Otwarty Fundusz Emerytalny	19,374	1.10	21.57	31-Dec-2012
Total Allianz			21,504	1.22	24.86
BNP Paribas					
BNP Paribas Asset Management, Inc.	Parvest Equity Europe Converging	262	0.01	0.31	31-Jan-2013
Total BNP Paribas			262	0.01	0.31
DZ Bank					
Union Investment TFI S.A.	Subfundusz UniTotal Trend	25	0.00	0.03	31-Dec-2012
Union Investment TFI S.A.	UniKorona Akcje FIO	3,414	0.19	3.80	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Union Investment TFI S.A.	UniKorona Zrownowazony FIO	2,718	0.15	3.02	31-Dec-2012
Total DZ Bank		6,157	0.35	6.85	
ING					
ING Investment Management (C.R.), a.s.	ING International Czech Equity	1,450	0.08	2.24	31-May-2013
ING Powszechnie Towarzystwo Emerytalne S.A.	ING Otwarty Fundusz Emerytalny	142,119	8.07	158.20	31-Dec-2012
Total ING		143,569	8.15	160.44	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Central Europe	60	0.00	0.09	31-May-2013
KBC Towarzystwo Funduszy Inwestycyjnych S.A.	KBC Akcji Malych I Srednich Spolek	242	0.01	0.17	30-Jun-2012
KBC Towarzystwo Funduszy Inwestycyjnych S.A.	KBC Akcyjny	553	0.03	0.41	30-Jun-2012
KBC Towarzystwo Funduszy Inwestycyjnych S.A.	KBC Portfel Akcji Srednich Spolek	179	0.01	0.13	30-Jun-2012
KBC Towarzystwo Funduszy Inwestycyjnych S.A.	KBC Stabilny FIO	388	0.02	0.29	30-Jun-2012
Total KBC		1,422	0.08	1.09	
PKO Bank Polski					
PKO BP BANKOWY Powszechnie Towarzystwo Emerytalne S.A	PKO BP Bankowy Otwarty Fundusz Emerytalny	4,066	0.23	4.52	31-Dec-2012
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Akcji FIO	423	0.02	0.31	30-Jun-2012
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Akcji Plus	74	0.00	0.05	30-Jun-2012
Total PKO Bank Polski		4,563	0.26	4.88	
UniCredit					
Pekao Pioneer PTE S.A.	Pekao Otwarty Fundusz Emerytalny	9,747	0.55	10.85	31-Dec-2012
Pioneer Pekao Investment Management SA	Pioneer Akcji Aktywna Selekcja	179	0.01	0.20	31-Dec-2012
Pioneer Pekao Investment Management SA	Pioneer Akcji Polskich FIO	2,094	0.12	2.33	31-Dec-2012
Pioneer Pekao Investment Management SA	Pioneer Malych i Srednich Spolek Rynku Polskiego	3,159	0.18	3.51	31-Dec-2012
Pioneer Pekao Investment Management SA	Pioneer Stabilnego Wzrostu	185	0.01	0.20	31-Dec-2012
Pioneer Pekao Investment Management SA	Subfundusz Pioneer Zrownowazony	1,205	0.07	1.34	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total UniCredit		16,569	0.94	18.43	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Monsanto

Table 122 provides an overview of the shares of Monsanto which were owned or managed by the selected financial institutions at the most recent filing date.

Table 122 Shareholders of Monsanto

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Italia SGR S.p.A	.	9,138	0.00	0.73	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft mbH	Danske Invest Global Tech	49,355	0.01	2.87	31-May-2012
Allianz Global Investors Korea Ltd	Allianz Global Ecotech Equity 1 Class A	1,379	0.00	0.11	31-Mar-2013
Allianz Global Investors Taiwan Ltd	Allianz Global Investors Global Agricultural Trends fund	186,643	0.04	13.32	31-Dec-2012
Allianz Global Investors Taiwan Ltd	Allianz Global Investors Global Eco Trends Fund	70,469	0.01	5.03	31-Dec-2012
Allianz Global Investors Taiwan Ltd	Allianz Global Investors Target 2020 Fund	398	0.00	0.03	31-Dec-2012
Allianz Global Investors U.S. LLC	Allianz Income And Growth	31,300	0.01	2.52	30-Apr-2013
Allianz Global Investors U.S. LLC	AllianzGI Equity & Convertible Income Fund	45,000	0.01	3.35	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI Global Equity & Convertible Income Fund	2,600	0.00	0.20	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI Income & Growth Fund	104,800	0.02	7.80	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI U.S. Equity Hedged Fund	167	0.00	0.02	30-Jun-2013
Fuller & Thaler Asset Management Inc.	AllianzGI Behavioral Advantage Large Cap Fund	1,050	0.00	0.08	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	29,270	0.01	2.09	31-Dec-2012
PIMCO (US)	Target Conservative Allocation Fund	2,310	0.00	0.19	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
PIMCO (US)	Target Moderate Allocation Fund	13,337	0.00	1.07	30-Apr-2013
RCM (UK) Ltd.	Allianz Global EcoTrends	22,035	0.00	1.77	30-Apr-2013
RCM (UK) Ltd.	BMO Sustainable Climate Class	354	0.00	0.02	30-Jun-2013
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	1,190	0.00	0.09	30-Jun-2013
RCM Asia Pacific Limited		275,200	0.05	21.91	31-Mar-2013
RCM Capital Management LLC	Allianz Global Agricultural Trends	236,500	0.04	19.04	30-Apr-2013
RCM Capital Management LLC	Allianz US Large Cap Growth	25,430	0.00	1.93	31-May-2013
RCM Capital Management LLC	AllianzGI Focused Growth Fund	172,983	0.03	12.88	30-Jun-2013
RCM Capital Management LLC	AllianzGI Global Commodity Equity Fund	13,080	0.00	0.97	30-Jun-2013
RCM Capital Management LLC	AllianzGI Large-Cap Growth Fund	47,280	0.01	3.52	30-Jun-2013
RCM Capital Management LLC	AllianzGI Technology Fund	100	0.00	0.01	30-Jun-2013
RCM Capital Management LLC	Met Investors Series - RCM Technology Portfolio	15,570	0.00	1.18	28-Feb-2013
RCM Capital Management LLC	Wells Fargo Advantage Specialized Technology Fund	10,560	0.00	0.80	31-May-2013
Total Allianz		1,367,498	0.26	103.53	
Belfius					
Dexia Asset Management Belgium S.A.	Cleome Index U.S.A.	6,589	0.00	0.49	30-Jun-2013
Total Belfius		6,589	0.00	0.49	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	1,807	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	2,784	0.00	0.22	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	3,482	0.00	0.28	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	3,737	0.00	0.29	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	3,944	0.00	0.32	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	2,772	0.00	0.22	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	2,120	0.00	0.17	31-Mar-2013
Bank of the West		4,578	0.00	0.36	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Bishop Street Capital Management Corp		4,044	0.00	0.30	30-Jun-2013
BNP Paribas Asset Management, Inc.	BNP PARIBAS L1 Equity USA Core	139,363	0.03	10.58	31-May-2013
BNP Paribas Asset Management, Inc.	BNP Paribas B Fund I - Equity USA	2,668	0.00	0.21	30-Apr-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity Best Selection USA	10,433	0.00	0.79	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity USA Growth	233,316	0.04	17.77	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Materials	80,696	0.02	6.14	28-Feb-2013
BNP Paribas Asset Management, Inc.	Transamerica BNP Paribas Large Cap Growth VP	39,243	0.01	3.13	31-Mar-2013
BNP Paribas Asset Management, Inc.	Transamerica Large Cap Growth	33,170	0.01	2.64	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions USA	17,100	0.00	1.10	31-Jul-2012
BNP Paribas Investment Partners (France)	BNP Paribas Quantamerica	41,200	0.01	3.28	31-Mar-2013
BNP Paribas Investment Partners (France)	Bishop Street Strategic Growth Fund	9,600	0.00	0.76	31-Mar-2013
BNP Paribas Investment Partners (France)	EasyETF BNP Paribas Global Agribusiness	26,375	0.00	1.97	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF DJ Islamic Market Titans 100	1,650	0.00	0.12	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Russell 1000	3,244	0.00	0.24	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF S&P 500	3,426	0.00	0.26	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity USA	272,100	0.05	21.66	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track North America	10,959	0.00	0.84	31-Jan-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	467	0.00	0.02	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	196	0.00	0.02	31-Jan-2012
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Balanced	1,221	0.00	0.09	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Stability	428	0.00	0.03	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Growth	269	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Stability	104	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W1	114	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W10	78	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W4	44	0.00	0.00	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W7	55	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W1	159	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W10	191	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W4	100	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W7	273	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Aandelenfonds	11,190	0.00	0.72	31-Oct-2012
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Amerikafonds	750	0.00	0.05	31-Oct-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP PARIBAS L1 OBAM Equity World	26,200	0.00	1.99	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas OBAM N.V.	115,000	0.02	9.26	30-Apr-2013
BNP Paribas Investment Partners SGR SpA	BNL Azioni America	13,100	0.00	0.97	30-Jun-2013
BNP Paribas Securities Corp. North America		83,090	0.02	6.62	31-Mar-2013
THEAM		5,733	0.00	0.46	31-Mar-2013
Total BNP Paribas		1,212,573	0.23	94.12	
Commerzbank					
Commerzbank AG		498,678	0.09	37.14	30-Jun-2013
Total Commerzbank		498,678	0.09	37.14	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI USA	13,992	0.00	1.07	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	1,211	0.00	0.09	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on S&P 500	29,356	0.01	2.25	31-Jan-2013
Credit Suisse Asset Management	CSIF US Index	51,279	0.01	3.89	31-May-2013
Credit Suisse Asset Management	CSIF US Index - Pension Fund	122,424	0.02	9.29	31-May-2013
Credit Suisse Asset Management	CSIF US Index Blue - Pension Fund	70,468	0.01	5.34	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	766	0.00	0.05	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	5,307	0.00	0.36	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	126	0.00	0.01	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	320	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	1,516	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	208	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	784	0.00	0.05	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	3,540	0.00	0.24	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	396	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management Funds S.p.A.	Credit Suisse Portfolio Fund (Lux) Reddito (Euro)	600	0.00	0.05	31-May-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI USA Large Cap	2,533	0.00	0.20	31-Jan-2013
Credit Suisse Securities (USA) LLC		1,126,527	0.21	89.70	31-Mar-2013
Total Credit Suisse		1,431,353	0.26	112.77	
DeKaBank					
Deka Investment GmbH	Deka BR 100	57,086	0.01	4.07	31-Dec-2012
Deka Investment GmbH	Deka BR 20	1,502	0.00	0.11	31-Dec-2012
Deka Investment GmbH	Deka BR 35	1,118	0.00	0.08	31-Dec-2012
Deka Investment GmbH	Deka BR 55	1,294	0.00	0.09	31-Dec-2012
Deka Investment GmbH	Deka BR 75	10,852	0.00	0.78	31-Dec-2012
Deka Investment GmbH	Deka BR 85	2,755	0.00	0.20	31-Dec-2012
Deka Investment GmbH	Deka Basisindustrie	2,900	0.00	0.18	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	2,400	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka MegaTrends TF	5,300	0.00	0.38	31-Dec-2012
Deka Investment GmbH	Deka Naspa Aktienfonds	3,100	0.00	0.22	31-Dec-2012
Deka Investment GmbH	Deka Spezial Fund	28,350	0.01	2.02	31-Dec-2012
Deka Investment GmbH	Deka-MegaTrends CF	2,000	0.00	0.13	30-Jun-2012
Deka Investment GmbH	Deka-bAV-Fonds	7,264	0.00	0.52	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	4,500	0.00	0.28	30-Jun-2012
Deka Investment GmbH	Dekalux U.S.A. Fonds	16,500	0.00	1.18	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DekaBank Deutsche Girozentrale		185,728	0.03	14.79	31-Mar-2013
ETFlab Investment GmbH	ETFlab MSCI USA	1,912	0.00	0.14	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI USA LC	2,015	0.00	0.15	30-Jun-2013
Total DeKaBank		336,576	0.06	25.48	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers DJ Islamic Market Titans 100 UCITS ETF	372	0.00	0.03	30-Jun-2013
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	53,147	0.01	3.96	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Inverse Daily UCITS ETF	2,443	0.00	0.18	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Leveraged Daily UCITS ETF	986	0.00	0.08	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 Shariah UCITS ETF	436	0.00	0.03	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	678	0.00	0.05	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI USA Index UCITS ETF	74,388	0.01	5.54	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Materials Index UCITS ETF	1,578	0.00	0.12	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Equal Weight UCITS ETF	98	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Inverse Daily UCITS ETF	9,030	0.00	0.67	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 UCITS ETF	32,963	0.01	2.46	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS Global Themes Equity Fund	1,448	0.00	0.11	31-Dec-2012
Deutsche Asset Management (Korea) Co., Ltd.	Deutsche DWS Premier Agri-Business Equity Class A	779	0.00	0.06	31-Mar-2013
Deutsche Asset Management Americas	DWS Capital Growth Fund	243,376	0.05	18.13	30-Jun-2013
Deutsche Asset Management Americas	DWS Core Equity Fund	250,300	0.05	18.99	31-May-2013
Deutsche Asset Management Americas	DWS Variable Series I - Capital Growth VIP	127,755	0.02	9.51	30-Jun-2013
Deutsche Asset Management Americas	Santander Premium Fund United States Equities	9,867	0.00	0.73	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	1,260	0.00	0.07	31-Dec-2011
DWS Investment S.A.	DWS Etoile	1,700	0.00	0.13	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Bank Private Wealth Management Limited		94,383	0.02	7.52	31-Mar-2013
Deutsche Bank Securities Inc.		11,218	0.00	0.89	31-Mar-2013
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Agribusiness Fund	24,532	0.00	1.30	31-Dec-2011
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Material & Energy Fund	14,319	0.00	1.03	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Blue Chip Fund (CN)	77,400	0.01	4.79	31-Jan-2012
Deutsche Investment Management Americas, Inc.	DWS S&P 500 Plus Fund (CN)	8,600	0.00	0.52	31-Mar-2012
DWS Investment GmbH	DWS Equity Dividend Fund	202,000	0.04	15.05	30-Jun-2013
DWS Investment GmbH	DWS Pwm US Dynamic Growth (USD)	6,425	0.00	0.47	30-Jun-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Exklusiv Aktien Welt	131	0.00	0.01	30-Apr-2012
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds American Equities	6,963	0.00	0.56	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Global Securities	3,854	0.00	0.31	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Santander Mix OP	484	0.00	0.04	31-Mar-2013
QS Investors, LLC	DWS Disciplined Market Neutral Fund	33,700	0.01	2.56	28-Feb-2013
Total Deutsche Bank		1,296,613	0.24	95.88	
DZ Bank					
Union Investment Group	Uni Sector - Basic Industries	10,889	0.00	0.87	31-Mar-2013
Union Investment Group	Union Investment Invest Global	1,200	0.00	0.10	31-Mar-2013
Union Investment Group	Union Investment Uni21 Jahrhundert -net-	72,000	0.01	5.74	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	95,000	0.02	7.56	31-Mar-2013
Union Investment Group	Union Investment UniGlobal -net-	7,650	0.00	0.61	31-Mar-2013
Union Investment Group	Union Investment UniNordAmerika	15,197	0.00	1.21	31-Mar-2013
Total DZ Bank		201,936	0.04	16.09	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Global Opportunities	47,299	0.01	3.59	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Materials	47,650	0.01	3.62	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management (Netherlands)	ING (L) Patrimonial First Class Multi Asset	10,591	0.00	0.85	30-Apr-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	134	0.00	0.01	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	1,760	0.00	0.14	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	9,066	0.00	0.69	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	13,880	0.00	1.06	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	5,321	0.00	0.41	31-May-2013
ING Investment Management (Netherlands)	ING Global Opportunities Basis Fonds	46,056	0.01	2.97	31-Jul-2012
ING Investment Management (Netherlands)	ING Global Opportunities Fund	44,174	0.01	3.35	31-May-2013
ING Investment Management (Netherlands)	ING Global Opportunities Fund	3,152	0.00	0.23	30-Jun-2013
ING Investment Management (Netherlands)	ING Materials Basis Fonds	21,253	0.00	1.61	31-May-2013
ING Investment Management Co. LLC	ING (L) Invest US (Enhanced Core Concentrated)	25,680	0.00	1.94	31-May-2013
ING Investment Management Co. LLC	ING (L) Invest US Growth	126,120	0.02	9.57	31-May-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	10,700	0.00	0.80	30-Jun-2013
ING Investment Management Co. LLC	ING Corporate Leaders 100 Fund	41,347	0.01	3.08	30-Jun-2013
ING Investment Management Co. LLC	ING Growth Opportunities Fund	23,670	0.00	1.76	30-Jun-2013
ING Investment Management Co. LLC	ING Index Plus LargeCap Portfolio	46,292	0.01	3.44	30-Jun-2013
ING Investment Management Co. LLC	ING Infrastructure, Industrials and Materials Fund	47,900	0.01	3.57	30-Jun-2013
ING Investment Management Co. LLC	ING Large Cap Growth Fund	19,290	0.00	1.44	30-Jun-2013
ING Investment Management Co. LLC	ING Large Cap Growth Portfolio	1,065,320	0.20	79.34	30-Jun-2013
ING Investment Management Co. LLC	ING Risk Managed Natural Resources Fund	39,233	0.01	2.92	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Large Cap Growth Index Portfolio	38,711	0.01	2.88	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Large Cap Index Portfolio	32,146	0.01	2.40	30-Jun-2013
ING Investment Management Co. LLC	ING U.S. Stock Index Portfolio	160,867	0.03	11.98	30-Jun-2013
ING Securities Investment & Trust Co., Ltd.	ING Global Climate Change Fund	9,696	0.00	0.69	31-Dec-2012
Total ING		1,937,308	0.36	144.34	
KBC					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Equity Fund America	4,165	0.00	0.32	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	11,338	0.00	0.86	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	118	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Index Fund United States	3,166	0.00	0.24	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	2,349	0.00	0.18	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	17	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund US Equity	295	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	244	0.00	0.02	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Growth By Innovation	1,246	0.00	0.10	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	2,338	0.00	0.18	31-May-2013
Kleinwort Benson Investors Dublin Ltd	KBC Institutional Agri Fund	9,712	0.00	0.78	31-Mar-2013
Total KBC		34,988	0.01	2.70	
UBS					
UBS Global Asset Management (Americas), Inc.	PL Large-Cap Growth Fund	10,791	0.00	0.87	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (LUX) Equity SICAV USA Quantitative	15,713	0.00	1.27	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	19,349	0.00	1.48	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Global Materials	31,100	0.01	2.50	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities USA Passive	40,721	0.01	3.28	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund 2 - Eq USA Passiv	74,244	0.01	5.98	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	2,200	0.00	0.18	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	422	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	200	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	2,000	0.00	0.16	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	400	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	200	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Basic Materials (USD)	2,950	0.00	0.20	30-Nov-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Inst SICAV - Alpha Choice EP	5,600	0.00	0.35	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	5,900	0.00	0.47	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	3,900	0.00	0.32	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	1,300	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	400	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	600	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	406	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	132	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	2,000	0.00	0.16	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	700	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	166	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	2,600	0.00	0.21	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	1,000	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	300	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	1,400	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	1,400	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	170	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	167	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	1,200	0.00	0.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	1,100	0.00	0.09	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	200	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA (USD)	221	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	199	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - S&P 500 (USD)	228	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI USA	56,462	0.01	4.55	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	10,599	0.00	0.85	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Securities LLC		531,444	0.10	42.32	31-Mar-2013
Total UBS		830,084	0.16	66.13	
UniCredit					
Pioneer Investment Management SGRpA	Effepilux - Azionario	1,792	0.00	0.11	30-Jun-2012
Pioneer Investment Management, Inc.	Met Investors Series Trust Pioneer Fund Portfolio	17,104	0.00	1.27	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Classic Balanced Fund	11,753	0.00	0.87	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Fund	197,490	0.04	14.71	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Fund VCT Portfolio	8,210	0.00	0.61	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Fundamental Growth Fund	166,805	0.03	12.42	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Funds - Multi Asset Real Return	1,333	0.00	0.11	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Funds - U.S. Fundamental Growth	103,124	0.02	8.31	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Funds - U.S. Pioneer Fund	62,600	0.01	5.04	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Funds U.S. Research	171,700	0.03	13.82	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Global Equity Fund	3,500	0.00	0.26	31-May-2013
Pioneer Investment Management, Inc.	Pioneer Multi-Asset Real Return Fund	35,141	0.01	2.62	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Research Fund	98,020	0.02	7.30	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - U.S. Equity Market Plus (EUR)	3,340	0.00	0.27	30-Apr-2013
Total UniCredit		881,912	0.17	67.73	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Nestlé

Table 123 provides an overview of the shares of Nestlé which were owned or managed by the selected financial institutions at the most recent filing date.

Table 123 Shareholders of Nestlé

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors (UK) Limited	Allianz Customised Global Equity Fund (CN)	20,199	0.00	0.90	30-Jun-2012
Allianz Global Investors France	Allianz Citizen Care SRI	12,500	0.00	0.68	31-Mar-2013
Allianz Global Investors France	Allianz Euroland Equity SRI	2,970	0.00	0.16	31-Mar-2013
Allianz Global Investors France	Allianz Valeurs Durables	140,000	0.00	7.63	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	AS-Aktiv Dynamik	88,698	0.00	4.44	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	AS-Aktiv Plus	19,324	0.00	0.96	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Aktien Europa	78,068	0.00	3.91	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Azioni Europa	52,872	0.00	2.65	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz EuropaVision	180,318	0.01	9.03	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Fonds Assecura 1	17,258	0.00	0.85	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Fonds Schweiz	82,744	0.00	4.15	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global High Payout Fund	10,000	0.00	0.49	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global Twin-Focus Fund	3,000	0.00	0.15	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz High Dividend Discount	18,470	0.00	1.01	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM European Equity	31,369	0.00	1.71	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Balance	3,259	0.00	0.17	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum	5,883	0.00	0.29	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum Plus	23,431	0.00	1.18	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Suisse - Flexible Fund	45,534	0.00	2.24	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermoegenskonzept Defensiv	3,153	0.00	0.17	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögensbildung Europa	93,700	0.00	4.70	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögensbildung Global	26,900	0.00	1.35	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögenskonzept Ausgewogen	7,104	0.00	0.38	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögenskonzept Dynamisch	8,338	0.00	0.45	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	Cominvest Flexible Portfolio	52,500	0.00	2.86	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	13,681	0.00	0.69	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondak Europa	125,000	0.00	5.93	31-Mar-2012
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	21,396	0.00	1.07	31-May-2013
Allianz Global Investors Taiwan Ltd.	Allianz Global Investors Target 2020 Fund	880	0.00	0.05	31-Dec-2012
Allianz Global Investors Taiwan Ltd.	Allianz Global Investors Target 2030 Fund	2,274	0.00	0.11	31-Dec-2012
Allianz Global Investors U.S. LLC	AllianzGI Global Managed Volatility Fund	2,610	0.00	0.13	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI International & Premium Strategy Fund	6,148	0.00	0.30	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI International Managed Volatility Fund	42,316	0.00	2.09	30-Jun-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Dividendo Europa FI	18,898	0.00	1.03	31-Mar-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Emergentes Empresas Europeas FI	3,770	0.00	0.20	31-Mar-2013
PIMCO (US)	PIMCO EqS Pathfinder Fund	419,515	0.01	22.86	31-Mar-2013
PIMCO (US)	PIMCO EqS Pathfinder Fund (Canada)	1,990	0.00	0.10	31-Dec-2012
PIMCO (US)	PIMCO EqS Pathfinder Portfolio	105,866	0.00	5.77	31-Mar-2013
PIMCO (US)	PIMCO GIS EqS Pathfinder	185,518	0.01	10.11	31-Mar-2013
PIMCO (US)	PIMCO GIS EqS Pathfinder Europe	18,459	0.00	1.00	31-Mar-2013
PIMCO (US)	PIMCO GIS Global Multi-Asset Fund	53,951	0.00	2.94	31-Mar-2013
PIMCO (US)	Target Moderate Allocation Fund	2,235	0.00	0.12	30-Apr-2013
RCM (UK) Ltd.	Allianz Duurzaam Wereld Fonds	24,243	0.00	1.20	30-Jun-2013
RCM (UK) Ltd.	Allianz Fonds Industria	1,146,358	0.04	57.42	31-May-2013
RCM (UK) Ltd.	Allianz Global Equity	34,997	0.00	1.91	31-Mar-2013
RCM (UK) Ltd.	Allianz Global Sustainability	14,339	0.00	0.78	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
RCM (UK) Ltd.	Allianz Interglobal	307,366	0.01	15.39	31-May-2013
RCM (UK) Ltd.	BMO Guardian Sustainable Opportunities Class	2,352	0.00	0.11	30-Jun-2013
RCM (UK) Ltd.	Brunner Investment Trust PLC	72,724	0.00	3.07	30-Nov-2011
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	13,390	0.00	0.66	30-Jun-2013
RCM (UK) Ltd.	Grassroots	47,929	0.00	2.62	31-Mar-2013
Total Allianz		3,715,797	0.12	190.18	
Argenta					
Argenta Fund	Argenta Fund Actions Distribution	8,001	0.00	0.40	31-May-2013
Argenta Fund	Argenta Fund Actions Europe	22,439	0.00	1.12	31-May-2013
Argenta Fund	Argenta Fund Actions Europeennes High Value	3,956	0.00	0.20	31-May-2013
Argenta Fund	Argenta Fund Actions Monde	2,288	0.00	0.11	31-May-2013
Total Argenta		36,684	0.00	1.83	
Belfius					
Dexia Asset Management Belgium S.A.	Cleome Index Daily Consumption	17,132	0.00	0.86	31-May-2013
Dexia Asset Management Belgium S.A.	Cleome Index Europe	311,349	0.01	15.60	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Equities B Europe	59,356	0.00	2.97	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Equities B Leading Brands	36,000	0.00	1.80	31-May-2013
Dexia Asset Management Belgium	Dexia Equities L Europe Fund	321,993	0.01	16.13	31-May-2013
Dexia Asset Management Belgium	Dexia Equities L Europe High Dividend	53,271	0.00	2.67	31-May-2013
Dexia Asset Management Belgium	Dexia Equities L Europe Innovation	104,638	0.00	5.24	31-May-2013
Dexia Asset Management Belgium	Dexia Equities L Sustainable World	48,179	0.00	2.41	31-May-2013
Dexia Asset Management Belgium	Dexia Equities L Switzerland Fund	95,552	0.00	4.79	31-May-2013
Dexia Asset Management Belgium	Dexia Fund Sustainable Equities Europe	83,109	0.00	4.16	31-May-2013
Dexia Asset Management Belgium	Dexia Quant Equities Europe	217,582	0.01	11.72	30-Apr-2013
Dexia Asset Management Belgium	Dexia Quant Equities World	7,118	0.00	0.38	30-Apr-2013
Dexia Asset Management Belgium	Dexia Sustainable - Europe	107,967	0.00	5.40	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Dexia Asset Management Belgium	Dexia Sustainable World	7,902	0.00	0.40	31-May-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	36,277	0.00	1.79	31-Dec-2012
Dexia Asset Management S.A. (France)	Dexia Long Short European Equity	4,214	0.00	0.20	31-Mar-2012
Total Belfius		1,511,639	0.05	76.53	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares Dow Jones Global Titans 50 (DE)	44,891	0.00	2.22	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares SMI (DE)	646,656	0.02	32.39	31-May-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 50 (DE)	379,260	0.01	18.75	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	1,157,904	0.04	57.24	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Food & Beverage (DE)	774,306	0.02	38.28	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Large 200 (DE)	15,255	0.00	0.75	30-Jun-2013
Total BlackRock Germany		3,018,272	0.09	149.62	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	11,241	0.00	0.61	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	18,672	0.00	1.02	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	22,028	0.00	1.20	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	24,293	0.00	1.33	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	25,644	0.00	1.39	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	18,019	0.00	0.98	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	13,782	0.00	0.75	31-Mar-2013
Bishop Street Capital Management Corp		2,965	0.00	0.15	30-Jun-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Consumer Goods	95,770	0.00	5.05	28-Feb-2013
BNP Paribas Asset Management, Inc.	Transamerica BNP Paribas Large Cap Growth VP	60,567	0.00	3.31	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	19,168	0.00	1.05	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Développement Humain	157,087	0.00	7.52	31-Oct-2012
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	569	0.00	0.03	28-Feb-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners (France)	BNP Paribas L1 Equity Europe Consumer Goods	35,308	0.00	1.90	30-Apr-2013
BNP Paribas Investment Partners (France)	BNP Paribas Premium Global Dividend Fund	52,636	0.00	2.87	31-Mar-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	91,096	0.00	4.50	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity Switzerland	99,234	0.00	5.25	31-Jan-2013
BNP Paribas Investment Partners (France)	Parworld Track Continental Europe	90,235	0.00	4.91	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track Europe	108,175	0.00	5.89	31-Mar-2013
BNP Paribas Investment Partners Belgium S.A.	Generalpart	30,561	0.00	1.53	31-May-2013
BNP Paribas Investment Partners España SA SGIIC	Aledo Inversiones SICAV SA	278	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Alfa Inversiones SICAV SA	300	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Alkeh 2000 SICAV SA	3,086	0.00	0.17	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Antalya SICAV SA	797	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ayedo de Inversiones SICAV SA	350	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Diversified FI	2,672	0.00	0.14	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Global Conservative FI	1,425	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Mixto Moderado FI	999	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Seleccion FI	1,329	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Base Rioja 2 SICAV SA	235	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	CMA Global Investments SICAV SA	1,802	0.00	0.10	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Costa Rica Inversiones SICAV SA	1,585	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Esjapiol SICAV SA	313	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Gonrapa Inversiones SICAV SA	617	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Gripa SICAV SA	345	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Hedge Inversiones SICAV SA	485	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Hubble Invest SICAV SA	413	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Icles Investments SICAV SA	409	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Inversiones Diema SICAV SA	345	0.00	0.02	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners España SA SGIIC	Inversiones Maryglo SICAV SA	456	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Inversiones Realtta SICAV SA	1,198	0.00	0.07	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Inversiones Sanfe SICAV SA	300	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Iradier Inversiones SICAV SA	469	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ivernessis Inversiones SICAV SA	120	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Jarba 2000 SICAV SA	4,100	0.00	0.20	31-Dec-2012
BNP Paribas Investment Partners España SA SGIIC	Jenkins Inversiones SICAV S.A.	1,497	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Manhattan CMB Global SICAV SA	1,744	0.00	0.10	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Mirriax SICAV SA	746	0.00	0.04	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Mizuno SICAV SA	804	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Outlook Inversiones SICAV SA	1,999	0.00	0.11	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Overall Inversiones SICAV SA	331	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Prestige Inversiones SICAV SA	382	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Prime Rate Inversiones SICAV SA	273	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Sasabe Inversiones SICAV	2,999	0.00	0.17	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Snoopy Inversiones SICAV SA	250	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Speculum Global SA SICAV	3,410	0.00	0.19	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Split Inversiones SICAV SA	1,722	0.00	0.09	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Timing Inversiones SICAV SA	446	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Trigal Inversiones SICAV SA	581	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Vasanva SA SICAV	3,282	0.00	0.18	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Watt Inversiones Mobiliarias SICAV SA	2,303	0.00	0.13	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ziorvel SICAV SA	384	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas All In Fund	24,770	0.00	1.35	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Europe Dividende	158,855	0.00	8.66	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas European High Dividend Growth Stock	18,400	0.00	0.97	07-Jan-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Netherlands N.V.	Mother Fund				
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Global High Income Equity Fund	298,669	0.01	14.69	31-Dec-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Equity High Dividend World	8,474	0.00	0.45	31-Jan-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2018	296	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2020	610	0.00	0.03	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2022	464	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2025	1,171	0.00	0.06	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2028	470	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2030	1,168	0.00	0.06	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2032	466	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2035	1,100	0.00	0.06	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2038	200	0.00	0.01	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2040	263	0.00	0.02	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 2	603	0.00	0.03	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 3	7,526	0.00	0.41	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 4	14,676	0.00	0.79	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 5	6,624	0.00	0.35	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 6	2,502	0.00	0.14	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	IA Clarington Global Dividend Fund	23,717	0.00	1.29	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	Parvest Equity High Dividend Europe	561,011	0.02	28.10	31-May-2013
BNP Paribas Investment Partners SGR SpA	BNL Azioni Europa Dividendo	72,803	0.00	3.96	31-Mar-2013
BNP Paribas Luxembourg	Luxumbrella - Equity Premium EUR	6,060	0.00	0.28	30-Apr-2012
Shinhan BNP Paribas Asset Management Co., Ltd.	SH BNPP Tops Global SRI Equity A1	352	0.00	0.02	31-Mar-2013
Total BNP Paribas		2,235,881	0.07	115.63	
Credit Suisse					
Bank Vontobel AG (Private Banking)	Credit Suisse PB Active Portfolio DE II	11,290	0.00	0.56	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Bank Vontobel AG (Private Banking)	Credit Suisse PB Active Portfolio DE III	14,325	0.00	0.71	30-Jun-2013
Credit Suisse (Deutschland) AG	Credit Suisse MACS European Dividend Value	30,000	0.00	1.48	30-Jun-2013
Credit Suisse (Deutschland) AG	Credit Suisse Vario MACS II	19,621	0.00	0.97	30-Jun-2013
Credit Suisse (Deutschland) AG	Credit Suisse Vario MACS III	9,180	0.00	0.45	30-Jun-2013
Credit Suisse (Deutschland) AG	Credit Suisse Vario Stocks & Bonds Plus	12,014	0.00	0.52	31-Dec-2011
Credit Suisse Asset Management	CS EF (CH) Swiss Dividend Plus	329,000	0.01	16.48	31-May-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	30,128	0.00	1.39	31-Jul-2012
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	5,966	0.00	0.28	31-Jul-2012
Credit Suisse Asset Management	CS Fd (Lux) Relative Return Engineered (SFR)	2,321	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	CS SICAV One (Lux) European Equity Dividend Plus	228,354	0.01	11.44	31-May-2013
Credit Suisse Asset Management	CS Select Fund (CH) Swiss Equities 130/30	115,000	0.00	6.09	31-Jan-2013
Credit Suisse Asset Management	CS Solutions (Lux) Megatrends B USD	26,813	0.00	1.34	31-May-2013
Credit Suisse Asset Management	CSIF Switzerland Large Cap Index	4,266,398	0.13	213.69	31-May-2013
Credit Suisse Asset Management	CSIF Switzerland Total Market Index Blue	14,899,979	0.46	746.31	31-May-2013
Credit Suisse Asset Management	CSIMF Equity Switzerland	1,901,000	0.06	95.22	31-May-2013
Credit Suisse Asset Management	CSIMF Fund Selection Equity Switzerland	200,000	0.01	9.51	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse ETF (CH) on SLI	766,325	0.02	38.38	31-May-2013
Credit Suisse Asset Management	Credit Suisse ETF (CH) on SMI	11,187,392	0.35	560.35	31-May-2013
Credit Suisse Asset Management	Credit Suisse Equity Fund (CH) Swiss Blue Chips	1,563,000	0.05	78.29	31-May-2013
Credit Suisse Asset Management	Credit Suisse Equity Fund (CH) Swissac	845,000	0.03	42.33	31-May-2013
Credit Suisse Asset Management	Credit Suisse Fd (Lux) Total Return Engineered Euro	163	0.00	0.01	31-Mar-2012
Credit Suisse Asset Management	Credit Suisse Fund (Lux) Global Responsible Equities	33,438	0.00	1.67	31-May-2013
Credit Suisse Asset Management	Credit Suisse Nova (Lux) Mixed Portfolio Premium 1	11,000	0.00	0.54	30-Nov-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (CH) Privilege	80,000	0.00	4.01	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	1,565	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	606,879	0.02	28.87	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	4,832	0.00	0.23	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	2,060	0.00	0.10	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	185,190	0.01	8.81	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	5,754	0.00	0.27	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	1,983	0.00	0.10	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	339,909	0.01	16.17	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	4,739	0.00	0.23	30-Sep-2012
Credit Suisse Asset Management	NAB Aktien Schweiz	411,600	0.01	20.62	31-May-2013
Credit Suisse Asset Management KAG mbH	CS Portfolio Plus	9,201	0.00	0.45	30-Jun-2013
Credit Suisse Asset Management KAG mbH	Credit Suisse MACS Global Equity	7,600	0.00	0.38	30-Jun-2013
Credit Suisse Private Banking (Switzerland)	CL (Gue) Global Value Fund	6,000	0.00	0.26	31-Jan-2012
Credit Suisse Private Banking (Switzerland)	Clariden Leu (CH) World Conservative Fund (CHF)	18,500	0.00	0.93	31-May-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity Europe (CN)	11,055	0.00	0.51	30-Apr-2012
Crédit Suisse Gestión S.G.I.I.C., S.A.	Almu Valores SICAV SA	1,799	0.00	0.10	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Anfe Invest 2017 SICAV SA	1,978	0.00	0.08	31-Dec-2011
Crédit Suisse Gestión S.G.I.I.C., S.A.	Branch de Inversiones SICAV SA	700	0.00	0.04	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Global Value Opportunities FI	2,998	0.00	0.17	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Fincapital SICAV SA	1,299	0.00	0.07	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Igelpa Inversiones Financieras SICAV SA	800	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inpisa Dos SICAV SA	5,997	0.00	0.32	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Maivaren SICAV SA	2,499	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Millenium Invest 2000 SICAV SA	1,899	0.00	0.11	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	RFMI Multigestion FI	2,767	0.00	0.15	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Rodymar 344 Invest SICAV SA	1,349	0.00	0.08	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	SJJ Valores Corolypso SICAV SA	2,599	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Santa Croya de Inversiones SICAV SA	490	0.00	0.03	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Crédit Suisse Gestión S.G.I.I.C., S.A.	Sapphire Inversiones SICAV SA	2,499	0.00	0.14	31-Mar-2013
Total Credit Suisse		38,234,247	1.18	1,911.76	
DeKaBank					
Deka Investment GmbH					
Deka Investment GmbH	Deka Arideka Fonds	2,050,000	0.06	100.87	31-Dec-2012
Deka Investment GmbH	Deka BR 100	162,605	0.01	8.00	31-Dec-2012
Deka Investment GmbH	Deka BR 20	4,403	0.00	0.22	31-Dec-2012
Deka Investment GmbH	Deka BR 35	3,278	0.00	0.16	31-Dec-2012
Deka Investment GmbH	Deka BR 55	4,285	0.00	0.21	31-Dec-2012
Deka Investment GmbH	Deka BR 75	32,077	0.00	1.58	31-Dec-2012
Deka Investment GmbH	Deka BR 85	9,085	0.00	0.44	31-Dec-2012
Deka Investment GmbH	Deka Europavalue	83,166	0.00	4.09	31-Dec-2012
Deka Investment GmbH	Deka GlobalChampions	52,788	0.00	2.60	31-Dec-2012
Deka Investment GmbH	Deka Institutionell Aktien Europa	16,000	0.00	0.78	31-Dec-2012
Deka Investment GmbH	Deka Kolin-Aktienfonds	12,900	0.00	0.63	31-Dec-2012
Deka Investment GmbH	Deka MegaTrends TF	12,400	0.00	0.61	31-Dec-2012
Deka Investment GmbH	Deka Naspa Aktienfonds	8,750	0.00	0.43	31-Dec-2012
Deka Investment GmbH	Deka Naspa Fonds	17,000	0.00	0.84	31-Dec-2012
Deka Investment GmbH	Deka Schweiz Fonds	55,000	0.00	2.71	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Balanced Fonds	877	0.00	0.05	31-Dec-2012
Deka Investment GmbH	Deka Spezial Fund	84,200	0.00	4.15	31-Dec-2012
Deka Investment GmbH	Deka Stiftungen Balance	17,135	0.00	0.84	31-Dec-2012
Deka Investment GmbH	Deka-DividendenStrategie CF (A)	41,800	0.00	2.06	31-Dec-2012
Deka Investment GmbH	Deka-Europa Aktien Spezial	82	0.00	0.01	31-Dec-2012
Deka Investment GmbH	Deka-EuropaGarant 80	35,092	0.00	1.73	31-Dec-2012
Deka Investment GmbH	Deka-MegaTrends CF	12,000	0.00	0.54	30-Jun-2012
Deka Investment GmbH	Deka-Nachhaltigkeit Aktien	14,000	0.00	0.69	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deka Investment GmbH	Deka-bAV-Fonds	22,552	0.00	1.11	31-Dec-2012
Deka Investment GmbH	Dekalux Europa TF	370,000	0.01	18.20	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	12,800	0.00	0.57	30-Jun-2012
ETFlab Investment GmbH	ETFlab MSCI Europe	25,646	0.00	1.27	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI Europe LC	27,905	0.00	1.38	30-Jun-2013
ETFlab Investment GmbH	ETFlab STOXX Europe 50	13,206	0.00	0.66	30-Jun-2013
Total DeKa Bank		3,201,032	0.10	157.41	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	320,379	0.01	15.84	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P Europe 350 Shariah UCITS ETF	7,997	0.00	0.39	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	4,090	0.00	0.20	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Index UCITS ETF	540,976	0.02	26.74	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pan-Euro Index UCITS ETF	28,901	0.00	1.43	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Consumer Staples Index UCITS ETF	33,943	0.00	1.68	30-Jun-2013
DB Platinum Advisors	db x-trackers SLI UCITS ETF	35,174	0.00	1.74	30-Jun-2013
DB Platinum Advisors	db x-trackers SMI Short Daily UCITS ETF	30,704	0.00	1.52	30-Jun-2013
DB Platinum Advisors	db x-trackers SMI UCITS ETF	1,618,980	0.05	80.03	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 Food & Beverage UCITS ETF	436,286	0.01	21.57	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	215,992	0.01	10.82	31-May-2013
DB Platinum Advisors	db x-trackers Stoxx Europe Christian UCITS ETF	2,769	0.00	0.14	30-Jun-2013
Deutsche Asset Management (Japan) Ltd.	Deutsche Eurostar Open	14,000	0.00	0.60	29-May-2012
Deutsche Asset Management (Korea) Co., Ltd.	Deutsche DWS Premier Europe Equity Class A	3,126	0.00	0.17	31-Mar-2013
Deutsche Asset Management (Schweiz)	DWS (CH) Swiss Equity Plus	531,600	0.02	26.62	31-May-2013
Deutsche Asset Management Americas	DWS Core Equity Fund	103,800	0.00	5.19	31-May-2013
Deutsche Asset Management Americas	DWS Global Growth Fund	80,000	0.00	4.01	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Asset Management Americas	DWS Global Income Builder Fund	144,271	0.00	7.64	31-Jan-2013
Deutsche Asset Management Americas	DWS Var. Series II-Global Growth Portfolio	8,000	0.00	0.40	31-May-2013
Deutsche Asset Management Americas	DWS Var. Series II-Global Income Builder VIP	36,300	0.00	1.73	30-Sep-2012
Deutsche Asset Management Investmentgesellschaft mbH	DEAM Fonds WOP 2	21,100	0.00	0.95	30-Jun-2012
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Deutschland Direkt 2014	55,900	0.00	2.95	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2014	142,000	0.00	7.49	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2017	2,050	0.00	0.11	31-Jan-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Invest Top Dividend Premium	20,000	0.00	1.06	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Lea Fonds	22,000	0.00	1.10	31-May-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds HAD Mitarbeiter II	5,300	0.00	0.25	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds PVZ 1	1,000	0.00	0.05	31-Jan-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	5,758	0.00	0.25	31-Dec-2011
Deutsche Asset Management Investmentgesellschaft mbH	DeAM-HAD-Mitarbeiter I	2,900	0.00	0.14	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	Degef Fonds Bayer Mitarbeiter	56,000	0.00	2.76	31-Dec-2012
Deutsche Asset Management Investmentgesellschaft mbH	Deutsche Bank Zins & Dividende - Offensiv	32,728	0.00	1.64	31-May-2013
Deutsche Asset Management Investmentgesellschaft mbH	Luxembourg Placement Fund - Bolle	18,843	0.00	1.03	31-Mar-2013
Deutsche Postbank AG		46,000	0.00	2.19	30-Sep-2012
DWS Investment GmbH	Basler-International DWS	15,000	0.00	0.75	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DB Privatmandat Comfort Balance (U)	55,100	0.00	2.76	31-May-2013
DWS Investment GmbH	DWS (CH) - Aktien Global	35,000	0.00	1.76	31-May-2013
DWS Investment GmbH	DWS (CH) - Balance Global	5,500	0.00	0.29	30-Apr-2013
DWS Investment GmbH	DWS Akkumula Fund	640,000	0.02	32.06	31-May-2013
DWS Investment GmbH	DWS Aktien Schweiz	482,000	0.01	24.14	31-May-2013
DWS Investment GmbH	DWS Austria Vermogensbildungsfonds	6,100	0.00	0.33	31-Mar-2013
DWS Investment GmbH	DWS Balance	13,950	0.00	0.70	31-May-2013
DWS Investment GmbH	DWS Concept Kaldemorgen	80,000	0.00	4.01	31-May-2013
DWS Investment GmbH	DWS E.ON Aktienfonds	16,000	0.00	0.80	31-May-2013
DWS Investment GmbH	DWS Europe Dynamic	50,000	0.00	2.50	31-May-2013
DWS Investment GmbH	DWS Eurovestafond	530,000	0.02	26.55	31-May-2013
DWS Investment GmbH	DWS Garant Top Dividende 2018	2,930	0.00	0.14	31-Aug-2012
DWS Investment GmbH	DWS Global Equity Fund	19,016	0.00	0.95	31-May-2013
DWS Investment GmbH	DWS Global Growth	160,000	0.00	8.01	31-May-2013
DWS Investment GmbH	DWS International Fund	110,000	0.00	5.51	31-May-2013
DWS Investment GmbH	DWS Invest European Equities	157,586	0.00	7.89	31-May-2013
DWS Investment GmbH	DWS Invest European Value	50,000	0.00	2.50	31-May-2013
DWS Investment GmbH	DWS Invest II ESG Equity Europe	3,700	0.00	0.20	30-Apr-2013
DWS Investment GmbH	DWS Invest II European Top Dividend	1,000	0.00	0.05	28-Feb-2013
DWS Investment GmbH	DWS Invest Responsibility	4,400	0.00	0.23	28-Feb-2013
DWS Investment GmbH	DWS Invest StepIn Akkumula	13,700	0.00	0.69	31-May-2013
DWS Investment GmbH	DWS Invest Top Dividend	737,403	0.02	38.90	28-Feb-2013
DWS Investment GmbH	DWS Lowen-Aktienfonds	11,000	0.00	0.55	31-May-2013
DWS Investment GmbH	DWS Merkur Fonds 1	45,000	0.00	2.25	31-May-2013
DWS Investment GmbH	DWS Performance Strategy	4,000	0.00	0.20	31-May-2013
DWS Investment GmbH	DWS Stiftungsfonds	35,000	0.00	1.76	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DWS Top 50 Welt Fond	855,000	0.03	42.82	31-May-2013
DWS Investment GmbH	DWS Top Dividende	3,908,903	0.12	206.17	28-Feb-2013
DWS Investment GmbH	DWS Top Europe	630,000	0.02	31.55	31-May-2013
DWS Investment GmbH	DWS Variable Series I-International VIP	20,000	0.00	1.00	31-May-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	27,230	0.00	1.36	31-May-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	1,750,000	0.05	87.65	31-May-2013
DWS Investment GmbH	DWS Vorsorge (Dynamik)	50,000	0.00	2.50	31-May-2013
DWS Investment GmbH	DWS Vorsorge AS (Flex)	21,000	0.00	1.06	31-May-2013
DWS Investment GmbH	DWS WVF Rendite & Nachhaltigkeit	50,500	0.00	2.53	31-May-2013
DWS Investment GmbH	DWS World Dividend Fund	76,726	0.00	3.84	31-May-2013
DWS Investment GmbH	DWS WvF Strategie Fonds Nr 1	92,500	0.00	4.64	31-May-2013
DWS Investment GmbH	DWS Zurich Invest Aktien Schweiz	360,000	0.01	18.03	31-May-2013
DWS Investment GmbH	DWS Zurich Invest Global	13,000	0.00	0.70	30-Apr-2013
DWS Investment GmbH	Multi Opportunities III	25,000	0.00	1.25	31-May-2013
DWS Investment GmbH	Nord Est Fund - Azionario Globale	11,480	0.00	0.57	31-Dec-2012
DWS Investment GmbH	Postbank Dynamik Vision	83,000	0.00	4.16	31-May-2013
DWS Investment GmbH	Südwestbank Vermögensmandat Aktien	20,000	0.00	1.00	31-May-2013
DWS Investment S.A.	DWS Etoile	14,631	0.00	0.73	31-May-2013
DWS Investments	DWS Europaische Aktien Typ O	53,000	0.00	2.65	31-May-2013
DWS Investments	DWS Invest Real Assets (CN)	300	0.00	0.02	30-Sep-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	AAMM de Valores SICAV S.A.	175	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Actium de Inversiones SICAV SA	1,037	0.00	0.05	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Alcama de Valores SICAV SA	270	0.00	0.02	31-Dec-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	Aldara Gestion SICAV SA	205	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Ampil de Inversiones SICAV SA	47	0.00	0.00	31-Dec-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	Antigua Compania Tastavins SICAV SA	65	0.00	0.00	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investments (Spain), S.G.I.I.C., S.A.	Aquila Valores SICAV SA	128	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Avolatil de Inversiones SICAV SA	414	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	BMS Blue Chips SICAV SA	131	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Balinco de Inversiones SICAV SA	268	0.00	0.02	31-Dec-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	Bester Zins SICAV SA	236	0.00	0.01	31-Dec-2011
DWS Investments (Spain), S.G.I.I.C., S.A.	Casayu Inversiones SICAV SA	616	0.00	0.03	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Casva de Inversiones SICAV SA	234	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Cynara de Inversiones SICAV SA	987	0.00	0.05	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	DB Institucional SICAV SA	447	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	DWS Crecimiento FI	9,027	0.00	0.49	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	DWS Europa Bolsa FI	10,730	0.00	0.51	31-Mar-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	DWS Foncreativo FI	553	0.00	0.03	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	El Dorado Inversiones SA SICAV	572	0.00	0.03	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Eregos SICAV SA	108	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Finanbela SA SICAV	249	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Global Efficiency CMA 2001 SICAV SA	486	0.00	0.03	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Hacienda de Covi SICAV SA	79	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Herederos Temprado Trias SICAV SA	780	0.00	0.05	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Inversiones Fonlana SA SICAV	84	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Inversiones Pradoviejo CIII SICAV SA	671	0.00	0.04	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Invervulcano SICAV SA	1,991	0.00	0.08	31-Dec-2011
DWS Investments (Spain), S.G.I.I.C., S.A.	Kalmia de Inversiones SICAV SA	118	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Lirauno SICAV SA	207	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Los Botejares Inversiones SICAV SA	563	0.00	0.03	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Maesma Inversiones SICAV SA	90	0.00	0.01	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Patriot Inversiones SICAV SA	534	0.00	0.03	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investments (Spain), S.G.I.I.C., S.A.	Pigoysa de Inversiones SICAV SA	800	0.00	0.04	31-Dec-2011
DWS Investments (Spain), S.G.I.I.C., S.A.	Rally Investments SICAV SA	62	0.00	0.00	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Sagial Inversiones SICAV SA	500	0.00	0.03	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Sociedad Mediterranea Asset Management SICAV SA	11,268	0.00	0.61	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Tisu Invest SICAV SA	314	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Trachelium SICAV SA	620	0.00	0.03	31-Dec-2011
DWS Investments (Spain), S.G.I.I.C., S.A.	Wolysen Inversiones SICAV SA	1,469	0.00	0.08	31-Mar-2013
FPM Frankfurt Performance Management AG	DWS Top 25 S	8,000	0.00	0.40	31-May-2013
Frankfurt-Trust Investment-Gesellschaft mbH	BHF TRUST Dividendenorientiertes Aktien Renten Pfl	19,080	0.00	1.01	28-Feb-2013
Frankfurt-Trust Investment-Gesellschaft mbH	BHF TRUST Dividendenorientiertes Value Portfolio	24,950	0.00	1.32	28-Feb-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT Europa Dynamik Fonds	150,000	0.00	7.42	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT FlexInvest Pro (P)	8,200	0.00	0.44	30-Apr-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT Global Dynamik Fonds	3,900	0.00	0.20	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT Interspezial	58,000	0.00	2.86	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Frankfurt Invest Postbank Balanced Fund	14,000	0.00	0.69	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Frankfurt Trust Global HighDividend	15,000	0.00	0.74	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Frankfurt Trust MPF Waterville	1,500	0.00	0.08	30-Apr-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Schmitz & Partner Global Defensiv	17,000	0.00	0.84	30-Jun-2013
Northern Trust Global Investments	DWS EAFE Equity Index Fund	65,683	0.00	3.29	31-May-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Strategiekonzept II	12,000	0.00	0.66	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim OP Strategieportfolio IV	25,000	0.00	1.15	29-Feb-2012
Oppenheim Kapitalanlagegesellschaft mbH	Santander Europäische Aktien OP	14,397	0.00	0.78	31-Mar-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	14,090	0.00	0.65	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	20,152	0.00	1.01	31-May-2013
Total Deutsche Bank		16,492,609	0.51	837.12	

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DZ Bank					
IPConcept (Luxemburg) S.A.	BS Best Strategies UL Fd - Trend & Value	20,000	0.00	0.99	31-Dec-2012
IPConcept (Luxemburg) S.A.	Boss Concept IPC Sicav - Boss Concept 2	993	0.00	0.05	31-Dec-2012
IPConcept (Luxemburg) S.A.	CMT - European Market Maximum Yield	10,000	0.00	0.54	30-Apr-2013
IPConcept (Luxemburg) S.A.	Generations Global Growth - Fonds I	10,650	0.00	0.51	30-Sep-2012
IPConcept (Luxemburg) S.A.	Prima - Jumbo	33,106	0.00	1.64	30-Jun-2013
IPConcept (Luxemburg) S.A.	Stuttgarter-Aktien-Fonds	64,700	0.00	3.53	31-Mar-2013
IPConcept (Luxemburg) S.A.	Stuttgarter-Dividendenfonds	28,200	0.00	1.54	31-Mar-2013
IPConcept (Luxemburg) S.A.	ZKB (LU) Sustainable World - Balanced	1,800	0.00	0.09	30-Nov-2012
IPConcept (Luxemburg) S.A.	ZKB (LU) Sustainable World - Equity	2,450	0.00	0.12	30-Nov-2012
Quoniam Asset Management GmbH	Deutsche Postbank Europafonds Aktien	48,459	0.00	2.40	30-Jun-2013
Quoniam Asset Management GmbH	UniInstitutional European MinRisk Equities	124,907	0.00	6.81	31-Mar-2013
Union Investment Group	FVB-Deutscher Aktienfonds	10,400	0.00	0.57	31-Mar-2013
Union Investment Group	LIGA-PAX Aktien Union	128,000	0.00	6.98	31-Mar-2013
Union Investment Group	UniDynamicFonds - Europa	180,000	0.01	9.81	31-Mar-2013
Union Investment Group	UniDynamicFonds - Global	63,800	0.00	3.48	31-Mar-2013
Union Investment Group	UniGlobal II	2,000	0.00	0.11	31-Mar-2013
Union Investment Group	UniMarktführer	64,200	0.00	3.50	31-Mar-2013
Union Investment Group	UniValueFonds Global	86,000	0.00	4.69	31-Mar-2013
Union Investment Group	Unidividenden ASS	1,089,600	0.03	59.39	31-Mar-2013
Union Investment Group	Unieuropa	244,200	0.01	13.31	31-Mar-2013
Union Investment Group	Union Investment GenoAS 1	32,600	0.00	1.78	31-Mar-2013
Union Investment Group	Union Investment Invest Global	32,200	0.00	1.76	31-Mar-2013
Union Investment Group	Union Investment KCD Nachhaltig Aktien	17,000	0.00	0.93	31-Mar-2013
Union Investment Group	Union Investment SUDWESTBANK-InterShare	10,500	0.00	0.57	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	2,696,371	0.08	146.97	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Union Investment Group	Union Investment UniGlobal -net-	208,310	0.01	11.35	31-Mar-2013
Union Investment Group	Union Investment Unirak	190,000	0.01	10.36	31-Mar-2013
Union Investment Group	Union investment UniEuropa -net-	190,900	0.01	10.40	31-Mar-2013
Union Investment Group	Unisector Consumer Goods	22,800	0.00	1.24	31-Mar-2013
Total DZ Bank		5,614,146	0.17	305.38	
ING					
ING Investment Management (Netherlands)	ING (L) Invest European Equity	173,699	0.01	9.35	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Invest Food & Beverages	350,261	0.01	18.87	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Invest Sustainable Equity	61,392	0.00	3.31	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Invest World	50,516	0.00	2.72	30-Apr-2013
ING Investment Management (Netherlands)	ING Daily Consumer Goods Fund	117,182	0.00	5.87	31-May-2013
ING Investment Management (Netherlands)	ING Duurzaam Aandelen Fonds	38,920	0.00	1.95	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	290	0.00	0.02	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	3,013	0.00	0.15	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	15,100	0.00	0.75	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	27,255	0.00	1.36	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	10,552	0.00	0.53	31-May-2013
ING Investment Management (Netherlands)	ING Europe Growth Fonds	19,874	0.00	0.87	31-Oct-2011
ING Investment Management (Netherlands)	ING Global Opportunities Basis Fonds	195,876	0.01	9.08	31-Jul-2012
ING Investment Management (Netherlands)	NN Aandelen Fonds	222,329	0.01	11.73	28-Feb-2013
ING Investment Management (Netherlands)	NN Europa Fonds	27,813	0.00	1.47	28-Feb-2013
ING Investment Management (Netherlands)	NN Mix Fonds	118,041	0.00	6.23	28-Feb-2013
ING Investment Management (Netherlands)	RVS Mixfonds	5,830	0.00	0.32	30-Apr-2013
ING Investment Management (Netherlands)	Star Fund	250,000	0.01	13.24	31-Jan-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	15,967	0.00	0.87	31-Mar-2013
ING Investment Management Co. LLC	ING Global Advantage and Premium Opportunity Fund	56,500	0.00	3.08	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	15,305	0.00	0.75	31-Dec-2012
ING Investment Management Co. LLC	ING International Index Portfolio	121,596	0.00	6.63	31-Mar-2013
ING Investment Management Co. LLC	ING International Value Choice Fund	4,050	0.00	0.22	31-Mar-2013
ING Investment Management Co. LLC	ING International Value Equity Fund	40,479	0.00	2.21	31-Mar-2013
ING Investment Management Co. LLC	ING International Value Fund	120,611	0.00	6.57	31-Mar-2013
ING Investment Management Co. LLC	ING International Value Portfolio	40,479	0.00	2.21	31-Mar-2013
ING Securities Investment & Trust Co., Ltd.	ING Europe High Dividend Fund	2,672	0.00	0.13	31-Dec-2012
Total ING		2,105,602	0.07	110.48	
KBC					
KBC Asset Management N.V.	Imperial Global Equity Income Pool	9,548	0.00	0.41	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund Europe	46,500	0.00	2.50	30-Apr-2013
KBC Asset Management N.V.	KBC Equity Fund Food & Personal Products	243,748	0.01	13.13	30-Apr-2013
KBC Asset Management N.V.	KBC Equity Fund Quant Europe	21,639	0.00	0.94	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund SRI Equity Institutional Shares	2,956	0.00	0.14	31-Dec-2012
KBC Asset Management N.V.	KBC Equity Fund Satellites	15,000	0.00	0.65	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund World	7,551	0.00	0.41	30-Apr-2013
KBC Asset Management N.V.	KBC Index Fund Europe	26,834	0.00	1.45	30-Apr-2013
KBC Asset Management N.V.	KBC Index Fund World	12,085	0.00	0.65	30-Apr-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Satellite Equity	75,019	0.00	4.04	30-Apr-2013
KBC Asset Management N.V.	KBC Institutional Fund European Equity	1,536	0.00	0.08	30-Apr-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	188	0.00	0.01	30-Apr-2013
KBC Asset Management N.V.	KBC Institutional Fund Global SRI Defensive 1	2,558	0.00	0.14	30-Apr-2013
KBC Asset Management N.V.	KBC Institutional Fund SRI World Equity	4,618	0.00	0.20	31-May-2012
KBC Asset Management N.V.	KBC Institutional Global	2,770	0.00	0.15	30-Apr-2013
KBC Asset Management N.V.	KBC Institutional SRI World Equity Cap	28,141	0.00	1.52	30-Apr-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund European Equity	78,692	0.00	4.24	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	Sivek Global Low Fund	652	0.00	0.03	31-Dec-2011
KBC Asset Management N.V.	Sivek Global Medium Fund	5,381	0.00	0.23	31-Dec-2011
KBC Fund Management Limited	Centea Fund World Select	2,083	0.00	0.09	31-Dec-2011
KBC Fund Management Limited	KBC Eco Fund World	1,960	0.00	0.11	30-Apr-2013
KBC Fund Management Limited	KBC Equity Fund Buyback Europe	73,241	0.00	3.94	30-Apr-2013
KBC Fund Management Limited	KBC Equity Fund Global Leaders	7,323	0.00	0.39	30-Apr-2013
KBC Fund Management Limited	KBC Equity Fund Quant Global 1	1,100	0.00	0.06	30-Apr-2013
KBC Fund Management Limited	Sivek Global High Fund	2,022	0.00	0.09	31-Dec-2011
Kleinwort Benson Investors Dublin Ltd	KBC Equity Fund High Dividend	72,879	0.00	3.93	30-Apr-2013
Total KBC		746,024	0.02	39.52	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG EuroBalance	20,447	0.00	1.12	31-Mar-2013
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG Nachhaltigkeit Fond	18,000	0.00	0.98	31-Mar-2013
Total Munich Re		38,447	0.00	2.10	
UBS					
CCR Asset Management	Arlequin	2,440	0.00	0.12	31-Dec-2012
CCR Asset Management	CCR Flex Alpha Europe	2,860	0.00	0.15	28-Feb-2013
CCR Asset Management	CCR Opportunités Monde 50	3,240	0.00	0.17	28-Feb-2013
CCR Asset Management	CCR Stratégie Actions Internationales	2,320	0.00	0.12	28-Feb-2013
CCR Asset Management	La Cotrigue	940	0.00	0.05	31-Dec-2012
CCR Asset Management	La Thebaide	1,020	0.00	0.05	31-Dec-2012
CCR Asset Management	Montroc	3,060	0.00	0.16	28-Feb-2013
CCR Asset Management	SJP Invest	2,190	0.00	0.11	31-Dec-2012
CCR Asset Management	Zoé	2,220	0.00	0.11	31-Dec-2012
UBS Gestión, S.G.I.I.C., S.A.	Alcofam SICAV SA	460	0.00	0.02	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Aucas de Inversiones SICAV SA	1,562	0.00	0.08	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Gestión, S.G.I.I.C., S.A.	Auriga Investment SICAV SA	550	0.00	0.03	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Bizcochero Inversiones SICAV SA	450	0.00	0.02	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Ditesco Cartera SICAV SA	999	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Dobra Cartera SICAV SA	999	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Emeritus Capital Sicav SA	999	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Finanzas y Patrimonio SA SICAV	1,998	0.00	0.11	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Fonmoba SICAV SA	240	0.00	0.02	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	IGVF FI	999	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Impact Inversiones SICAV SA	1,499	0.00	0.08	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Inversiones Moura SICAV SA	2,398	0.00	0.13	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Inversiones Seyal Sicav SA	420	0.00	0.02	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Kasama Investment Sicav SA	2,039	0.00	0.10	31-Dec-2012
UBS Gestión, S.G.I.I.C., S.A.	Lamisaro Sicav SA	650	0.00	0.04	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Layard Investment Sicav SA	350	0.00	0.02	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Layssis Global Investment SICAV SA	1,074	0.00	0.06	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Lotamar Inversiones SICAV SA	799	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Platino Financiera SICAV SA	4,996	0.00	0.27	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Saran Investment SICAV SA	4,996	0.00	0.27	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Tebas Investment FI	1,499	0.00	0.08	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Trubi de Inversiones SICAV SA	2,508	0.00	0.14	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	UBS Global Gestion Activa FI	2,448	0.00	0.14	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	UBS Mixto Gestion Activa FI	2,138	0.00	0.11	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Valdorba Inversiones SICAV SA	999	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Vilanova Cartera SICAV SA	1,998	0.00	0.11	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Xabek Investment SICAV SA	999	0.00	0.05	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS (LUX) Equity SICAV European Quantitative	939	0.00	0.05	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity Fund - European Growth	36,428	0.00	1.93	31-Jan-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity SICAV - European High Dividend	61,492	0.00	3.35	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity SICAV - Global Growth (USD) P-acc	4,469	0.00	0.24	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS Global (ex-U.S.) All Cap Growth Relationship Fund	9,126	0.00	0.50	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS International Equity Fund	6,548	0.00	0.35	31-Mar-2013
UBS Global Asset Management (Canada) Inc.	Ferique European Fund	72,123	0.00	3.57	30-Jun-2013
UBS Global Asset Management (Deutschland) GmbH	UBS (D) Mesina Aktienfonds	37,500	0.00	1.85	31-Dec-2012
UBS Global Asset Management (Japan) Ltd.	UBS Global Equity (Excluding Japan) Fund Mother Fund 2006	3,100	0.00	0.14	29-Feb-2012
UBS Global Asset Management (Switzerland)	BPER International Sicav - Equity Europe	16,014	0.00	0.86	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - European Equities	71,691	0.00	3.80	31-Jan-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	23,533	0.00	1.24	31-Jan-2013
UBS Global Asset Management (Switzerland)	Postfinance Fonds Suisse	451,500	0.01	24.33	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Swiss High Dividend	371,236	0.01	20.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Switzerland	2,670,429	0.08	143.86	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund UBS 100 Advanced	460,430	0.01	24.81	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Inst Equities Switzerland Enhanced	827,414	0.03	44.57	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Inst Equities Switzerland Passive All	7,949,800	0.25	428.27	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Inst Equities Switzerland Passive Large	5,239,156	0.16	282.25	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Equities Switzerland	1,659,671	0.05	89.41	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	277,358	0.01	14.94	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	2,701	0.00	0.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	1,504	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	191,075	0.01	10.30	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	2,447	0.00	0.13	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	1,605	0.00	0.08	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Swiss Opportunity	257,852	0.01	14.05	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Global Eq (Ex US)	3,943	0.00	0.22	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Key Selection European Equity	600,273	0.02	31.78	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Key Selection Global Equity	376,937	0.01	20.55	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	7,273	0.00	0.40	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	496,046	0.02	27.04	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	7,642	0.00	0.41	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	2,322	0.00	0.13	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	116,939	0.00	6.38	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	2,464	0.00	0.14	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	907	0.00	0.05	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	298,045	0.01	16.24	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	3,878	0.00	0.21	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	1,345	0.00	0.08	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	246,758	0.01	13.45	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	6,232	0.00	0.34	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	2,129	0.00	0.11	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	237,079	0.01	12.77	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	8,688	0.00	0.47	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	1,160	0.00	0.06	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	1,005	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	108,819	0.00	5.86	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	6,556	0.00	0.35	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	1,029	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS 100 Index Fund Switzerland	5,693,200	0.18	306.71	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS ETF SLI Swiss Leader Index	240,216	0.01	12.94	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS ETF SMI	2,616,965	0.08	140.98	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS Global Allocation Fund	79,427	0.00	4.33	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS Global Securities Relationship Fund	39,945	0.00	1.79	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS IS - SPI ETF (CHF)	248,936	0.01	13.41	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS Inv Funds ICVC III UBS Global Allocation Fund (UK)	54,657	0.00	2.95	30-Apr-2013
UBS Global Asset Management (Switzerland)	VVA - Aktien Schweiz	345,427	0.01	16.43	30-Sep-2012
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	57,641	0.00	2.50	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	Nationwide International Value Fund	96,365	0.00	4.76	30-Jun-2013
UBS Global Asset Management (UK) Ltd.	UBS (Canada) Global Allocation Fund	15,886	0.00	0.78	31-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	1,635	0.00	0.09	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection SICAV - Global Equities	39,699	0.00	2.16	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection SICAV 2 - European Equities Unconstr	26,376	0.00	1.39	31-Jan-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection Sicav - European Core Equities	368,122	0.01	20.07	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	30,431	0.00	1.66	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - FTSE 100 SF	437,927	0.01	19.68	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI Canada TRN Index SF	190,100	0.01	8.55	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI EMU TRN Index SF	10,945	0.00	0.49	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI Emerging Markets TRN Index SF	771,364	0.02	34.67	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI Japan TRN Index SF	7,419	0.00	0.33	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - MSCI USA TRN Index SF	45,369	0.00	2.04	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc - S&P 500 Index SF	28,898	0.00	1.30	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc-MSCI ACWI Risk Weighted TRN Index SF	7,924	0.00	0.39	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc-MSCI EMU Growth TRN Idx SF (EUR)	1,682	0.00	0.08	31-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS ETFs plc-MSCI USA Growth TRN Idx SF (USD)	217,651	0.01	10.71	31-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS European Equity Fund	25,727	0.00	1.22	30-Sep-2012
UBS Global Asset Management (UK) Ltd.	UBS Global Equity Fund	20,733	0.00	1.13	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS Global Optimal Fund	11,610	0.00	0.63	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	66,687	0.00	3.63	31-Mar-2013
UBS Hana Asset Management Company Ltd.	Hana UBS Europe Equity 1 Class A	3,027	0.00	0.17	31-Mar-2013
Total UBS		35,107,957	1.09	1873.49	
UniCredit					
Pioneer Investment Management Ltd.	Daiwa European Good Dividend Equity Mother Fund	15,971	0.00	0.80	10-May-2013
Pioneer Investment Management Ltd.	Pioneer Azionario Europa	80,131	0.00	3.70	30-Apr-2012
Pioneer Investment Management Ltd.	Pioneer Azionario Valore Europa a Distribuzione	58,050	0.00	3.13	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - European Equity Value	68,536	0.00	3.69	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - European Research	628,446	0.02	33.85	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Austria Select Europe Stock	72,587	0.00	3.91	30-Apr-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	40,443	0.00	1.82	30-Jun-2012
Pioneer Investment Management, Inc.	Pioneer Disciplined Growth Fund	64,200	0.00	3.21	31-May-2013
Pioneer Investment Management, Inc.	Pioneer Funds - Multi Asset Real Return	5,285	0.00	0.29	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Global Equity Fund	15,000	0.00	0.81	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer International Value Fund	70,200	0.00	3.78	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Multi-Asset Real Return Fund	61,019	0.00	3.02	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer Rustovy Fond Nadaci	5,500	0.00	0.28	31-May-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - European Equity Market Plus (EUR)	136,949	0.00	7.38	30-Apr-2013
Pioneer Investments Austria GmbH	Pioneer Akciovy Fond	12,000	0.00	0.60	30-Jun-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Swiss Stock	34,500	0.00	1.86	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordstrategie	2,500	0.00	0.12	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv VPV Spezial	9,354	0.00	0.51	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investment First Nachhaltig Balance	13,463	0.00	0.72	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investment First Nachhaltig Wachstum	24,300	0.00	1.31	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments Alpha Centauri Aktien	68,515	0.00	3.69	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Investments Substanzwerte	20,000	0.00	1.08	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer N-Fonds Nr. 1 Europa	5,667	0.00	0.31	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermoegensportfolio KI 100 PI 1	12,259	0.00	0.66	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermoegensportfolio KI 70 PI 4	21,222	0.00	1.15	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermögensportfolio Klassik 50 PI	19,494	0.00	1.05	30-Apr-2013
Total UniCredit		1,565,591	0.05	82.72	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 124 provides an overview of the shares of Nestlé's separately listed subsidiary Nestlé India which were owned or managed by the selected financial institutions at the most recent filing date.

Table 124 Shareholders of Nestlé India

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas					
BNP Paribas Asset Management India Pvt. Ltd.	BNP Paribas Dividend Yield Fund	700	0.00	0.05	30-Jun-2013
BNP Paribas Asset Management India Pvt. Ltd.	BNP Paribas Equity Fund	1,300	0.00	0.08	30-Jun-2013
BNP Paribas Asset Management India Pvt. Ltd.	BNP Paribas L1 Equity India	22,500	0.02	1.48	28-Feb-2013
BNP Paribas Asset Management, Inc.	Parvest Equity BRIC	14,950	0.02	0.95	31-Mar-2013
Total BNP Paribas		39,450	0.04	2.56	
Deutsche Bank					
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	29	0.00	0.00	30-Jun-2013
Deutsche Asset Management (India) Private Ltd.	DWS Investment Opportunity Fund	1,500	0.00	0.09	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Asset Management (India) Private Ltd.	DWS Tax Saving Fund	1,300	0.00	0.08	30-Jun-2013
DWS Investment GmbH	DWS Invest Emerging Markets Top Dividend Plus	18,000	0.02	1.27	31-May-2013
Total Deutsche Bank		20,829	0.02	1.45	
DZ Bank					
Union Investment Group	UniAsiaPacific	80,000	0.08	5.10	31-Mar-2013
Union Investment Group	UniEM Fernost	15,000	0.02	0.96	31-Mar-2013
Total DZ Bank		95,000	0.10	6.05	
ING					
ING Securities Investment & Trust Co., Ltd.	ING India Star Fund	3,359	0.00	0.20	31-Dec-2011
Total ING		3,359	0.00	0.20	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 125 provides an overview of the shares of Nestlé's separately listed subsidiary Nestlé Nigeria which were owned or managed by the selected financial institutions at the most recent filing date.

Table 125 Shareholders of Nestlé Nigeria

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Bank					
DB Platinum Advisors	db x-trackers MSCI Africa TOP 50 Index UCITS ETF	110,647	0.01	0.49	30-Jun-2013
DWS Investment GmbH	DWS Global Growth	1,100,000	0.14	5.25	31-May-2013
DWS Investment GmbH	DWS Invest Africa	600,000	0.08	2.86	31-May-2013
DWS Investment GmbH	DWS Invest Emerging Markets Satellites	75,000	0.01	0.35	31-May-2013
Total Deutsche Bank		1,885,647	0.24	8.96	
DZ Bank					
IPConcept (Luxemburg) S.A.	Silk Road Frontiers Fund	40,100	0.01	0.18	30-Jun-2013

Total DZ Bank	40,100	0.01	0.18
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Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 126 provides an overview of the shares of Nestlé's separately listed subsidiary Nestlé Pakistan which were owned or managed by the selected financial institutions at the most recent filing date.

Table 126 Shareholders of Nestlé Pakistan

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DZ Bank					
Union Investment Group	UniEM Fernost	2,873	0.01	0.13	31-Mar-2013
Total DZ Bank		2,873	0.01	0.13	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Newmont Mining

Table 127 provides an overview of the shares of Newmont Mining which were owned or managed by the selected financial institutions at the most recent filing date.

Table 127 Shareholders of Newmont Mining

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Italia SGR S.p.A.		7,819	0.00	0.25	31-Mar-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	815,435	0.17	19.92	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	920,015	0.19	37.85	31-Oct-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Balance	3,725	0.00	0.10	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum	6,468	0.00	0.17	31-May-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum Plus	24,820	0.01	0.64	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	13,227	0.00	0.56	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	1,161	0.00	0.05	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	5,190	0.00	0.14	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	8,070	0.00	0.21	31-May-2013
Allianz Global Investors Taiwan Ltd	.	27,000	0.01	0.85	31-Mar-2013
Allianz Global Investors U.S. LLC	AllianzGI U.S. Equity Hedged Fund	155	0.00	0.00	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	27,675	0.01	0.97	31-Dec-2012
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	1,130	0.00	0.02	30-Jun-2013
RCM Capital Management LLC		1,003,933	0.20	31.70	31-Mar-2013
Total Allianz		2,865,823	0.58	93.42	
Argenta					
Petercam S.A.	Argenta Pensioenspaarfonds	55,000	0.01	1.42	31-May-2013
Total Argenta		55,000	0.01	1.42	
Belfius					
Dexia Asset Management Belgium S.A.	Cleome Index U.S.A.	6,492	0.00	0.14	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Sustainable World	0	0.00	0.00	31-Jul-2012
Dexia Asset Management Belgium S.A.	Dexia Sustainable North America	0	0.00	0.00	31-Jul-2012
Dexia Asset Management Belgium S.A.	Dexia Sustainable World	0	0.00	0.00	31-Jul-2012
Total Belfius		6,492	0.00	0.14	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	2,496	0.00	0.08	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	3,948	0.00	0.13	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	4,748	0.00	0.15	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	5,393	0.00	0.17	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	5,693	0.00	0.18	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	4,000	0.00	0.13	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	3,060	0.00	0.10	31-Mar-2013
BNP Paribas Asset Management, Inc.	BNP Paribas Actions Monde	28,514	0.01	0.90	31-Mar-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Materials	73,091	0.01	2.22	28-Feb-2013
BNP Paribas Investment Partners (France)	EasyETF DJ Islamic Market Titans 100	1,543	0.00	0.04	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Russell 1000	2,985	0.00	0.07	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF S&P 500	3,196	0.00	0.08	30-Jun-2013
BNP Paribas Investment Partners (France)	Parworld Track North America	9,641	0.00	0.31	31-Jan-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	432	0.00	0.02	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	181	0.00	0.01	31-Jan-2012
BNP Paribas Securities Corp. North America		165,721	0.03	5.23	31-Mar-2013
Shinhan BNP Paribas Asset Management Co., Ltd.	SH BNPP Gold Equity 1 Class A	40,916	0.01	1.29	31-Mar-2013
THEAM		112,373	0.02	3.55	31-Mar-2013
Total BNP Paribas		467,931	0.09	14.64	
Commerzbank					
Commerzbank AG		2,508,234	0.51	56.63	30-Jun-2013
Total Commerzbank		2,508,234	0.51	56.63	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI USA	12,824	0.00	0.41	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	1,310	0.00	0.05	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on S&P 500	27,719	0.01	0.90	31-Jan-2013
Credit Suisse Asset Management	CSIF US Index	47,184	0.01	1.22	31-May-2013
Credit Suisse Asset Management	CSIF US Index - Pension Fund	114,772	0.02	2.96	31-May-2013
Credit Suisse Asset Management	CSIF US Index Blue - Pension Fund	65,533	0.01	1.70	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	704	0.00	0.03	30-Sep-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	4,878	0.00	0.20	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	116	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	294	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	1,394	0.00	0.06	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	191	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	721	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	3,254	0.00	0.14	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	364	0.00	0.02	30-Sep-2012
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI USA Large Cap	2,349	0.00	0.08	31-Jan-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity North America (CN)	904	0.00	0.03	30-Apr-2012
Credit Suisse Securities (USA) LLC		3,231,753	0.66	102.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Azilana Valores SICAV SA	2,164	0.00	0.07	31-Mar-2013
Total Credit Suisse		3,518,428	0.71	109.96	
DeKaBank					
Deka Investment GmbH	Deka BR 100	20,000	0.00	0.70	31-Dec-2012
Deka Investment GmbH	Deka BR 20	530	0.00	0.02	31-Dec-2012
Deka Investment GmbH	Deka BR 35	230	0.00	0.01	31-Dec-2012
Deka Investment GmbH	Deka BR 55	450	0.00	0.02	31-Dec-2012
Deka Investment GmbH	Deka BR 75	3,720	0.00	0.13	31-Dec-2012
Deka Investment GmbH	Deka BR 85	798	0.00	0.03	31-Dec-2012
Deka Investment GmbH	Deka MegaTrends TF	3,900	0.00	0.14	31-Dec-2012
Deka Investment GmbH	Deka Naspa Aktienfonds	1,000	0.00	0.04	31-Dec-2012
Deka Investment GmbH	Deka Spezial Fund	10,000	0.00	0.35	31-Dec-2012
Deka Investment GmbH	Deka-bAV-Fonds	2,368	0.00	0.08	31-Dec-2012
DekaBank Deutsche Girozentrale		423,402	0.09	13.37	31-Mar-2013
ETFlab Investment GmbH	ETFlab MSCI USA	1,775	0.00	0.04	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ETFlab Investment GmbH	ETFlab MSCI USA LC	1,871	0.00	0.05	30-Jun-2013
Total DeKa Bank		470,044	0.10	14.96	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers DJ Islamic Market Titans 100 UCITS ETF	346	0.00	0.01	30-Jun-2013
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	49,350	0.01	1.12	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Inverse Daily UCITS ETF	2,275	0.00	0.05	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Leveraged Daily UCITS ETF	918	0.00	0.02	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 Shariah UCITS ETF	406	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	622	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI USA Index UCITS ETF	69,074	0.01	1.56	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Materials Index UCITS ETF	1,466	0.00	0.03	30-Jun-2013
DB Platinum Advisors	db x-trackers Russell Midcap UCITS ETF	6,663	0.00	0.15	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Equal Weight UCITS ETF	312	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Inverse Daily UCITS ETF	8,411	0.00	0.19	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 UCITS ETF	30,701	0.01	0.69	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2014	95,500	0.02	2.90	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2017	5,270	0.00	0.17	31-Jan-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Invest Top Dividend Premium	13,500	0.00	0.41	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Hw Fonds	2,600	0.00	0.10	30-Jun-2012
Deutsche Asset Management Investmentgesellschaft mbH	Deutsche Bank Zins & Dividende - Offensiv	7,266	0.00	0.17	30-Jun-2013
Deutsche Bank Private Wealth Management Limited		10,289	0.00	0.32	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Bank Securities Inc.		1,634,521	0.33	51.61	31-Mar-2013
Deutsche Investment Management Americas, Inc.	DWS Global Metals & Mining Typ O	14,975	0.00	0.34	30-Jun-2013
Deutsche Investment Management Americas, Inc.	DWS Gold & Precious Metals Fund	320,835	0.07	7.24	30-Jun-2013
Deutsche Investment Management Americas, Inc.	DWS Invest Gold and Precious Metals Equities	82,837	0.02	2.14	31-May-2013
Deutsche Investment Management Americas Inc	DWS S&P 500 Plus Fund (CN)	7,900	0.00	0.31	31-Mar-2012
Deutsche Postbank AG		8,500	0.00	0.36	30-Sep-2012
DWS Investment GmbH	DWS (CH) - Balance Global	4,200	0.00	0.10	30-Jun-2013
DWS Investment GmbH	DWS E.ON Aktienfonds	21,000	0.00	0.47	30-Jun-2013
DWS Investment GmbH	DWS Garant Top Dividende 2018	2,417	0.00	0.09	31-Aug-2012
DWS Investment GmbH	DWS Invest Global Equities	81,000	0.02	2.10	31-May-2013
DWS Investment GmbH	DWS Invest II US Top Dividend	1,020	0.00	0.03	28-Feb-2013
DWS Investment GmbH	DWS Invest Responsibility	8,400	0.00	0.26	28-Feb-2013
DWS Investment GmbH	DWS Invest Top Dividend	442,409	0.09	13.43	28-Feb-2013
DWS Investment GmbH	DWS Lowen-Aktienfonds	15,000	0.00	0.34	30-Jun-2013
DWS Investment GmbH	DWS Top 50 Welt Fond	1,000,000	0.20	22.58	30-Jun-2013
DWS Investment GmbH	DWS Top Dividende	2,311,243	0.47	70.19	28-Feb-2013
DWS Investment GmbH	DWS US Equities Typ O	3,480	0.00	0.08	30-Jun-2013
DWS Investment GmbH	DWS Vola Strategy	7,500	0.00	0.17	30-Jun-2013
DWS Investment S.A.	DWS Etoile	24,300	0.00	0.63	31-May-2013
DWS Investments	DWS Invest Real Assets (CN)	600	0.00	0.02	30-Sep-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	Bargeld SICAV SA	301	0.00	0.01	31-Mar-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	11,855	0.00	0.42	30-Apr-2012
Total Deutsche Bank		6,309,262	1.28	180.84	
DZ Bank					
IPConcept (Luxemburg) S.A.	Generations Global Growth - Fonds I	9,600	0.00	0.41	30-Sep-2012
IPConcept (Luxemburg) S.A.	Prima - Jumbo	19,800	0.00	0.44	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total DZ Bank		29,400	0.01	0.85	
ING					
ING Investment Management (Netherlands)	ING (L) Invest US High Dividend	1,100,000	0.22	28.43	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	22	0.00	0.00	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	302	0.00	0.01	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	1,605	0.00	0.05	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	2,431	0.00	0.06	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	906	0.00	0.02	31-May-2013
ING Investment Management (Netherlands)	Star Fund	350,000	0.07	11.34	31-Jan-2013
ING Investment Management Co. LLC	ING Global Natural Resources Fund	22,800	0.00	0.51	30-Jun-2013
ING Investment Management Co. LLC	ING Global Resources Portfolio	186,700	0.04	4.21	30-Jun-2013
ING Investment Management Co. LLC	ING Risk Managed Natural Resources Fund	49,455	0.01	1.12	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Mid Cap Index Portfolio	203,403	0.04	4.59	30-Jun-2013
ING Investment Management Co. LLC	ING U.S. Stock Index Portfolio	149,135	0.03	3.37	30-Jun-2013
Total ING		2,066,759	0.42	53.70	
KBC					
KBC Asset Management N.V.	KBC Equity Fund America	21,187	0.00	0.55	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	562	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	561	0.00	0.02	31-May-2013
KBC Asset Management N.V.	KBC Index Fund United States	3,008	0.00	0.08	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	1,958	0.00	0.05	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	97	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund US Equity	1,513	0.00	0.04	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	1,389	0.00	0.04	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	8,980	0.00	0.23	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Quant Global 1	97	0.00	0.00	31-May-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total KBC		39,352	0.01	1.02	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG Nachhaltigkeit Fond	7,000	0.00	0.22	31-Mar-2013
Total Munich Re		7,000	0.00	0.22	
PKO Bank Polski					
PKO Towarzystwo Funduszy Inwestycyjnych S.A.	PKO Akcji Nowa Europa FIO	13,800	0.00	0.51	30-Jun-2012
Total PKO Bank Polski		13,800	0.00	0.51	
UBS					
UBS Global Asset Management (Americas), Inc.	UBS (CH) Equity Fund - USA Multi Strategy	6,450	0.00	0.16	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity Fund - USA Multi Strategy	51,900	0.01	1.27	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	62,655	0.01	2.03	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Global Materials	17,200	0.00	0.42	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Gold	157,700	0.03	3.85	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities USA Passive	37,001	0.01	0.90	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund 2 - Eq USA Passiv	69,332	0.01	1.70	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	2,000	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	400	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	191	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	2,000	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	400	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	300	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Basic Materials (USD)	1,700	0.00	0.06	30-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Inst SICAV - Alpha Choice EP	10,200	0.00	0.37	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	5,000	0.00	0.12	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	3,400	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	1,100	0.00	0.03	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	319	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	500	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	382	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	133	0.00	0.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	2,000	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	500	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	154	0.00	0.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	2,300	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	800	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	400	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	1,300	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	1,600	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	137	0.00	0.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	156	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	1,100	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	1,200	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	200	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	Skandia Multimanager Trust UK Securities Fund	33,459	0.01	1.30	31-Mar-2012
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA (USD)	204	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA Value (USD)	143	0.00	0.00	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	394	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - S&P 500 (USD)	211	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI USA	51,788	0.01	1.27	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	9,239	0.00	0.23	30-Apr-2013
UBS O'Connor LLC		201,114	0.04	6.35	31-Mar-2013
UBS Securities LLC		2,624,782	0.53	82.88	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total UBS		3,363,444	0.68	103.47	
UniCredit					
Pioneer Investment Management Ltd.	Pioneer CIM - Global Equity	53,016	0.01	1.30	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Gold and Mining	25,411	0.01	0.62	30-Apr-2013
Pioneer Investment Management SGRpA	Bancoposta Azionario Internazionale	65,948	0.01	1.61	30-Apr-2013
Pioneer Investment Management SGRpA	Bancoposta Extra	7,307	0.00	0.18	30-Apr-2013
Pioneer Investment Management SGRpA	Bancoposta Investimento Protetto 90	5,649	0.00	0.14	30-Apr-2013
Pioneer Investment Management SGRpA	Bancoposta Mix 1	25,155	0.01	0.76	28-Feb-2013
Pioneer Investment Management SGRpA	Bancoposta Mix 2	19,380	0.00	0.59	28-Feb-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	1,565	0.00	0.06	30-Jun-2012
Pioneer Investment Management SGRpA	Pioneer Funds - Absolute Return Multi-Strategy	4,774	0.00	0.11	30-Apr-2013
Pioneer Investment Management SGRpA	Pioneer Funds - Absolute Return Multi-Strategy A EUR ND	4,516	0.00	0.17	30-Jun-2012
Pioneer Investment Management SGRpA	Pioneer Obbligazionario Piu a Distribuzione	141,220	0.03	3.45	30-Apr-2013
Pioneer Investment Management SGRpA	Pioneer S.F. - Optimiser (EUR)	7,655	0.00	0.27	31-Dec-2012
Pioneer Investment Management SGRpA	Pioneer Target Controllo	12,549	0.00	0.38	28-Feb-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - U.S. Equity Market Plus (EUR)	3,578	0.00	0.09	30-Apr-2013
Pioneer Investments Austria GmbH	Pioneer Akciový Fond	16,700	0.00	0.38	30-Jun-2013
Pioneer Investments Austria GmbH	Pioneer Funds Austria Gold Stock	105,000	0.02	3.72	30-Nov-2012
Pioneer Investments Austria GmbH	Pioneer Funds Austria-Plus Unternehmensvorsorgefonds	6,000	0.00	0.16	31-May-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordglobal	4,303	0.00	0.11	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordtrend	3,352	0.00	0.08	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Funds - Global Diversified Equity	34,249	0.01	1.08	31-Mar-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Funds - Global Select	201,297	0.04	6.35	31-Mar-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv Aktien Rohstoffe	172,741	0.04	4.22	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv Top World	28,287	0.01	0.69	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total UniCredit		949,652	0.19	26.53	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Rheinmetall

Table 128 provides an overview of the shares of Rheinmetall which were owned or managed by the selected financial institutions at the most recent filing date.

Table 128 Shareholders of Rheinmetall

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Adifonds	11,965	0.03	0.45	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Best Styles Euroland	19,088	0.05	0.68	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Euro Protect Dynamic Plus	7,689	0.02	0.27	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	15,375	0.04	0.54	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	1,318	0.00	0.05	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermoegenskonzept Defensiv	1,484	0.00	0.05	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögensbildung Deutschland	15,000	0.04	0.57	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögenskonzept Ausgewogen	3,123	0.01	0.11	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögenskonzept Dynamisch	3,619	0.01	0.13	30-Apr-2013
PIMCO (US)	Target Moderate Allocation Fund	1,800	0.00	0.07	30-Apr-2013
Total Allianz		80,461	0.20	2.92	
Argenta					
Petercam S.A.	Argenta Pensioenspaarfonds	66,000	0.17	2.51	31-May-2013
Total Argenta		66,000	0.17	2.51	

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares Euro Stoxx (DE)	9,040	0.02	0.32	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares MDAX (DE)	425,508	1.07	14.94	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	14,620	0.04	0.51	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Automobiles & Parts (DE)	6,876	0.02	0.24	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Small 200 (DE)	22,512	0.06	0.79	30-Jun-2013
Total BlackRock Germany		478,556	1.21	16.80	
BNP Paribas					
BNP Paribas Investment Partners (France)	EasyETF Euro Stoxx	1,207	0.00	0.05	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	1,135	0.00	0.04	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600 Automobiles & Parts	2,247	0.01	0.08	30-Jun-2013
BNP Paribas Investment Partners (France)	Parworld Track Europe Small Cap	2,247	0.01	0.08	31-Mar-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	369	0.00	0.02	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	423	0.00	0.02	31-Jan-2012
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas B Pension Growth	8,551	0.02	0.32	30-Jun-2012
Total BNP Paribas		16,179	0.04	0.58	
Credit Suisse					
Credit Suisse Asset Management	CS Equity Fund (Lux) Small & Mid Cap Germany	71,510	0.18	2.87	31-Jan-2013
Credit Suisse Asset Management	CS SICAV One (Lux) Small and Mid Cap Alpha Long/Short	25,405	0.06	0.88	30-Nov-2012
Credit Suisse Asset Management KAG mbH	CS Portfolio Plus	6,200	0.02	0.22	30-Jun-2013
Credit Suisse Asset Management KAG mbH	Credit Suisse Aktien Plus	12,300	0.03	0.43	30-Jun-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI EMU Small Cap	24,255	0.06	0.97	31-Jan-2013
Credit Suisse Private Banking (Switzerland)	Clariden Leu (Lux) European Small Cap Equity Fund	28,000	0.07	1.13	31-Jan-2012
Total Credit Suisse		167,670	0.42	6.51	
DeKaBank					

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deka Investment GmbH	Deka Europavalue	3,097	0.01	0.11	31-Dec-2012
Deka Investment GmbH	DekaFonds	95,000	0.24	3.44	31-Dec-2012
Deka Investment GmbH	Dekalux Deutschland TF	20,000	0.05	0.72	31-Dec-2012
Deka Investment GmbH	Frankfurter-Sparinvest Deka	2,000	0.01	0.08	31-Dec-2012
ETFlab Investment GmbH	ETFlab DAXplus Maximum Dividend	204,846	0.52	7.19	30-Jun-2013
Total DeKaBank		324,943	0.82	11.55	
Deutsche Bank					
DB Platinum Advisors	db x-trackers MSCI Europe Small Cap Index UCITS ETF	12,683	0.03	0.44	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	2,681	0.01	0.09	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Deutschland Direkt 2014	43,000	0.11	1.79	28-Feb-2013
DWS Investment GmbH	Basler-International DWS	20,000	0.05	0.70	30-Jun-2013
DWS Investment GmbH	DWS E.ON Aktienfonds	9,000	0.02	0.32	30-Jun-2013
DWS Investment GmbH	DWS German Small/Mid Cap	38,340	0.10	1.35	30-Jun-2013
DWS Investment GmbH	DWS Global Small/Mid Cap	11,000	0.03	0.38	30-Jun-2013
DWS Investment GmbH	DWS Zurich Invest Global	10,000	0.03	0.35	30-Apr-2013
DWS Investment GmbH	New Germany Fund, Inc.	110,000	0.28	3.84	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Salamanca I SICAV S.A.	1,000	0.00	0.04	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Simi Parillion SICAV SA	1,000	0.00	0.04	31-Mar-2013
FPM Frankfurt Performance Management AG	DWS Top 25 S	10,800	0.03	0.38	30-Jun-2013
Total Deutsche Bank		269,504	0.68	9.72	
DZ Bank					
IPConcept (Luxemburg) S.A.	Boss Concept IPC Sicav - Boss Concept 2	800	0.00	0.03	31-Dec-2012
IPConcept (Luxemburg) S.A.	Generations Global Growth - Fonds I	3,000	0.01	0.11	30-Sep-2012
Union Investment Group	Union Investment Multi-Asset Fonds Weinheim	50,000	0.13	2.23	31-Mar-2012
Union Investment Group	Union Investment UniFonds -net-	210,100	0.53	7.32	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Union Investment Group	Union Investment Unifonds	35,700	0.09	1.24	31-Mar-2013
Total DZ Bank		299,600	0.76	10.93	
ING					
ING Investment Management (Netherlands)	ING Europe Small Caps Fund	3,121	0.01	0.12	31-May-2013
Total ING		3,121	0.01	0.12	
UBS					
UBS Global Asset Management (Deutschland) GmbH	UBS (D) Equityfund - Mid Caps Germany	13,400	0.03	0.47	30-Apr-2013
Total UBS		13,400	0.03	0.47	
UniCredit					
Pioneer Investment Management SGRpA	Pioneer Funds - European Potential	113,238	0.29	3.95	31-Mar-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - European Equity Market Plus (EUR)	6,142	0.02	0.23	31-May-2013
Total UniCredit		119,380	0.30	4.18	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Rio Tinto

Table 129 provides an overview of the shares of Rio Tinto Plc which were owned or managed by the selected financial institutions at the most recent filing date.

Table 129 Shareholders of Rio Tinto Plc

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Italia SGR S.p.A.	Allianz Global Strategy 15	10,000	0.00	0.31	30-Jun-2013
Allianz Global Investors Italia SGR S.p.A.	Allianz Global Strategy 30	14,000	0.00	0.43	30-Jun-2013
Allianz Global Investors Italia SGR S.p.A.	Allianz Global Strategy 70	50,000	0.00	1.54	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Global Investors Italia SGR S.p.A.	Ras Lux Fund - Ras Lux Equity Europe	18,000	0.00	0.78	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	AS-Aktiv Dynamik	54,812	0.00	1.79	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	AS-Aktiv Plus	3,372	0.00	0.11	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Aktien Europa	87,956	0.01	2.88	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Azioni Europa	25,190	0.00	0.78	30-Jun-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz EuropaVision	137,573	0.01	4.50	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Fonds Assecura 1	15,480	0.00	0.66	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM European Equity	20,685	0.00	0.71	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	1,333,039	0.09	45.55	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	1,828,639	0.13	69.02	31-Oct-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum Plus	59,529	0.00	1.94	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	30,720	0.00	1.08	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	2,354	0.00	0.08	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögensbildung Europa	45,200	0.00	1.48	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondak Europa	70,000	0.00	2.91	31-Mar-2012
Allianz Global Investors U.S. LLC	AllianzGI Global Equity & Convertible Income Fund	5,372	0.00	0.17	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI International & Premium Strategy Fund	22,080	0.00	0.68	30-Jun-2013
Allianz Invest Kapitalanlagegesellschaft mbH	Allianz Invest Aktienfonds	20,000	0.00	0.66	31-May-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Emergentes Empresas Europeas FI	1,872	0.00	0.07	31-Mar-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Recursos Naturales FI	5,476	0.00	0.20	31-Mar-2013
Allianz Global Investors (UK) Limited	Allianz UK Equity Fund (CN)	12,110	0.00	0.43	30-Jun-2012
NFJ Investment Group LLC	AllianzGI NFJ International Value Fund	1,513,400	0.11	46.86	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value II Fund	900	0.00	0.03	30-Jun-2013
NFJ Investment Group LLC	AZL NFJ International Value Fund	50,500	0.00	1.56	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	299,288	0.02	12.87	31-Dec-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
RCM (UK) Ltd.	Allianz Duurzaam Wereld Fonds	20,243	0.00	0.63	30-Jun-2013
RCM (UK) Ltd.	Allianz Fonds Industria	1,040,628	0.07	34.06	31-May-2013
RCM (UK) Ltd.	Allianz Global Sustainability	12,040	0.00	0.41	30-Apr-2013
RCM (UK) Ltd.	Allianz UK Growth Fund	57,837	0.00	2.07	30-Jun-2012
RCM (UK) Ltd.	Allianz UK Index Fund	17,649	0.00	0.57	31-May-2012
RCM (UK) Ltd.	BMO Guardian Sustainable Opportunities Class	1,858	0.00	0.06	30-Jun-2013
RCM (UK) Ltd.	Brunner Investment Trust PLC	122,696	0.01	4.85	30-Nov-2011
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	1,696	0.00	0.05	30-Jun-2013
RCM Capital Management LLC	AllianzGI Global Commodity Equity Fund	17,150	0.00	0.53	30-Jun-2013
Total Allianz		7,029,344	0.50	243.30	
Argenta					
Argenta Fund	Argenta Fund Actions Industrie de Base	9,789	0.00	0.30	30-Jun-2013
Petercam S.A.	Argenta Pensioenspaarfonds	92,713	0.01	3.04	31-May-2013
Total Argenta		102,502	0.01	3.34	
Belfius					
Dexia Asset Management Belgium S.A.	Cleome Index Europe	123,172	0.01	3.79	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities B Europe	69,833	0.00	2.15	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Europe Fund	360,325	0.03	11.08	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L United Kingdom	22,333	0.00	0.69	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Fund Sustainable Equities Europe	93,880	0.01	2.89	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities Europe	5,955	0.00	0.20	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities World	195	0.00	0.01	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Sustainable - Europe	125,527	0.01	3.86	30-Jun-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	15,251	0.00	0.66	31-Dec-2012
Total Belfius		816,471	0.06	25.31	
BlackRock Germany					

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BlackRock Asset Management Deutschland AG	iShares FTSE 100 (DE)	69,104	0.00	2.13	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 50 (DE)	147,420	0.01	4.54	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	450,296	0.03	13.85	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Basic Resources (DE)	610,416	0.04	18.78	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Large 200 (DE)	5,940	0.00	0.18	30-Jun-2013
Total BlackRock Germany		1,283,176	0.09	39.48	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	4,690	0.00	0.17	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	7,673	0.00	0.27	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	9,106	0.00	0.32	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	10,135	0.00	0.36	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	10,699	0.00	0.38	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	7,517	0.00	0.26	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	5,750	0.00	0.20	31-Mar-2013
Bishop Street Capital Management Corp		331	0.00	0.01	30-Jun-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Materials	145,236	0.01	5.88	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	35,967	0.00	1.27	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas B Fund I Equity Europe	126,525	0.01	4.33	30-Apr-2013
BNP Paribas Investment Partners (France)	BNP Paribas Etheis	92,950	0.01	3.29	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	235	0.00	0.01	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Islamic Fund - Equity Optimiser	22,111	0.00	0.78	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Equity Europe Materials	32,466	0.00	1.11	30-Apr-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Sustainable Equity World	6,242	0.00	0.23	31-Oct-2012
BNP Paribas Investment Partners (France)	BNP Paribas Premium Global Dividend Fund	37,733	0.00	1.33	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2016-2018	3,519	0.00	0.12	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2019-2021	5,609	0.00	0.20	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2022-2024	7,105	0.00	0.25	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2025-2027	6,220	0.00	0.22	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2028-2030	3,524	0.00	0.13	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 5	6,515	0.00	0.26	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 50	12,560	0.00	0.44	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 75	29,010	0.00	1.03	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite Horizon	69,191	0.00	2.44	31-Mar-2013
BNP Paribas Investment Partners (France)	EasyETF DJ Islamic Market Titans 100	3,815	0.00	0.12	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	35,437	0.00	1.09	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity Best Selection Europe	757,060	0.05	30.63	28-Feb-2013
BNP Paribas Investment Partners (France)	Parvest Equity Europe LS30	18,030	0.00	0.63	31-Jul-2012
BNP Paribas Investment Partners (France)	Parvest Equity UK Fund	15,966	0.00	0.51	31-May-2012
BNP Paribas Investment Partners (France)	Parvest Flexible Equity Europe	234,298	0.02	8.28	31-Mar-2013
BNP Paribas Investment Partners (France)	Parvest Sustainable Equity Europe	18,196	0.00	0.60	31-May-2013
BNP Paribas Investment Partners (France)	Parworld Track Europe	45,048	0.00	1.59	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track UK	15,249	0.00	0.54	31-Mar-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas B Institutional I Balanced	4,011	0.00	0.14	31-Mar-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Balanced	3,316	0.00	0.11	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Stability	1,183	0.00	0.04	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Balanced	24,046	0.00	0.78	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Growth	17,812	0.00	0.58	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World High Growth	5,155	0.00	0.17	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Stability	4,116	0.00	0.14	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W1	8,285	0.00	0.27	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W10	10,378	0.00	0.34	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W4	5,760	0.00	0.19	31-May-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W7	11,204	0.00	0.37	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W1	7,562	0.00	0.25	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W10	5,215	0.00	0.17	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W4	2,920	0.00	0.10	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W7	3,692	0.00	0.12	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W1	466	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W10	402	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W4	81	0.00	0.00	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W7	285	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W1	3,080	0.00	0.10	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W10	3,702	0.00	0.12	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W4	1,950	0.00	0.06	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W7	5,194	0.00	0.17	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	Mitsubishi UFJ Earth Environment Business Stock Mother Fund	1,300	0.00	0.05	10-Dec-2012
BNP Paribas Investment Partners España SA SGIIC	6V De Valores Mobiliarios SICAV SA	399	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Aledo Inversiones SICAV SA	185	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Alfa Inversiones SICAV SA	349	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Alkeh 2000 SICAV SA	1,139	0.00	0.04	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ayedo de Inversiones SICAV SA	306	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Diversified FI	1,557	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Mixto Moderado FI	1,746	0.00	0.06	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Base Rioja 2 SICAV SA	477	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	CMA Global Investments SICAV SA	1,938	0.00	0.07	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Costa Rica Inversiones SICAV SA	1,746	0.00	0.06	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Esjapiol SICAV SA	466	0.00	0.02	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners España SA SGIIC	Gonrapa Inversiones SICAV SA	186	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Gripa SICAV SA	991	0.00	0.04	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Hedge Inversiones SICAV SA	362	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Icles Investments SICAV SA	1,160	0.00	0.04	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ingoso 2000 SICAV SA	398	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Inversiones Diema SICAV SA	189	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Iradier Inversiones SICAV SA	353	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ivernessis Inversiones SICAV SA	89	0.00	0.00	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Jarba 2000 SICAV SA	3,441	0.00	0.15	31-Dec-2012
BNP Paribas Investment Partners España SA SGIIC	Jenkins Inversiones SICAV S.A.	1,834	0.00	0.07	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Manhattan CMB Global SICAV SA	1,669	0.00	0.06	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Mirriax SICAV SA	574	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Overall Inversiones SICAV SA	347	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Prestige Inversiones SICAV SA	408	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Royalty Inversiones SICAV SA	522	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Sasabe Inversiones SICAV	2,494	0.00	0.09	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Segunda Generacion Renta FI	1,995	0.00	0.07	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Snoopy Inversiones SICAV SA	199	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Speculum Global SA SICAV	3,402	0.00	0.12	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Split Inversiones SICAV SA	365	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Timing Inversiones SICAV SA	331	0.00	0.02	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Vasanva SA SICAV	3,388	0.00	0.12	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Watt Inversiones Mobiliarias SICAV SA	2,317	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Yield Inversiones SICAV SA	949	0.00	0.03	31-Mar-2013
BNP Paribas Investment Partners España SA SGIIC	Ziorvel SICAV SA	256	0.00	0.01	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Aandelenfonds	16,359	0.00	0.62	31-Oct-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Europafonds	53,974	0.00	2.01	30-Nov-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP PARIBAS L1 OBAM Equity World	117,474	0.01	3.84	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas All In Fund	17,757	0.00	0.63	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Europe Dividende	124,828	0.01	4.41	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas European High Dividend Growth Stock Mother Fund	18,100	0.00	0.77	07-Jan-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Global High Income Equity Fund	308,517	0.02	13.27	31-Dec-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Equity High Dividend World	9,021	0.00	0.38	31-Jan-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2015	135	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2018	159	0.00	0.01	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2020	642	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2022	249	0.00	0.01	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2025	1,106	0.00	0.04	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2028	252	0.00	0.01	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2030	1,076	0.00	0.04	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2032	250	0.00	0.01	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2035	829	0.00	0.03	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2038	107	0.00	0.00	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2040	141	0.00	0.01	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 2	787	0.00	0.02	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 3	8,152	0.00	0.26	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 4	13,966	0.00	0.46	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 5	4,991	0.00	0.17	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 6	2,286	0.00	0.08	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas OBAM N.V.	514,198	0.04	17.57	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	IA Clarington Global Dividend Fund	17,048	0.00	0.60	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Netherlands N.V.	Parvest Equity High Dividend Europe	422,299	0.03	13.82	31-May-2013
BNP Paribas Investment Partners SGR SpA	BNL Azioni Europa Crescita	68,405	0.00	2.10	30-Jun-2013
BNP Paribas Investment Partners SGR SpA	BNL Azioni Europa Dividendo	52,403	0.00	1.61	30-Jun-2013
BNP Paribas Investment Partners UK Limited	Alfred Berg Europe	17,736	0.00	0.60	30-Apr-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas L1 Equity Europe	350,262	0.02	11.47	31-May-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas L1 Equity Europe Growth	200,543	0.01	8.12	28-Feb-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund Balanced	5,904	0.00	0.20	31-May-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund Growth	6,279	0.00	0.21	30-Apr-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund High Growth	3,816	0.00	0.13	30-Apr-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund Stability	4,968	0.00	0.17	30-Apr-2013
BNP Paribas Investment Partners UK Limited	Neuilly Actions	9,443	0.00	0.31	31-May-2013
BNP Paribas Luxembourg	Luxumbrella - Equity Premium EUR	6,086	0.00	0.26	30-Apr-2012
Exane Asset Management		253,417	0.02	8.59	01-Jul-2013
Total BNP Paribas		4,645,325	0.33	167.85	
Commerzbank					
Commerzbank AG		8,791	0.00	0.27	30-Jun-2013
Total Commerzbank		8,791	0.00	0.27	
Credit Suisse					
Credit Suisse (Deutschland) AG	Credit Suisse MACS European Dividend Value	40,886	0.00	1.26	30-Jun-2013
Credit Suisse (Deutschland) AG	Credit Suisse Vario MACS III	8,360	0.00	0.26	30-Jun-2013
Credit Suisse (Deutschland) AG	Credit Suisse Vario Stocks & Bonds Plus	2,692	0.00	0.10	31-Dec-2011
Credit Suisse (Luxembourg) S.A.		269,902	0.02	12.20	04-Jan-2012
Credit Suisse Asset Management	CS ETF (IE) on FTSE 100	59,566	0.00	2.53	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	14,855	0.00	0.63	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI UK	155,947	0.01	6.63	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	3,683	0.00	0.16	31-Jan-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	CSIF Europe ex CH Index Blue	269,796	0.02	8.83	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	3,363	0.00	0.12	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	22,779	0.00	0.80	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	2,954	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	1,476	0.00	0.05	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	6,018	0.00	0.21	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	2,648	0.00	0.09	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	3,259	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	11,336	0.00	0.40	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	3,182	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Csif Europe Ex Eurozone/Ch Index	697,002	0.05	22.81	31-May-2013
Credit Suisse Asset Management	NAB Aktien Europa ex CH (EUR)	40,000	0.00	1.31	31-May-2013
Credit Suisse Asset Management Funds S.p.A.	Credit Suisse Portfolio Fund (Lux) Reddito (Euro)	2,500	0.00	0.08	31-May-2013
Credit Suisse Asset Management KAG mbH	CS Portfolio Plus	7,489	0.00	0.23	30-Jun-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI UK Large Cap	53,656	0.00	2.28	31-Jan-2013
Credit Suisse Securities (USA) LLC		47,976	0.00	1.70	31-Mar-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity Europe (CN)	13,326	0.00	0.56	30-Apr-2012
Crédit Suisse Gestión S.G.I.I.C., S.A.	Abisal 72 SICAV SA	3,092	0.00	0.11	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Alialia SICAV SA	997	0.00	0.04	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Ars Valores SICAV SA	1,297	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Banyan Investment SICAV SA	299	0.00	0.01	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Branch de Inversiones SICAV SA	898	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Global Value Opportunities FI	2,993	0.00	0.11	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Renta Variable Internacional FI	11,571	0.00	0.41	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Cartebanc SICAV SA	4,688	0.00	0.17	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Castellarri SICAV SA	1,696	0.00	0.06	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Crédit Suisse Gestión S.G.I.I.C., S.A.	Ceiba Inversiones SICAV SA	1,197	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Crisgar 2002 Investment SICAV SA	299	0.00	0.01	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Donados 2000 SICAV SA	1,696	0.00	0.06	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Fincapital SICAV SA	898	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Gurutze Inversiones SICAV SA	1,496	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Igelpa Inversiones Financieras SICAV SA	698	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inpisa Dos SICAV SA	3,990	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inversiones Arga SICAV SA	3,990	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inversiones Cerybo SICAV SA	1,297	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Isaga 2001 SICAV SA	3,092	0.00	0.11	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	La Abadia Inversiones SICAV SA	718	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Long Investor SICAV SA	1,496	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Lopbu-6 SICAV SA	1,197	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Maivaren SICAV SA	798	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Millenium Invest 2000 SICAV SA	1,696	0.00	0.06	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Note-Invest SICAV SA	1,496	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Otrormar 9 SICAV SA	1,347	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Percapital SA SICAV	9,277	0.00	0.32	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Quemora Valores SICAV SA	2,594	0.00	0.09	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	RFMI Multigestion FI	3,097	0.00	0.11	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	SJJ Valores Corolypso SICAV SA	1,297	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Sipelin SICAV SA	1,496	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Tagalo de Inversiones SICAV SA	399	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Tundra 99 SICAV SA	499	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Zanisa de Inversiones SICAV SA	1,696	0.00	0.06	31-Mar-2013
Total Credit Suisse		1,819,938	0.10	66.25	

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DeKaBank					
Deka Investment GmbH	Deka Arideka Fonds	1,000,000	0.07	43.02	31-Dec-2012
Deka Investment GmbH	Deka BR 100	66,300	0.00	2.85	31-Dec-2012
Deka Investment GmbH	Deka BR 20	1,716	0.00	0.08	31-Dec-2012
Deka Investment GmbH	Deka BR 35	998	0.00	0.05	31-Dec-2012
Deka Investment GmbH	Deka BR 55	1,400	0.00	0.06	31-Dec-2012
Deka Investment GmbH	Deka BR 75	13,000	0.00	0.56	31-Dec-2012
Deka Investment GmbH	Deka BR 85	3,688	0.00	0.16	31-Dec-2012
Deka Investment GmbH	Deka Basisindustrie	3,812	0.00	0.14	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	3,212	0.00	0.14	31-Dec-2012
Deka Investment GmbH	Deka Europavalue	35,363	0.00	1.52	31-Dec-2012
Deka Investment GmbH	Deka Futur Chance Fonds	1,100	0.00	0.05	31-Dec-2012
Deka Investment GmbH	Deka GlobalChampions	5,000	0.00	0.22	31-Dec-2012
Deka Investment GmbH	Deka Institutionell Aktien Europa	6,300	0.00	0.27	31-Dec-2012
Deka Investment GmbH	Deka Naspa Aktienfonds	3,800	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka Naspa Fonds	7,500	0.00	0.32	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Balanced Fonds	724	0.00	0.03	31-Dec-2012
Deka Investment GmbH	Deka Spezial Fund	35,000	0.00	1.51	31-Dec-2012
Deka Investment GmbH	Deka-DividendenStrategie CF (A)	48,000	0.00	2.07	31-Dec-2012
Deka Investment GmbH	Deka-Nachhaltigkeit Aktien	11,000	0.00	0.47	31-Dec-2012
Deka Investment GmbH	Deka-bAV-Fonds	8,706	0.00	0.38	31-Dec-2012
Deka Investment GmbH	Dekalux Europa TF	220,000	0.02	9.46	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	7,000	0.00	0.25	30-Jun-2012
ETFlab Investment GmbH	ETFlab MSCI Europe	10,106	0.00	0.31	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI Europe LC	10,996	0.00	0.34	30-Jun-2013
ETFlab Investment GmbH	ETFlab STOXX Europe 50	5,137	0.00	0.16	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total DeKaBank		1,509,858	0.11	64.56	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers DJ Islamic Market Titans 100 UCITS ETF	858	0.00	0.03	30-Jun-2013
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	126,247	0.01	3.88	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P Europe 350 Shariah UCITS ETF	3,044	0.00	0.09	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Leveraged Daily UCITS ETF	1,722	0.00	0.06	30-Apr-2013
DB Platinum Advisors	db x-trackers FTSE 100 Short Daily ETF	40,818	0.00	1.26	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Super Short Daily UCITS ETF	1,410	0.00	0.05	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 UCITS ETF	212,213	0.02	6.53	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-Share UCITS ETF	127,707	0.01	3.93	30-Jun-2013
DB Platinum Advisors	db x-trackers Global Fund Supporters UCITS ETF	997	0.00	0.03	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Index UCITS ETF	213,174	0.02	6.56	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Value Index UCITS ETF	2,198	0.00	0.07	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pan-Euro Index UCITS ETF	11,388	0.00	0.35	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Materials Index UCITS ETF	3,749	0.00	0.11	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 Basic Resources UCITS ETF	273,380	0.02	8.41	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	84,918	0.01	2.62	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe 600 Basic Resources Short Daily U	16,553	0.00	0.62	30-Nov-2012
DB Platinum Advisors	db x-trackers Stoxx Europe Christian UCITS ETF	1,405	0.00	0.05	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS Global Themes Equity Fund	2,301	0.00	0.10	31-Dec-2012
Deutsche Asset Management Americas		66,326	0.00	2.35	31-Mar-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds HAD Mitarbeiter II	1,500	0.00	0.06	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	4,711	0.00	0.17	31-Dec-2011

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Asset Management Investmentgesellschaft mbH	DeAM-HAD-Mitarbeiter I	1,100	0.00	0.05	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	Degef Fonds Bayer Mitarbeiter	20,000	0.00	0.86	31-Dec-2012
Deutsche Asset Management Investmentgesellschaft mbH	Luxembourg Placement Fund - Bolle	32,236	0.00	1.14	31-Mar-2013
Deutsche Bank (Suisse) SA		564	0.00	0.02	31-Mar-2012
DWS Investment S.A.	DWS Etoile	26,906	0.00	0.88	31-May-2013
Deutsche Bank Private Wealth Management Limited		1,524,556	0.11	51.64	01-Jul-2013
Deutsche Bank Securities Inc.		46,685	0.00	1.66	31-Mar-2013
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Material & Energy Fund	37,789	0.00	1.63	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Blue Chip Fund (CN)	19,000	0.00	0.87	31-Jan-2012
Deutsche Investment Management Americas, Inc.	DWS Global Metals & Mining Typ O	91,067	0.01	2.80	30-Jun-2013
Deutsche Postbank AG		55,000	0.00	1.93	30-Sep-2012
DWS Investment GmbH	DWS Concept Kaldemorgen	200,000	0.01	6.15	30-Jun-2013
DWS Investment GmbH	DWS E.ON Aktienfonds	16,500	0.00	0.51	30-Jun-2013
DWS Investment GmbH	DWS Global Equity Fund	2,110	0.00	0.07	30-Jun-2013
DWS Investment GmbH	DWS Invest II Europe Stability	1,600	0.00	0.05	30-Jun-2013
DWS Investment GmbH	DWS Lowen-Aktienfonds	13,500	0.00	0.41	30-Jun-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	8,070	0.00	0.25	30-Jun-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	500,000	0.04	15.38	30-Jun-2013
DWS Investment GmbH	DWS WvF Strategie Fonds Nr 1	65,000	0.00	2.00	30-Jun-2013
DWS Investment GmbH	DWS Zukunftsstrategie Aktien	1,000	0.00	0.03	30-Jun-2013
DWS Investment GmbH	Multi Opportunities II	50,000	0.00	1.54	30-Jun-2013
DWS Investment GmbH	Multi Opportunities III	50,000	0.00	1.54	30-Jun-2013
DWS Investment GmbH	Nord Est Fund - Azionario Globale	5,800	0.00	0.25	31-Dec-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investments (Spain), S.G.I.I.C., S.A.	Salamanca I SICAV S.A.	520	0.00	0.02	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Simi Parillion SICAV SA	3,990	0.00	0.14	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Wolysen Inversiones SICAV SA	1,100	0.00	0.04	31-Mar-2013
Frankfurt-Trust Investment-Gesellschaft mbH	BHF TRUST Dividendenorientiertes Value Portfolio	25,000	0.00	1.01	28-Feb-2013
Frankfurt-Trust Investment-Gesellschaft mbH	BHF TRUST Dynamisches Total Return Portfolio	147,500	0.01	4.54	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Frankfurt Invest Postbank Balanced Fund	1,900	0.00	0.06	30-Jun-2013
Northern Trust Global Investments	DWS EAFE Equity Index Fund	25,880	0.00	0.80	30-Jun-2013
Oppenheim Kapitalanlagegesellschaft mbH	OP Value European Equities	4,300	0.00	0.16	30-Nov-2012
Oppenheim Kapitalanlagegesellschaft mbH	Op European Mid and Small Caps	4,000	0.00	0.15	30-Nov-2012
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Global Securities	6,700	0.00	0.23	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Santander Mix OP	400	0.00	0.02	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Global-Invest Fund	18,600	0.00	0.63	30-Apr-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim OP Strategieportfolio IV	23,500	0.00	1.01	29-Feb-2012
Oppenheim Kapitalanlagegesellschaft mbH	Santander Europäische Aktien OP	9,683	0.00	0.34	31-Mar-2013
Sal. Oppenheim jr. & Cie. AG & Co. KGaA (Asset Management)	SOP Aktien Marktneutral R	19,360	0.00	0.69	31-Mar-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	843	0.00	0.04	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	3,426	0.00	0.11	30-Jun-2013
Total Deutsche Bank		4,261,804	0.30	138.93	
DZ Bank					
DZ Privatbank S.A.	DZPB Portfolio - Zuwachs	45,000	0.00	1.59	31-Mar-2013
IPConcept (Luxemburg) S.A.	Boss Concept IPC Sicav - Boss Concept 2	1,200	0.00	0.05	31-Dec-2012
IPConcept (Luxemburg) S.A.	Generations Global Growth - Fonds I	4,600	0.00	0.16	30-Sep-2012
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Aktien	4,450	0.00	0.19	31-Dec-2012
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Chance	2,850	0.00	0.12	31-Dec-2012
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Ertrag	4,550	0.00	0.20	31-Dec-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
IPConcept (Luxemburg) S.A.	Premium Portfolio SICAV - Wachstum	5,200	0.00	0.23	31-Dec-2012
Union Investment Group	Bbv-Invest-Union	21,400	0.00	0.75	31-Mar-2013
Union Investment Group	Uni Sector - Basic Industries	49,900	0.00	1.76	31-Mar-2013
Union Investment Group	UniDynamicFonds - Europa	190,000	0.01	6.71	31-Mar-2013
Union Investment Group	UniGlobal II	1,500	0.00	0.05	31-Mar-2013
Union Investment Group	UniMarktführer	39,200	0.00	1.39	31-Mar-2013
Union Investment Group	UniValueFonds Europa	27,000	0.00	0.96	31-Mar-2013
Union Investment Group	Unieuropa	109,734	0.01	3.87	31-Mar-2013
Union Investment Group	Union Investment Invest Global	13,650	0.00	0.48	31-Mar-2013
Union Investment Group	Union Investment Multi-Asset Fonds Weinheim	60,000	0.00	2.50	31-Mar-2012
Union Investment Group	Union Investment SUDWESTBANK-InterShare	6,000	0.00	0.21	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	1,102,900	0.08	38.96	31-Mar-2013
Union Investment Group	Union Investment UniGlobal -net-	87,300	0.01	3.08	31-Mar-2013
Union Investment Group	Union investment UniEuropa -net-	85,815	0.01	3.03	31-Mar-2013
Total DZ Bank		1,862,249	0.13	66.30	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Europe High Dividend	167,301	0.01	5.47	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest European Equity	154,916	0.01	5.29	30-Apr-2013
ING Investment Management (Netherlands)	ING (L) Invest Global Opportunities	72,970	0.01	2.39	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Materials	202,989	0.01	6.64	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest World	59,261	0.00	1.94	31-May-2013
ING Investment Management (Netherlands)	ING (L) Patrimonial First Class Multi Asset	16,408	0.00	0.56	30-Apr-2013
ING Investment Management (Netherlands)	ING Direct Dividendo Arancio	56,300	0.00	1.84	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	306	0.00	0.01	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	4,197	0.00	0.14	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	19,890	0.00	0.65	31-May-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	32,945	0.00	1.08	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	12,421	0.00	0.41	31-May-2013
ING Investment Management (Netherlands)	ING Europe Growth Fonds	12,459	0.00	0.51	31-Oct-2011
ING Investment Management (Netherlands)	ING Global Equity Dividend Fund	16,818	0.00	0.52	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend and Premium Opportunity Fund	221,409	0.02	6.81	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Opportunities Basis Fonds	72,566	0.01	2.53	31-Jul-2012
ING Investment Management (Netherlands)	ING Global Opportunities Fund	4,959	0.00	0.15	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Opportunities Fund	70,390	0.00	2.31	31-May-2013
ING Investment Management (Netherlands)	ING L Invest Global High Dividend	130,150	0.01	4.26	31-May-2013
ING Investment Management (Netherlands)	ING Materials Basis Fonds	83,163	0.01	2.72	31-May-2013
ING Investment Management (Netherlands)	NN Aandelen Fonds	195,169	0.01	7.90	28-Feb-2013
ING Investment Management (Netherlands)	NN Europa Fonds	25,455	0.00	1.03	28-Feb-2013
ING Investment Management (Netherlands)	NN Mix Fonds	103,621	0.01	4.19	28-Feb-2013
ING Investment Management (Netherlands)	RVS Mixfonds	5,414	0.00	0.19	30-Apr-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	16,136	0.00	0.50	30-Jun-2013
ING Investment Management Co. LLC	ING FTSE 100 Index Portfolio	279,220	0.02	9.87	31-Mar-2013
ING Investment Management Co. LLC	ING Global Advantage and Premium Opportunity Fund	48,124	0.00	1.48	30-Jun-2013
ING Investment Management Co. LLC	ING Global Natural Resources Fund	14,323	0.00	0.44	30-Jun-2013
ING Investment Management Co. LLC	ING Global Resources Portfolio	117,404	0.01	3.61	30-Jun-2013
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	5,220	0.00	0.23	31-Dec-2012
ING Investment Management Co. LLC	ING Infrastructure, Industrials and Materials Fund	132,899	0.01	4.09	30-Jun-2013
ING Investment Management Co. LLC	ING International High Dividend Equity Income Fund	11,081	0.00	0.34	30-Jun-2013
ING Investment Management Co. LLC	ING International Index Portfolio	48,331	0.00	1.48	30-Jun-2013
ING Investment Management Co. LLC	ING International Value Choice Fund	3,867	0.00	0.12	30-Jun-2013
ING Investment Management Co. LLC	ING International Value Equity Fund	36,708	0.00	1.13	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management Co. LLC	ING International Value Fund	146,278	0.01	5.17	31-Mar-2013
ING Investment Management Co. LLC	ING International Value Portfolio	35,468	0.00	1.09	30-Jun-2013
ING Investment Management Co. LLC	ING Risk Managed Natural Resources Fund	13,304	0.00	0.41	30-Jun-2013
ING Securities Investment & Trust Co., Ltd.	ING Europe High Dividend Fund	4,526	0.00	0.20	31-Dec-2012
Total ING		2,654,366	0.19	89.67	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	29,829	0.00	0.98	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Euro Cyclical	4,763	0.00	0.16	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Europe	24,583	0.00	0.81	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Quant Europe	2,455	0.00	0.09	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund SRI Equity Institutional Shares	3,086	0.00	0.14	31-Dec-2012
KBC Asset Management N.V.	KBC Equity Fund World	1,090	0.00	0.04	31-May-2013
KBC Asset Management N.V.	KBC Fivest Euroland	8,583	0.00	0.32	31-Dec-2011
KBC Asset Management N.V.	KBC Index Fund Europe	11,146	0.00	0.36	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	5,214	0.00	0.17	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Satellite Equity	32,771	0.00	1.07	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund European Equity	1,007	0.00	0.03	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	63	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global SRI Defensive 1	1,553	0.00	0.05	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund SRI World Equity	4,815	0.00	0.16	31-May-2012
KBC Asset Management N.V.	KBC Institutional Global	898	0.00	0.03	31-May-2013
KBC Asset Management N.V.	KBC Institutional SRI World Equity Cap	22,436	0.00	0.73	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund European Equity	30,798	0.00	1.01	31-May-2013
KBC Asset Management N.V.	Pricos	200,587	0.01	7.34	31-Dec-2011
KBC Asset Management N.V.	Pricos Defensive	6,301	0.00	0.23	31-Dec-2011
KBC Asset Management N.V.	Sivek Global Low Fund	323	0.00	0.02	31-Dec-2011

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	Sivek Global Medium Fund	2,661	0.00	0.10	31-Dec-2011
KBC Fund Management Limited	Centea Fund World Select	1,389	0.00	0.05	31-Dec-2011
KBC Fund Management Limited	KBC Equity Fund Global Leaders	5,163	0.00	0.17	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	9,777	0.00	0.32	31-May-2013
KBC Fund Management Limited	Sivek Global High Fund	1,000	0.00	0.04	31-Dec-2011
Kleinwort Benson Investors Dublin Ltd	KBC Equity Fund High Dividend	26,735	0.00	0.87	31-May-2013
Total KBC		439,026	0.03	15.28	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG EuroBalance	9,178	0.00	0.32	31-Mar-2013
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG Nachhaltigkeit Fond	10,000	0.00	0.35	31-Mar-2013
Total Munich Re		19,178	0.00	0.68	
UBS					
Jupiter Asset Management Ltd.	CCR Croissance Europe	106,569	0.01	4.31	28-Feb-2013
UBS (Luxembourg) S.A.		1,378,792	0.10	46.70	01-Jul-2013
UBS Gestión, S.G.I.I.C., S.A.	Anta Gestión Patrimonial SICAV SA	1,796	0.00	0.06	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Ditesco Cartera SICAV SA	3,093	0.00	0.11	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Dobla Cartera SICAV SA	2,494	0.00	0.09	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Folonpie SICAV SA	1,995	0.00	0.07	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Goalcia 2001 SICAV SA	3,093	0.00	0.11	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Gualestри Cartera SICAV SA	209	0.00	0.01	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	IGVF FI	1,995	0.00	0.07	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Juferuca SICAV SA	1,496	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Nimbus De Inversiones Spain SICAV SA	798	0.00	0.03	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Patton Investment Sicav SA	37,328	0.00	1.61	31-Dec-2012
UBS Gestión, S.G.I.I.C., S.A.	Red Jungle Participaciones SICAV SA	4,489	0.00	0.16	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Serantes Cartera SICAV SA	1,746	0.00	0.06	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Gestión, S.G.I.I.C., S.A.	Sotavento de Valores SICAV SA	1,496	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Valdorba Inversiones SICAV SA	798	0.00	0.03	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Xabek Investment SICAV SA	1,197	0.00	0.05	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS (LUX) Equity SICAV European Quantitative	328	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity Fund - European Growth	14,623	0.00	0.63	31-Jan-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity SICAV - Global Growth (USD) P-acc	2,791	0.00	0.10	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS Global (ex-U.S.) All Cap Growth Relationship Fund	5,655	0.00	0.20	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS International Equity Fund	5,328	0.00	0.19	31-Mar-2013
UBS Global Asset Management (Canada) Inc.	Ferique European Fund	39,430	0.00	1.21	30-Jun-2013
UBS Global Asset Management (Deutschland) GmbH	UBS (D) Mesina Aktienfonds	28,400	0.00	1.22	31-Dec-2012
UBS Global Asset Management (Switzerland)	BPER International Sicav - Equity Europe	5,750	0.00	0.20	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - European Equities	48,116	0.00	2.05	31-Jan-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	11,564	0.00	0.49	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Global Materials	52,721	0.00	1.80	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe (ex Switzerland)	38,350	0.00	1.31	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe Passive	300,368	0.02	10.27	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Global Equities (ex Switzerland)	16,933	0.00	0.58	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund 2 - Eq Global (ex Switzerland)	16,490	0.00	0.57	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	5,532	0.00	0.19	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	1,114	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	687	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	5,782	0.00	0.20	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	1,116	0.00	0.04	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	733	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Basic Materials (USD)	9,769	0.00	0.36	30-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Inst SICAV - Alpha Choice EP	2,000	0.00	0.07	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Global Eq (Ex US)	3,080	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Key Selection European Equity	251,389	0.02	8.59	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Key Selection Global Equity	398,096	0.03	13.61	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Key Selection SICAV Global Allocation (EUR)	91,109	0.01	3.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	15,501	0.00	0.53	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	11,990	0.00	0.41	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	2,914	0.00	0.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	1,145	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	1,381	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	977	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	366	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	5,885	0.00	0.20	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	1,504	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	446	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	8,031	0.00	0.27	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	2,833	0.00	0.10	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	970	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	3,574	0.00	0.12	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	3,529	0.00	0.12	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	481	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	416	0.00	0.02	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	3,532	0.00	0.12	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	2,591	0.00	0.09	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	446	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS Global Allocation Fund	64,980	0.00	2.30	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS Global Securities Relationship Fund	32,997	0.00	1.18	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS Inv Funds ICVC III UBS Global Allocation Fund (UK)	231,095	0.02	7.90	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	24,760	0.00	0.90	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	Nationwide International Value Fund	78,743	0.01	2.42	30-Jun-2013
UBS Global Asset Management (UK) Ltd.	UBS (Canada) Global Allocation Fund	12,997	0.00	0.56	31-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	840	0.00	0.03	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Equity Fund - Great Britain	58,775	0.00	2.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection SICAV - Global Equities	35,100	0.00	1.20	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection Sicav - European Core Equities	189,608	0.01	6.48	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	12,672	0.00	0.43	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS Global Equity Fund	19,139	0.00	0.68	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS Global Optimal Fund	12,476	0.00	0.43	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS UK Equity Income Fund	6,700	0.00	0.23	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS UK Opportunities Fund	17,699	0.00	0.60	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF FTSE 100	127,555	0.01	4.36	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	25,218	0.00	0.86	30-Apr-2013
UBS Hana Asset Management Company Ltd.	Hana UBS Europe Equity 1 Class A	1,555	0.00	0.05	31-Mar-2013
UBS Securities LLC		327,672	0.02	11.63	31-Mar-2013
Total UBS		4,255,731	0.30	147.29	
UniCredit					
Pioneer Investment Management Ltd.	Daiwa European Good Dividend Equity Mother Fund	4,327	0.00	0.14	10-May-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investment Management Ltd.	Pioneer Azionario Europa	164,933	0.01	5.64	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - European Research	499,852	0.04	17.08	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Gold and Mining	53,377	0.00	1.82	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Top European Players	551,538	0.04	18.85	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Core European Equity	362,719	0.03	12.39	30-Apr-2013
Pioneer Investment Management SGRpA	Bancoposta Azionario Internazionale	54,457	0.00	1.86	30-Apr-2013
Pioneer Investment Management SGRpA	Bancoposta Mix 1	81,864	0.01	3.31	28-Feb-2013
Pioneer Investment Management SGRpA	Bancoposta Mix 2	118,194	0.01	4.78	28-Feb-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	16,439	0.00	0.59	30-Jun-2012
Pioneer Investment Management SGRpA	Pioneer Funds - Absolute Return Multi-Strategy A EUR ND	2,618	0.00	0.09	30-Jun-2012
Pioneer Investment Management SGRpA	Pioneer Obbligazionario Piu a Distribuzione	106,896	0.01	3.66	30-Apr-2013
Pioneer Investment Management SGRpA	Pioneer S.F. - Optimiser (EUR)	9,489	0.00	0.41	31-Dec-2012
Pioneer Investment Management SGRpA	Pioneer Target Controllo	15,197	0.00	0.62	28-Feb-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - European Equity Market Plus (EUR)	72,954	0.01	2.50	30-Apr-2013
Pioneer Investments Austria GmbH	A 109 A	15,000	0.00	0.56	30-Nov-2012
Pioneer Investments Austria GmbH	Pioneer Funds Austria-Plus Unternehmensvorsorgefonds	5,000	0.00	0.17	31-May-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Funds - European Equity Target Income A	44,226	0.00	1.51	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv VPV Spezial	15,679	0.00	0.54	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer N-Fonds Nr. 1 Europa	9,407	0.00	0.32	30-Apr-2013
Total UniCredit		2,204,166	0.16	76.82	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 130 provides an overview of the shares of Rio Tinto's separately listed subsidiary Rio Tinto Limited which were owned or managed by the selected financial institutions at the most recent filing date.

Table 130 Shareholders of Rio Tinto Ltd

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Fund Management LLC	Allianz AGIC Global Fund (CN)	6,862	0.00	0.29	31-May-2012
Allianz Global Investors (UK) Limited	Allianz Customised Global Equity Fund (CN)	7,563	0.00	0.33	30-Jun-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	249,700	0.06	10.89	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstofffonds	138,100	0.03	6.15	31-Oct-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum Plus	73,287	0.02	2.92	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	37,936	0.01	1.58	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	2,871	0.00	0.12	30-Sep-2012
Allianz Global Investors Korea Ltd.	Allianz NACM Global Select Equity Feeder 1 Class I	1,651	0.00	0.08	31-Mar-2012
Allianz Global Investors U.S. LLC	AllianzGI International & Premium Strategy Fund	9,801	0.00	0.35	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	23,533	0.01	1.21	31-Dec-2012
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	430	0.00	0.02	30-Jun-2013
RCM Capital Management LLC	AllianzGI Global Commodity Equity Fund	7,800	0.00	0.28	30-Jun-2013
Total Allianz		559,534	0.13	24.23	
Belfius					
Ausbil Dexia Ltd.	Ausbil Australian Active Equity Fund	979,600	0.22	40.89	30-Sep-2012
Ausbil Dexia Ltd.	Dexia Equities L Australia	151,101	0.03	5.45	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Sustainable World	6,819	0.00	0.25	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities World	3,547	0.00	0.14	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Sustainable Pacific	8,185	0.00	0.29	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Sustainable World	981	0.00	0.04	30-Jun-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	3,159	0.00	0.17	31-Dec-2012
Total Belfius		1,153,392	0.26	47.23	
BNP Paribas					

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	1,544	0.00	0.07	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	2,590	0.00	0.11	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	2,978	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	3,085	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	3,263	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	2,277	0.00	0.11	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	1,742	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	215	0.00	0.01	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Islamic Fund - Equity Optimiser	18,480	0.00	0.83	31-Mar-2013
BNP Paribas Investment Partners (France)	EasyETF DJ Islamic Market Titans 100	1,518	0.00	0.05	30-Jun-2013
BNP Paribas Investment Partners (France)	Parworld Track Pacific Ex-Japan	13,208	0.00	0.60	31-Mar-2013
BNP Paribas Investment Partners Asia Ltd.	Parvest Equity Australia	153,407	0.04	6.88	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Aandelenfonds	75	0.00	0.00	31-Oct-2012
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Aziefonds	5,068	0.00	0.23	31-Oct-2012
Total BNP Paribas		209,450	0.05	9.37	
Credit Suisse					
Credit Suisse Asset Management	CS ETF (IE) on MSCI Australia	20,858	0.00	1.09	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Pacific ex Japan	17,188	0.00	0.90	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	1,227	0.00	0.06	31-Jan-2013
Credit Suisse Asset Management	CSIF Pacific ex Japan Index Blue	109,693	0.03	4.36	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	2,193	0.00	0.09	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	6,300	0.00	0.26	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	913	0.00	0.04	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	742	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	1,693	0.00	0.07	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	931	0.00	0.04	30-Sep-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	4,347	0.00	0.18	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	1,287	0.00	0.05	30-Sep-2012
Credit Suisse Asset Management	Csif Pacific Ex Japan Index	230,610	0.05	9.18	31-May-2013
Total Credit Suisse		397,982	0.09	16.35	
DeKaBank					
Deka Investment GmbH	Deka MegaTrends TF	4,000	0.00	0.20	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Offensiv	1,160	0.00	0.05	30-Jun-2012
Deka Investment GmbH	Dekalux Pazifik Fonds	20,000	0.00	1.03	31-Dec-2012
Total DeKaBank		25,160	0.01	1.29	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers DJ Islamic Market Titans 100 UCITS ETF	341	0.00	0.02	30-Jun-2013
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	43,292	0.01	1.56	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	553	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI AC Asia ex Japan Index UCITS ETF	694	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pacific ex Japan Index UCITS ETF	122,309	0.03	4.41	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Materials Index UCITS ETF	1,286	0.00	0.05	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P/ASX 200 UCITS ETF	45,196	0.01	1.63	30-Jun-2013
Deutsche Asset Management (Korea) Co., Ltd.	Deutsche DWS Premier Asia 50 Equity Class A	2,030	0.00	0.09	31-Mar-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	2,262	0.00	0.11	31-Dec-2011
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Material & Energy Fund	11,703	0.00	0.60	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Global Metals & Mining Typ O	38,920	0.01	1.40	30-Jun-2013
Deutsche Postbank AG		30,050	0.01	1.25	30-Sep-2012
DWS Investment GmbH	DWS Global Equity Fund	2,028	0.00	0.08	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DWS International Fund	49,000	0.01	1.76	30-Jun-2013
DWS Investment GmbH	DWS Invest Top 50 Asia	50,000	0.01	1.80	30-Jun-2013
DWS Investment GmbH	DWS Top 50 Asien Fond	280,000	0.06	10.10	30-Jun-2013
DWS Investment GmbH	DWS Variable Series I-International VIP	8,300	0.00	0.30	30-Jun-2013
DWS Investment S.A.	DWS Etoile	1,447	0.00	0.06	31-May-2013
Northern Trust Global Investments	DWS EAFE Equity Index Fund	8,889	0.00	0.32	30-Jun-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	286	0.00	0.02	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	1,738	0.00	0.06	30-Jun-2013
Total Deutsche Bank		700,324	0.16	25.66	
DZ Bank					
Union Investment Group	UniAsiaPacific	140,000	0.03	6.28	31-Mar-2013
Total DZ Bank		140,000	0.03	6.28	
ING					
ING Investment Management (Netherlands)	ING Asia Pacific High Dividend Equity Income	39,911	0.01	1.44	30-Jun-2013
ING Investment Management (Netherlands)	NN Verre Oosten Fonds	5,557	0.00	0.29	28-Feb-2013
ING Investment Management (Netherlands)	ING Investment Management Asia Pacific (Hong Kong) Ltd.	3,573	0.00	0.16	31-Mar-2013
ING Investment Management (Netherlands)	ING Far East Fund	3,573	0.00	0.16	31-Mar-2013
ING Investment Management (Netherlands)	ING Investment Management Co. LLC	96,159	0.02	3.47	30-Jun-2013
ING Investment Management (Netherlands)	ING Australia Index Portfolio	76,669	0.02	2.77	30-Jun-2013
ING Investment Management (Netherlands)	ING Index Plus International Equity Fund	3,103	0.00	0.16	31-Dec-2012
ING Investment Management (Netherlands)	ING International High Dividend Equity Income Fund	7,131	0.00	0.26	30-Jun-2013
ING Investment Management (Netherlands)	ING International Index Portfolio	16,387	0.00	0.59	30-Jun-2013
Total ING		252,063	0.06	9.28	
KBC					
KBC Asset Management N.V.	Imperial Global Equity Income Pool	3,400	0.00	0.16	31-Dec-2011

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	14,713	0.00	0.59	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund SRI Equity Institutional Shares	1,000	0.00	0.05	31-Dec-2012
KBC Asset Management N.V.	KBC Equity Fund World	253	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	3,210	0.00	0.13	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	17	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	238	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund Pacific Equity	15,554	0.00	0.62	31-May-2013
KBC Fund Management Limited	KBC Eco Fund World	79	0.00	0.00	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	5,055	0.00	0.20	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Pacific	1,077	0.00	0.05	31-May-2013
Kleinwort Benson Investors Dublin Ltd	KBC Equity Fund High Dividend	17,318	0.00	0.69	31-May-2013
Total KBC		61,914	0.01	2.50	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG Nachhaltigkeit Fond	3,000	0.00	0.14	31-Mar-2013
Total Munich Re		3,000	0.00	0.14	
UBS					
UBS Global Asset Management (Australia) Ltd.	UBS (Lux) Equity Fund - Australia	76,000	0.02	3.32	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Pacific (ex Japan) Pa	97,504	0.02	4.25	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	2,004	0.00	0.09	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	369	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	223	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	1,877	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	362	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	237	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	4,366	0.00	0.19	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	3,643	0.00	0.16	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	1,289	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	371	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	364	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	264	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	115	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	1,465	0.00	0.06	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	441	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	158	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	2,423	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	920	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	314	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	1,025	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	1,075	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	191	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	125	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	1,065	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	732	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	145	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	325	0.00	0.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Pacific (Ex Japan)	125,168	0.03	5.46	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	7,688	0.00	0.33	30-Apr-2013
UBS Global Asset Management Funds Ltd	UBS Global Asset Management Global Optimal Thirds Fund (CN)	2,040	0.00	0.10	30-Nov-2011
Total UBS		334,288	0.08	14.58	
UniCredit					

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investment Management Ltd.	Pioneer Azionario Area Pacifico	12,074	0.00	0.53	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer CIM - Global Equity	30,686	0.01	1.34	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Gold and Mining	44,963	0.01	1.96	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Austria Asia Stock	1,042	0.00	0.05	31-Mar-2013
Pioneer Investment Management Ltd.	Pioneer SF Pacific (Ex-Japan) Equity Market Plus	16,058	0.00	0.70	30-Apr-2013
Total UniCredit		104,823	0.02	4.58	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Royal Dutch Shell

Table 131 provides an overview of the shares of Royal Dutch Shell which were owned or managed by the selected financial institutions at the most recent filing date.

Table 131 Shareholders of Royal Dutch Shell

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors France	Allianz Actions US	9,300	0.00	0.47	30-Apr-2013
Allianz Global Investors Italia SGR S.p.A.	Ras Lux Fund - Ras Lux Equity Europe	43,000	0.00	1.13	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	AS-Aktiv Dynamik	85,606	0.00	2.16	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	AS-Aktiv Plus	17,115	0.00	0.43	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Aktien Europa	135,000	0.00	3.41	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Azioni Europa	192,595	0.00	4.64	30-Jun-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Best Styles Euroland	33,365	0.00	0.85	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Deep Value Europe	41,262	0.00	1.04	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz EuropaVision	374,329	0.01	9.45	31-May-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Global Investors Kapitalanlagegesellschaft	Allianz European Equity Dividend	752,824	0.01	19.30	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz European Equity Income Fund	70,969	0.00	1.80	30-Jun-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Fonds Assecura 1	50,590	0.00	1.33	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global High Payout Fund	42,100	0.00	1.09	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global Twin-Focus Fund	4,900	0.00	0.13	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz High Dividend Discount	176,540	0.00	4.61	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM European Equity	53,595	0.00	1.37	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Balance	29,598	0.00	0.78	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum	54,589	0.00	1.42	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategiefonds Wachstum Plus	272,863	0.00	7.13	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 50	115,378	0.00	3.08	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Strategy 75	9,937	0.00	0.26	30-Sep-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermoegenskonzept Defensiv	4,211	0.00	0.11	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögensbildung Europa	366,700	0.01	9.26	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögenskonzept Ausgewogen	8,861	0.00	0.23	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Vermögenskonzept Dynamisch	10,290	0.00	0.26	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	69,172	0.00	1.78	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondak Europa	332,000	0.01	8.77	31-Mar-2012
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	107,271	0.00	2.76	31-May-2013
Allianz Global Investors U.S. LLC	AllianzGI Global Equity & Convertible Income Fund	61,600	0.00	1.52	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI International & Premium Strategy Fund	104,227	0.00	3.43	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI International Managed Volatility Fund	31,217	0.00	0.77	30-Jun-2013
Allianz Invest Kapitalanlagegesellschaft	Allianz Invest Aktienfonds	55,000	0.00	1.39	31-May-2013
Allianz Nederland Asset Management B.V.	Allianz Holland Fond	1,960,000	0.03	47.17	30-Jun-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Emergentes Empresas Europeas FI	2,180	0.00	0.05	31-Mar-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Mixto 70 FI	6,987	0.00	0.17	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Global Investors (UK) Limited	Allianz UK Equity Fund (CN)	34,041	0.00	0.90	30-Jun-2012
NFJ Investment Group LLC	AZL NFJ International Value Fund	55,900	0.00	2.69	30-Jun-2013
NFJ Investment Group LLC	Allianz US Large Cap Value	1,200	0.00	0.06	30-Apr-2013
NFJ Investment Group LLC	AllianzGI NFJ All-Cap Value Fund	8,900	0.00	0.43	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Dividend Interest & Premium Strategy Fund	621,400	0.01	29.89	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Dividend Value Fund	2,584,000	0.04	124.27	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value Fund	1,404,500	0.02	67.55	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value II Fund	1,800	0.00	0.05	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Large-Cap Value Fund	183,600	0.00	8.83	30-Jun-2013
NFJ Investment Group LLC	Columbia VP-NFJ Dividend Value	573,100	0.01	27.56	30-Jun-2013
NFJ Investment Group LLC	Premier NFJ Dividend Value Portfolio	25,800	0.00	1.29	31-May-2013
NFJ Investment Group LLC	Target Portfolio Trust-Large Capitalization Value	67,500	0.00	3.32	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	302,304	0.00	7.93	31-Dec-2012
PIMCO (US)	PIMCO EqS Pathfinder Fund	449,510	0.01	10.94	31-Mar-2013
PIMCO (US)	PIMCO EqS Pathfinder Fund (Canada)	2,065	0.00	0.05	31-Dec-2012
PIMCO (US)	PIMCO EqS Pathfinder Portfolio	105,104	0.00	2.56	31-Mar-2013
PIMCO (US)	PIMCO GIS EqS Pathfinder	203,609	0.00	4.95	31-Mar-2013
PIMCO (US)	PIMCO GIS EqS Pathfinder Europe	20,371	0.00	0.50	31-Mar-2013
PIMCO (US)	PIMCO GIS Global Multi-Asset Fund	56,104	0.00	1.36	31-Mar-2013
PIMCO (US)	Target Conservative Allocation Fund	6,600	0.00	0.35	30-Apr-2013
PIMCO (US)	Target Moderate Allocation Fund	33,900	0.00	1.37	30-Apr-2013
PIMCO Commercial Mortgage Securities Trust, Inc.	PIMCO Dividend and Income Builder Fund	46,956	0.00	1.22	30-Sep-2012
PIMCO Commercial Mortgage Securities Trust, Inc.	PIMCO EqS Dividend Fund	541,430	0.01	13.18	31-Mar-2013
PIMCO Commercial Mortgage Securities Trust, Inc.	PIMCO GIS Dividend and Income Builder Inst Inc	20,003	0.00	0.49	31-Mar-2013
PIMCO Europe Ltd.		1,269,842	0.02	32.81	31-Dec-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
RCM (UK) Ltd.	Allianz Energy	175,000	0.00	4.58	31-May-2013
RCM (UK) Ltd.	Allianz Fonds Industria	2,386,275	0.04	60.23	31-May-2013
RCM (UK) Ltd.	Allianz Global Equity	52,404	0.00	1.34	30-Apr-2013
RCM (UK) Ltd.	Allianz Interglobal	492,470	0.01	12.43	31-May-2013
RCM (UK) Ltd.	Allianz UK Equity Income Fund	196,822	0.00	5.19	30-Jun-2012
RCM (UK) Ltd.	Allianz UK Growth Fund	134,267	0.00	3.54	30-Jun-2012
RCM (UK) Ltd.	Allianz UK Index Fund	78,523	0.00	1.85	31-May-2012
RCM (UK) Ltd.	Allianz UK Unconstrained Fund	0	0.00	0.00	30-Jun-2012
RCM (UK) Ltd.	Brunner Investment Trust PLC	366,468	0.01	9.91	30-Nov-2011
RCM (UK) Ltd.	Grassroots	98,668	0.00	2.53	30-Apr-2013
RCM (UK) Ltd.	MERCHANTS TRUST PLC	1,891,195	0.03	51.85	31-Jan-2012
RCM Capital Management LLC	AllianzGI Global Commodity Equity Fund	29,100	0.00	0.70	30-Jun-2013
Total Allianz		20,205,902	0.32	642.24	
Argenta					
Petercam S.A.	Argenta Pensioenspaarfonds	133,523	0.00	3.37	31-May-2013
Argenta Fund	Argenta Fund Actions Europe	26,036	0.00	0.65	30-Jun-2013
Total Argenta		159,559	0.00	4.02	
Belfius					
Dexia Asset Management Belgium S.A.	Belfius Pension Fund Low Equities	59,520	0.00	1.64	31-Dec-2011
Dexia Asset Management Belgium S.A.	Cleome Index Europe	576,008	0.01	14.04	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities B Europe	168,385	0.00	4.06	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities B Global Energy	124,853	0.00	3.01	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L EMU	37,896	0.00	0.91	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Europe Fund	868,812	0.01	20.91	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Europe High Dividend	166,881	0.00	4.02	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Global Energy Sector	17,042	0.00	0.41	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Dexia Asset Management Belgium S.A.	Dexia Equities L Netherlands Fund	79,682	0.00	1.91	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Sustainable World	50,654	0.00	1.23	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L United Kingdom	160,620	0.00	3.87	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Fund Sustainable Equities Europe	225,549	0.00	5.43	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities Europe	766,587	0.01	19.63	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Quant Equities World	24,793	0.00	0.63	31-May-2013
Dexia Asset Management Belgium S.A.	Dexia Sustainable - Europe	301,549	0.00	7.26	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Sustainable World	7,938	0.00	0.19	30-Jun-2013
Dexia Asset Management Luxembourg S.A.	Cleome Index Global Equities	70,214	0.00	1.85	31-Dec-2012
Dexia Asset Management S.A. (France)	Dexia Long Short European Equity	2,987	0.00	0.08	31-Mar-2012
Total Belfius		3,709,970	0.06	91.06	
BlackRock Germany					
BlackRock Asset Management Deutschland AG	iShares Dow Jones Global Titans 50 (DE)	52,899	0.00	1.27	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares FTSE 100 (DE)	352,352	0.01	8.60	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 50 (DE)	446,544	0.01	10.75	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 (DE)	1,364,046	0.02	32.83	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe 600 Oil & Gas (DE)	718,650	0.01	17.30	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Large 200 (DE)	17,970	0.00	0.43	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Europe Select Dividend 30 (DE)	134,435	0.00	3.35	30-Jun-2013
BlackRock Asset Management Deutschland AG	iShares STOXX Global Select Dividend 100 (DE)	139,808	0.00	3.49	30-Jun-2013
Total BlackRock Germany		3,226,704	0.05	78.03	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	20,858	0.00	0.51	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	34,326	0.00	0.84	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	40,645	0.00	1.00	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	45,074	0.00	1.11	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	47,582	0.00	1.17	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	33,434	0.00	0.82	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	25,572	0.00	0.63	31-Mar-2013
Alfred Berg Kapitalförvaltning AS	Alfred Berg Global	3,191	0.00	0.08	28-Feb-2013
Alfred Berg Kapitalförvaltning AS	Alfred Berg Global Quant	20,451	0.00	0.54	30-Apr-2013
Bank of the West		18,380	0.00	0.91	31-Mar-2013
Bishop Street Capital Management Corp		2,857	0.00	0.14	30-Jun-2013
BNP Paribas Asset Management, Inc.	BNP Paribas Actions Monde	23,106	0.00	1.14	31-Mar-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Energy	642,466	0.01	16.13	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Low Volatility	171,919	0.00	4.30	28-Feb-2013
BNP Paribas Asset Management, Inc.	Transamerica BNP Paribas Large Cap Growth VP	26,691	0.00	1.31	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	84,251	0.00	2.11	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas AEX Index Fund	1,058,892	0.02	25.76	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Actions Europe	22,067	0.00	0.54	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas B Fund I Equity Europe	468,583	0.01	12.02	30-Apr-2013
BNP Paribas Investment Partners (France)	BNP Paribas Etheis	485,329	0.01	12.14	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Euro Valeurs Durables	25,353	0.00	0.64	31-May-2013
BNP Paribas Investment Partners (France)	BNP Paribas Floreal 30	8,461	0.00	0.20	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Floreal 70	8,748	0.00	0.21	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Dynamique	4,292	0.00	0.11	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Equilibre	11,396	0.00	0.29	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Gestion Prudente	3,027	0.00	0.08	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Equity Best Selection Euro	494,480	0.01	12.26	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Equity Europe Energy	205,783	0.00	5.28	30-Apr-2013
BNP Paribas Investment Partners (France)	BNP Paribas L1 Sustainable Equity World	11,260	0.00	0.29	31-Oct-2012
BNP Paribas Investment Partners (France)	BNP Paribas Premium Global Dividend Fund	127,243	0.00	3.10	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2016-2018	13,344	0.00	0.33	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2019-2021	21,266	0.00	0.54	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2022-2024	26,945	0.00	0.67	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2025-2027	23,593	0.00	0.59	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 2028-2030	13,359	0.00	0.33	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 5	15,486	0.00	0.39	28-Feb-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 50	47,660	0.00	1.19	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite 75	110,112	0.00	2.75	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Retraite Horizon	262,413	0.00	6.57	31-Mar-2013
BNP Paribas Investment Partners (France)	BNP Paribas Revenus Diversifies	2,516	0.00	0.06	30-Nov-2012
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600	107,313	0.00	2.59	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Stoxx Europe 600 Oil & Gas	77,670	0.00	1.87	30-Jun-2013
BNP Paribas Investment Partners (France)	Parvest Equity Best Selection Europe	2,231,686	0.04	56.11	28-Feb-2013
BNP Paribas Investment Partners (France)	Parvest Equity Europe LS30	60,245	0.00	1.60	31-Jul-2012
BNP Paribas Investment Partners (France)	Parvest Equity UK Fund	73,369	0.00	1.73	31-May-2012
BNP Paribas Investment Partners (France)	Parvest Flexible Equity Europe	589,406	0.01	14.62	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track Europe	65,254	0.00	1.70	31-Mar-2013
BNP Paribas Investment Partners (France)	Parworld Track UK	213,474	0.00	5.25	31-Mar-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	11,723	0.00	0.32	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	12,740	0.00	0.34	31-Jan-2012
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas B Institutional I Balanced	13,040	0.00	0.32	31-Mar-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas B Pension Balanced	121,997	0.00	3.22	30-Apr-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas B Pension Growth	60,342	0.00	1.53	30-Jun-2012
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Balanced	12,279	0.00	0.31	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified Active Click Stability	4,380	0.00	0.11	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Balanced	89,053	0.00	2.25	31-May-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Growth	65,967	0.00	1.67	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World High Growth	19,092	0.00	0.48	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Diversified World Stability	15,245	0.00	0.38	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Opportunities World	8,353	0.00	0.22	30-Sep-2012
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W1	30,685	0.00	0.78	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W10	38,433	0.00	0.97	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W4	21,333	0.00	0.54	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Balanced W7	41,494	0.00	1.05	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W1	28,007	0.00	0.71	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W10	19,313	0.00	0.49	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W4	10,813	0.00	0.27	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Growth W7	13,673	0.00	0.35	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W1	1,727	0.00	0.05	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W10	1,487	0.00	0.04	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W4	301	0.00	0.01	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe High Growth W7	1,057	0.00	0.03	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W1	11,408	0.00	0.29	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W10	13,711	0.00	0.35	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W4	7,220	0.00	0.18	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Safe Stability W7	19,235	0.00	0.48	31-May-2013
BNP Paribas Investment Partners Belgium S.A.	Mitsubishi UFJ Earth Environment Business Stock Mother Fund	1,600	0.00	0.04	10-Dec-2012
BNP Paribas Investment Partners España SA SGIIC	BNP Paribas Global Conservative FI	1,949	0.00	0.05	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Aandelenfonds	80,051	0.00	2.07	31-Oct-2012
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Europafonds	194,850	0.00	4.91	30-Nov-2012
BNP Paribas Investment Partners Netherlands N.V.	ASR Fonds Nederlandfonds	1,236,790	0.02	31.19	30-Nov-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Netherlands N.V.	BNP PARIBAS L1 OBAM Equity World	199,920	0.00	5.04	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas All In Fund	59,879	0.00	1.45	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Europe Dividende	394,728	0.01	9.87	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas European High Dividend Growth Stock Mother Fund	60,000	0.00	1.64	07-Jan-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Global High Income Equity Fund	1,040,367	0.02	26.87	31-Dec-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Equity High Dividend World	28,882	0.00	0.77	31-Jan-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Equity Netherlands	1,073,097	0.02	27.08	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2015	1,106	0.00	0.03	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2018	2,384	0.00	0.06	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2020	5,259	0.00	0.14	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2022	3,719	0.00	0.10	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2025	9,066	0.00	0.23	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2028	3,785	0.00	0.10	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2030	8,816	0.00	0.23	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2032	3,760	0.00	0.10	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2035	7,180	0.00	0.19	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2038	1,609	0.00	0.05	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Lifecycle 2040	2,118	0.00	0.06	31-Aug-2012
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 2	6,451	0.00	0.17	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 3	66,804	0.00	1.70	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 4	114,467	0.00	2.91	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 5	43,243	0.00	1.12	30-Apr-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas L1 Model 6	18,702	0.00	0.47	31-May-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas Netherlands Fund	1,441,735	0.02	35.08	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	BNP Paribas OBAM N.V.	687,000	0.01	17.62	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Netherlands N.V.	IA Clarington Global Dividend Fund	55,848	0.00	1.36	31-Mar-2013
BNP Paribas Investment Partners Netherlands N.V.	Parvest Equity High Dividend Europe	1,436,101	0.02	37.52	31-May-2013
BNP Paribas Investment Partners SGR SpA	BNL Azioni Europa Dividendo	179,979	0.00	4.49	30-Jun-2013
BNP Paribas Investment Partners Singapore Limited		2,518,342	0.04	67.37	31-Oct-2011
BNP Paribas Investment Partners UK Limited	BNP Paribas L1 Equity Europe	1,289,227	0.02	32.54	31-May-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas L1 Equity Europe Growth	719,459	0.01	18.27	28-Feb-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund Balanced	12,134	0.00	0.32	31-May-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund Growth	12,025	0.00	0.32	30-Apr-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund High Growth	7,307	0.00	0.20	30-Apr-2013
BNP Paribas Investment Partners UK Limited	BNP Paribas Portfolio Fund Stability	9,515	0.00	0.25	30-Apr-2013
Exane Asset Management	Exane Equity Select Europe	75,810	0.00	1.96	31-Dec-2012
Insinger de Beaufort Asset Management N.V.	Insinger De Beaufort Equity Income Fund	116,772	0.00	2.99	30-Apr-2013
Total BNP Paribas		22,056,772	0.35	561.17	
Commerzbank					
Commerzbank AG		5,800	0.00	0.29	30-Jun-2013
Total Commerzbank		5,800	0.00	0.29	
Credit Suisse					
Credit Suisse (Deutschland) AG	Credit Suisse Vario MACS II	18,600	0.00	0.46	30-Jun-2013
Credit Suisse Asset Management	CS ETF (IE) on FTSE 100	303,822	0.00	8.21	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI Europe	71,503	0.00	1.93	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI UK	734,165	0.01	19.83	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	14,646	0.00	0.39	31-Jan-2013
Credit Suisse Asset Management	CS SICAV One (Lux) European Equity Dividend Plus	403,260	0.01	10.18	31-May-2013
Credit Suisse Asset Management	CS SICAV One (Lux) Global Equity Dividend Plus	42,072	0.00	1.06	31-May-2013
Credit Suisse Asset Management	CSIF Europe ex CH Index Blue	1,280,185	0.02	32.78	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	15,888	0.00	0.42	30-Sep-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	107,623	0.00	2.83	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	13,955	0.00	0.37	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	6,973	0.00	0.18	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	28,434	0.00	0.75	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	12,511	0.00	0.33	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	7,902	0.00	0.21	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	53,556	0.00	1.41	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	15,033	0.00	0.40	30-Sep-2012
Credit Suisse Asset Management	Csif Europe Ex Eurozone/Ch Index	3,310,493	0.05	84.76	31-May-2013
Credit Suisse Asset Management	NAB Aktien Europa ex CH (EUR)	318	0.00	0.01	31-May-2013
Credit Suisse Asset Management	Nikko World High Dividend Equity Mother Fund	187,000	0.00	4.72	20-May-2013
Credit Suisse Asset Management KAG mbH	CS Portfolio Plus	18,694	0.00	0.45	30-Jun-2013
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI UK Large Cap	252,421	0.00	6.81	31-Jan-2013
Credit Suisse Securities (USA) LLC		250,365	0.00	12.47	31-Mar-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity Europe (CN)	55,755	0.00	1.51	30-Apr-2012
Crédit Suisse Gestión S.G.I.I.C., S.A.	Abisal 72 SICAV SA	5,911	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Alialia SICAV SA	2,920	0.00	0.07	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Ars Valores SICAV SA	2,700	0.00	0.07	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Banyan Investment SICAV SA	701	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Branch de Inversiones SICAV SA	1,703	0.00	0.04	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Global Value Opportunities FI	6,000	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	CS Renta Variable Internacional FI	16,530	0.00	0.40	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Cartebanc SICAV SA	11,521	0.00	0.28	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Castellarri SICAV SA	2,304	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Chulapico SICAV SA	2,880	0.00	0.08	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Credit Suisse Equity Yield FI	6,913	0.00	0.17	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Crédit Suisse Gestión S.G.I.I.C., S.A.	Crisgar 2002 Investment SICAV SA	701	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Donados 2000 SICAV SA	3,306	0.00	0.08	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Fesis Responsabilidad Inversiones SICAV SA	1,000	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Fincapital SICAV SA	1,803	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Gurutze Inversiones SICAV SA	3,005	0.00	0.08	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Igelpa Inversiones Financieras SICAV SA	1,002	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inpisa Dos SICAV SA	4,909	0.00	0.12	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inversiones Arga SICAV SA	7,614	0.00	0.19	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inversiones Arno SICAV SA	1,002	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Inversiones Cerybo SICAV SA	1,650	0.00	0.04	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Isaga 2001 SICAV SA	5,911	0.00	0.14	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	La Abadia Inversiones SICAV SA	1,390	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Long Investor SICAV SA	2,505	0.00	0.06	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Lopbu-6 SICAV SA	2,304	0.00	0.05	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Millenium Invest 2000 SICAV SA	3,907	0.00	0.10	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Otrormar 9 SICAV SA	1,302	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Quemora Valores SICAV SA	4,909	0.00	0.12	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	SJJ Valores Corolypso SICAV SA	2,605	0.00	0.06	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Sapphire Inversiones SICAV SA	2,605	0.00	0.06	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Sauce Valores SICAV SA	1,202	0.00	0.03	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Tagalo de Inversiones SICAV SA	1,002	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Tundra 99 SICAV SA	801	0.00	0.02	31-Mar-2013
Crédit Suisse Gestión S.G.I.I.C., S.A.	Zanisa de Inversiones SICAV SA	3,206	0.00	0.08	31-Mar-2013
Total Credit Suisse		7,324,898	0.09	195.37	
DeKa Bank					
Deka Investment GmbH	Deka Arideka Fonds	4,000,000	0.06	106.57	31-Dec-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deka Investment GmbH	Deka BR 100	339,919	0.01	8.78	31-Dec-2012
Deka Investment GmbH	Deka BR 20	8,153	0.00	0.21	31-Dec-2012
Deka Investment GmbH	Deka BR 35	6,815	0.00	0.17	31-Dec-2012
Deka Investment GmbH	Deka BR 55	8,918	0.00	0.23	31-Dec-2012
Deka Investment GmbH	Deka BR 75	70,122	0.00	1.81	31-Dec-2012
Deka Investment GmbH	Deka BR 85	18,898	0.00	0.49	31-Dec-2012
Deka Investment GmbH	Deka Basisindustrie	3,011	0.00	0.08	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	2,071	0.00	0.05	31-Dec-2012
Deka Investment GmbH	Deka Dividendvalue Europa	116,979	0.00	3.02	30-Jun-2012
Deka Investment GmbH	Deka Europavalue	199,963	0.00	5.24	31-Dec-2012
Deka Investment GmbH	Deka GlobalChampions	68,792	0.00	1.78	31-Dec-2012
Deka Investment GmbH	Deka Institutionell Aktien Europa	17,408	0.00	0.45	31-Dec-2012
Deka Investment GmbH	Deka Keln-Aktien Global	13,200	0.00	0.35	31-Dec-2012
Deka Investment GmbH	Deka MegaTrends TF	12,800	0.00	0.34	31-Dec-2011
Deka Investment GmbH	Deka Naspa Aktienfonds	15,097	0.00	0.39	31-Dec-2012
Deka Investment GmbH	Deka Naspa Fonds	18,462	0.00	0.47	31-Dec-2012
Deka Investment GmbH	Deka PB Wertkonzept	2,050	0.00	0.05	30-Jun-2012
Deka Investment GmbH	Deka Recovery	3,607	0.00	0.09	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Balanced Fonds	4,999	0.00	0.13	31-Dec-2012
Deka Investment GmbH	Deka Sigma Plus Offensiv	13,974	0.00	0.36	30-Jun-2012
Deka Investment GmbH	Deka Spezial Fund	163,083	0.00	4.21	31-Dec-2012
Deka Investment GmbH	Deka Stiftungen Balance	33,433	0.00	0.87	31-Dec-2012
Deka Investment GmbH	Deka-DividendenStrategie CF (A)	84,600	0.00	2.19	31-Dec-2012
Deka Investment GmbH	Deka-Europa Aktien Spezial	495	0.00	0.02	31-Dec-2012
Deka Investment GmbH	Deka-EuropaGarant 80	44,974	0.00	1.18	31-Dec-2012
Deka Investment GmbH	Deka-Nachhaltigkeit Aktien	20,235	0.00	0.52	31-Dec-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deka Investment GmbH	Deka-Wertkonzept CF	110,000	0.00	3.02	31-Dec-2011
Deka Investment GmbH	Deka-bAV-Fonds	46,455	0.00	1.20	31-Dec-2012
Deka Investment GmbH	Dekalux Europa TF	720,000	0.01	18.85	31-Dec-2012
Deka Investment GmbH	Dekalux Global Value	20,532	0.00	0.52	30-Jun-2012
ETFlab Investment GmbH	ETFlab MSCI Europe	50,789	0.00	1.24	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI Europe LC	55,263	0.00	1.35	30-Jun-2013
ETFlab Investment GmbH	ETFlab STOXX Europe 50	15,553	0.00	0.38	30-Jun-2013
ETFlab Investment GmbH	ETFlab STOXX Europe Strong Style Composite 40	2,263	0.00	0.05	30-Jun-2013
ETFlab Investment GmbH	ETFlab STOXX Europe Strong Value 20	6,353	0.00	0.15	30-Jun-2013
Total DeKa Bank		6,319,266	0.10	166.82	
Deutsche Bank					
BHF-Bank (Schweiz) AG	BHF-Screener Tactical Equity	4,200	0.00	0.11	30-Jun-2012
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	634,488	0.01	15.50	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P Europe 350 Shariah UCITS ETF	15,782	0.00	0.38	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Leveraged Daily UCITS ETF	8,770	0.00	0.23	30-Apr-2013
DB Platinum Advisors	db x-trackers FTSE 100 Short Daily ETF	208,181	0.00	5.08	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 Super Short Daily UCITS ETF	7,191	0.00	0.17	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE 100 UCITS ETF	1,082,340	0.02	26.44	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-Share UCITS ETF	651,339	0.01	15.91	30-Jun-2013
DB Platinum Advisors	db x-trackers Global Fund Supporters UCITS ETF	3,083	0.00	0.08	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Index UCITS ETF	1,071,365	0.02	26.17	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Europe Value Index UCITS ETF	11,045	0.00	0.27	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Pan-Euro Index UCITS ETF	57,236	0.00	1.39	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI World Energy Index UCITS ETF	21,734	0.00	0.53	30-Jun-2013
DB Platinum Advisors	db x-trackers STOXX Europe 600 Oil & Gas UCITS ETF	252,483	0.00	6.08	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DB Platinum Advisors	db x-trackers STOXX Europe 600 UCITS ETF	257,103	0.00	6.19	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Europe 600 Oil & Gas Short Daily ETF	16,186	0.00	0.41	30-Apr-2013
DB Platinum Advisors	db x-trackers Stoxx Europe Christian UCITS ETF	3,347	0.00	0.08	30-Jun-2013
DB Platinum Advisors	db x-trackers Stoxx Global Select Dividend 100 UCITS ETF	141,796	0.00	3.54	30-Jun-2013
Deutsche Asset Management (Japan) Ltd.	Deutsche Eurostar Open	18,000	0.00	0.43	29-May-2012
Deutsche Asset Management Americas		344,373	0.01	17.34	31-Mar-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Deutschland Direkt 2014	143,600	0.00	3.56	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2014	234,700	0.00	5.82	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Direkt 2017	8,040	0.00	0.22	31-Jan-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Internationale Aktien Typ O	50,400	0.00	1.26	29-Feb-2012
Deutsche Asset Management Investmentgesellschaft mbH	DWS Invest Top Dividend Premium	33,200	0.00	0.82	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Lea Fonds	19,000	0.00	0.46	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds HAD Mitarbeiter II	6,500	0.00	0.17	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Fonds PVZ 1	700	0.00	0.02	31-Jan-2012
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	13,932	0.00	0.39	31-Dec-2011
Deutsche Asset Management Investmentgesellschaft mbH	DeAM-HAD-Mitarbeiter I	3,000	0.00	0.08	31-Mar-2012
Deutsche Asset Management Investmentgesellschaft mbH	Degef Fonds Bayer Mitarbeiter	51,000	0.00	1.32	31-Dec-2012
Deutsche Asset Management Investmentgesellschaft	Deutsche Bank Zins & Dividende - Offensiv	69,492	0.00	1.67	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
mbH					
Deutsche Asset Management Investmentgesellschaft mbH	Luxembourg Placement Fund - Bolle	12,758	0.00	0.31	31-Mar-2013
Deutsche Bank (Suisse) SA		1,806	0.00	0.05	31-Mar-2012
Deutsche Bank Private Wealth Management Limited		785,693	0.01	21.11	22-Jul-2013
Deutsche Bank Securities Inc.		5,200	0.00	0.26	31-Mar-2013
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Material & Energy Fund	88,183	0.00	2.32	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Energy Typ O	63,650	0.00	1.53	30-Jun-2013
DWS Investment GmbH	Basler-International DWS	40,000	0.00	0.96	30-Jun-2013
DWS Investment GmbH	DWS Akkumula Fund	1,300,000	0.02	31.29	30-Jun-2013
DWS Investment GmbH	DWS Austria Vermogensbildungsfonds	16,500	0.00	0.42	30-Apr-2013
DWS Investment GmbH	DWS Balance	13,600	0.00	0.35	31-May-2013
DWS Investment GmbH	DWS E.ON Aktienfonds	20,000	0.00	0.48	30-Jun-2013
DWS Investment GmbH	DWS Europe Dynamic	43,000	0.00	1.03	30-Jun-2013
DWS Investment GmbH	DWS Garant Top Dividende 2018	2,689	0.00	0.07	31-Aug-2012
DWS Investment GmbH	DWS Global Equity Fund	4,478	0.00	0.11	30-Jun-2013
DWS Investment GmbH	DWS Global Value	450,000	0.01	11.15	28-Feb-2013
DWS Investment GmbH	DWS International Fund	666,000	0.01	16.61	30-Jun-2013
DWS Investment GmbH	DWS Invest European Value	335,000	0.01	8.21	30-Jun-2013
DWS Investment GmbH	DWS Invest Global Equities	28,500	0.00	0.72	31-May-2013
DWS Investment GmbH	DWS Invest Global Value	22,500	0.00	0.56	28-Feb-2013
DWS Investment GmbH	DWS Invest II European Top Dividend	5,400	0.00	0.14	28-Feb-2013
DWS Investment GmbH	DWS Invest StepIn Akkumula	14,000	0.00	0.34	30-Jun-2013
DWS Investment GmbH	DWS Invest Top Dividend	1,175,123	0.02	29.12	28-Feb-2013
DWS Investment GmbH	DWS Lowen-Aktienfonds	18,500	0.00	0.44	30-Jun-2013
DWS Investment GmbH	DWS Sachwerte	93,000	0.00	2.24	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DWS Investment GmbH	DWS Stiftungsfonds	73,000	0.00	1.76	30-Jun-2013
DWS Investment GmbH	DWS Top Dividende	6,458,692	0.10	160.05	28-Feb-2013
DWS Investment GmbH	DWS Variable Series I-International VIP	120,000	0.00	2.99	30-Jun-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	35,100	0.00	0.84	30-Jun-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	2,250,000	0.04	54.15	30-Jun-2013
DWS Investment GmbH	DWS Vermogensmandat - Dynamik	88,565	0.00	2.13	30-Jun-2013
DWS Investment GmbH	DWS Vorsorge (Dynamik)	93,300	0.00	2.25	30-Jun-2013
DWS Investment GmbH	DWS Vorsorge AS (Flex)	38,400	0.00	0.93	30-Jun-2013
DWS Investment GmbH	DWS Zukunftsstrategie Aktien	2,000	0.00	0.05	30-Jun-2013
DWS Investment GmbH	DWS Zurich Invest Global	18,000	0.00	0.46	30-Apr-2013
DWS Investment GmbH	Multi Opportunities III	50,000	0.00	1.21	30-Jun-2013
DWS Investment GmbH	Nord Est Fund - Azionario Globale	14,540	0.00	0.38	31-Dec-2012
DWS Investment S.A.	DWS Etoile	34,144	0.00	0.87	31-May-2013
DWS Investments	DWS Europaische Aktien Typ O	446,000	0.01	11.13	30-Jun-2013
DWS Investments	DWS Invest Real Assets (CN)	600	0.00	0.02	30-Sep-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	Bargeld SICAV SA	2,000	0.00	0.05	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	DWS Europa Bolsa FI	15,120	0.00	0.40	31-Mar-2012
DWS Investments (Spain), S.G.I.I.C., S.A.	Salamanca I SICAV S.A.	1,173	0.00	0.03	31-Mar-2013
DWS Investments (Spain), S.G.I.I.C., S.A.	Wolysen Inversiones SICAV SA	1,416	0.00	0.04	31-Mar-2013
Frankfurt-Trust Investment-Gesellschaft mbH	BHF TRUST Dividendenorientiertes Value Portfolio	42,000	0.00	1.04	28-Feb-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT Europa Dynamik Fonds	54,000	0.00	1.30	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT FlexInvest Pro (P)	4,400	0.00	0.11	30-Apr-2013
Frankfurt-Trust Investment-Gesellschaft mbH	FT Interspezial	32,000	0.00	0.77	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Frankfurt Invest Postbank Balanced Fund	18,000	0.00	0.43	30-Jun-2013
Frankfurt-Trust Investment-Gesellschaft mbH	Frankfurt Trust MPF Waterville	3,000	0.00	0.08	30-Apr-2013
Northern Trust Global Investments	DWS EAFFE Equity Index Fund	130,042	0.00	3.17	30-Jun-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Oppenheim Kapitalanlagegesellschaft mbH	OP Value European Equities	3,700	0.00	0.09	30-Nov-2012
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Exklusiv Aktien Select	50,000	0.00	1.33	31-Oct-2011
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Global Securities	7,695	0.00	0.19	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Fonds Santander Mix OP	420	0.00	0.01	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Oppenheim Global-Invest Fund	21,497	0.00	0.55	30-Apr-2013
Oppenheim Kapitalanlagegesellschaft mbH	Santander Europäische Aktien OP	19,307	0.00	0.47	31-Mar-2013
Oppenheim Kapitalanlagegesellschaft mbH	Vermoegensmanagement Rendite OP	29,158	0.00	0.71	31-Mar-2013
QS Investors, LLC	DWS Lifecycle Long Range Fund	24,757	0.00	0.69	30-Apr-2012
QS Investors, LLC	DWS Var. Series II - Diversified International Equity VIP	5,527	0.00	0.14	30-Jun-2013
Total Deutsche Bank		20,836,739	0.33	522.72	
DZ Bank					
DZ Privatbank S.A.		1,354,336	0.02	34.99	21-Dec-2012
IPConcept (Luxemburg) S.A.	BS Best Strategies UL Fd - Trend & Value	40,000	0.00	1.03	31-Dec-2012
IPConcept (Luxemburg) S.A.	Generations Global Growth - Fonds I	7,700	0.00	0.20	30-Sep-2012
IPConcept (Luxemburg) S.A.	Stuttgarter-Dividendenfonds	50,700	0.00	1.27	31-Mar-2013
IPConcept (Luxemburg) S.A.	Stuttgarter Energiefonds	42,200	0.00	1.03	31-Mar-2013
Union Investment Group	FVB-Deutscher Aktienfonds	20,100	0.00	0.49	31-Mar-2013
Union Investment Group	KCD-Union Nachhaltig Mix	0	0.00	0.00	30-Sep-2012
Union Investment Group	MVB Union Global Plus	14,400	0.00	0.35	31-Mar-2013
Union Investment Group	UniGlobal II	2,700	0.00	0.07	31-Mar-2013
Union Investment Group	UniMarktführer	58,908	0.00	1.43	31-Mar-2013
Union Investment Group	UniProInvest: Aktien	34,446	0.00	0.84	31-Mar-2013
Union Investment Group	UniValueFonds Europa	268,000	0.00	6.59	31-Mar-2013
Union Investment Group	UniValueFonds Global	467,990	0.01	11.39	31-Mar-2013
Union Investment Group	Unidividenden ASS	642,100	0.01	15.63	31-Mar-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Union Investment Group	Unieuropa	467,744	0.01	11.38	31-Mar-2013
Union Investment Group	Union Investment GenoAS 1	112,777	0.00	2.74	31-Mar-2013
Union Investment Group	Union Investment Invest Global	47,330	0.00	1.16	31-Mar-2013
Union Investment Group	Union Investment SUDWESTBANK-InterShare	6,100	0.00	0.15	31-Mar-2013
Union Investment Group	Union Investment Uni21 Jahrhundert -net-	260,843	0.00	6.35	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	3,990,420	0.06	97.65	31-Mar-2013
Union Investment Group	Union Investment UniGlobal -net-	320,100	0.01	7.83	31-Mar-2013
Union Investment Group	Union investment UniEuropa -net-	362,392	0.01	8.82	31-Mar-2013
Total DZ Bank		8,571,286	0.14	211.38	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Energy	263,943	0.00	6.72	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Euro High Dividend	1,480,353	0.02	37.86	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Europe Opportunities	816,809	0.01	20.62	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Global Opportunities	241,579	0.00	6.10	31-May-2013
ING Investment Management (Netherlands)	ING (L) Patrimonial First Class Multi Asset	58,060	0.00	1.48	30-Apr-2013
ING Investment Management (Netherlands)	ING Direct Dividendo Arancio	121,202	0.00	3.06	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	434	0.00	0.02	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	5,581	0.00	0.16	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	27,064	0.00	0.76	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	45,024	0.00	1.25	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	15,829	0.00	0.44	31-May-2013
ING Investment Management (Netherlands)	ING Energy Fonds	108,864	0.00	2.79	31-May-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend Fund	33,101	0.00	0.80	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend and Premium Opportunity Fund	440,455	0.01	10.60	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Opportunities Basis Fonds	261,414	0.00	6.72	31-Jul-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management (Netherlands)	ING Global Opportunities Fund	238,981	0.00	6.03	31-May-2013
ING Investment Management (Netherlands)	ING Global Opportunities Fund	16,958	0.00	0.41	30-Jun-2013
ING Investment Management (Netherlands)	ING L Invest Global High Dividend	251,877	0.00	6.35	31-May-2013
ING Investment Management (Netherlands)	ING Nederland Basis Fonds	2,773,116	0.04	69.99	31-May-2013
ING Investment Management (Netherlands)	NN Nederland Fonds	1,656,750	0.03	41.06	28-Feb-2013
ING Investment Management (Netherlands)	Star Fund	1,000,000	0.02	26.72	31-Jan-2013
ING Investment Management Co. LLC	ING (L) Invest US (Enhanced Core Concentrated)	16,671	0.00	0.84	31-May-2013
ING Investment Management Co. LLC	ING Balanced Portfolio	60,556	0.00	1.61	30-Jun-2013
ING Investment Management Co. LLC	ING FTSE 100 Index Portfolio	1,422,232	0.02	35.01	31-Mar-2013
ING Investment Management Co. LLC	ING Global Natural Resources Fund	13,173	0.00	0.63	30-Jun-2013
ING Investment Management Co. LLC	ING Global Resources Portfolio	108,342	0.00	5.21	30-Jun-2013
ING Investment Management Co. LLC	ING Index Plus LargeCap Portfolio	45,839	0.00	2.20	30-Jun-2013
ING Investment Management Co. LLC	ING Index Plus International Equity Fund	27,839	0.00	0.73	31-Dec-2012
ING Investment Management Co. LLC	ING International High Dividend Equity Income Fund	33,167	0.00	0.83	30-Jun-2013
ING Investment Management Co. LLC	ING International Index Portfolio	237,651	0.00	5.80	30-Jun-2013
ING Investment Management Co. LLC	ING International Value Choice Fund	12,872	0.00	0.31	30-Jun-2013
ING Investment Management Co. LLC	ING International Value Equity Fund	125,084	0.00	3.01	30-Jun-2013
ING Investment Management Co. LLC	ING International Value Fund	403,198	0.01	9.81	31-Mar-2013
ING Investment Management Co. LLC	ING International Value Portfolio	119,043	0.00	2.86	30-Jun-2013
ING Investment Management Co. LLC	ING Large Cap Value Fund	62,962	0.00	3.03	30-Jun-2013
ING Investment Management Co. LLC	ING Large Cap Value Portfolio	62,962	0.00	3.03	30-Jun-2013
ING Investment Management Co. LLC	ING Risk Managed Natural Resources Fund	12,327	0.00	0.60	30-Jun-2013
ING Investment Management Korea Ltd.	ING Euro Dividend Equity Class A	264	0.00	0.01	31-Mar-2013
ING Investments, LLC (Arizona)	ING Value Choice Fund	38,753	0.00	1.86	30-Jun-2013
ING Securities Investment & Trust Co., Ltd.	ING Europe High Dividend Fund	7,384	0.00	0.19	31-Dec-2012
ING Securities Investment & Trust Co., Ltd.	ING Global Equity Fund	6,085	0.00	0.16	31-Dec-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Total ING		12,673,798	0.20	327.66	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Euro Cyclical	21,972	0.00	0.57	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Europe	29,927	0.00	0.75	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Oil	120,359	0.00	3.08	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund Quant Europe	55,774	0.00	1.56	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund World	3,799	0.00	0.10	31-May-2013
KBC Asset Management N.V.	KBC Fivest Euroland	7,211	0.00	0.20	31-Dec-2011
KBC Asset Management N.V.	KBC Index Fund Europe	53,137	0.00	1.36	31-May-2013
KBC Asset Management N.V.	KBC Index Fund World	21,288	0.00	0.54	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Euro Satellite Equity	155,352	0.00	3.98	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund European Equity	3,426	0.00	0.09	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	291	0.00	0.01	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	4,151	0.00	0.11	31-May-2013
KBC Asset Management N.V.	KBC Multi Track Funds Netherlands	141,176	0.00	3.57	31-May-2013
KBC Asset Management N.V.	KBC Plato Institutional Index Fund European Equity	153,023	0.00	3.92	31-May-2013
KBC Asset Management N.V.	Pricos	126,502	0.00	3.48	31-Dec-2011
KBC Asset Management N.V.	Pricos Defensive	4,351	0.00	0.12	31-Dec-2011
KBC Asset Management N.V.	Sivek Global Low Fund	1,093	0.00	0.03	31-Dec-2011
KBC Asset Management N.V.	Sivek Global Medium Fund	9,214	0.00	0.26	31-Dec-2011
KBC Fund Management Limited	Centea Fund World Select	4,054	0.00	0.11	31-Dec-2011
KBC Fund Management Limited	KBC Equity Fund Buyback Europe	123,075	0.00	3.15	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Global Leaders	4,514	0.00	0.12	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	4,934	0.00	0.13	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Quant Global 1	2	0.00	0.00	31-May-2013
KBC Fund Management Limited	Sivek Global High Fund	3,391	0.00	0.09	31-Dec-2011

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Kleinwort Benson Investors Dublin Ltd	KBC Equity Fund High Dividend	31,076	0.00	0.78	31-May-2013
Total KBC		1,083,092	0.02	28.09	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH	MEAG EuroBalance	26,979	0.00	0.66	31-Mar-2013
Total Munich Re		26,979	0.00	0.66	
UBS					
CCR Asset Management	CCR Convertibles Euro	85	0.00	0.00	28-Feb-2013
CCR Asset Management	CCR Flex Alpha Europe	6,595	0.00	0.17	28-Feb-2013
UBS (Luxembourg) S.A.		2,885,163	0.05	74.64	10-Jul-2013
UBS Gestión, S.G.I.I.C., S.A.	Anta Gestión Patrimonial SICAV SA	2,000	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Braininvest SICAV S.A.	1,000	0.00	0.02	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Burmas Inversiones SICAV SA	1,500	0.00	0.04	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Ditesco Cartera SICAV SA	1,500	0.00	0.04	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Dobla Cartera SICAV SA	1,500	0.00	0.04	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Goalcia 2001 SICAV SA	2,004	0.00	0.05	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Hena Tres SA SICAV	2,800	0.00	0.07	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	IGVF FI	2,405	0.00	0.06	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Impact Inversiones SICAV SA	1,500	0.00	0.04	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Inverpolo Veintiuno SICAV SA	1,150	0.00	0.03	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Laver Investment Sicav SA	1,500	0.00	0.04	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Red Jungle Participaciones SICAV SA	4,000	0.00	0.10	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Tebas Investment FI	3,500	0.00	0.08	31-Mar-2013
UBS Gestión, S.G.I.I.C., S.A.	Xabek Investment SICAV SA	2,000	0.00	0.05	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity Fund - Euro Countries Multi Strategy	308,727	0.00	7.91	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity Fund - European Growth	35,858	0.00	0.96	31-Jan-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity SICAV - European High Dividend	124,632	0.00	3.29	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Americas), Inc.	UBS (LUX) Equity SICAV European Quantitative	2,633	0.00	0.07	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity SICAV - Global Growth (USD) P-acc	8,288	0.00	0.21	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS Global (ex-U.S.) All Cap Growth Relationship Fund	16,925	0.00	0.41	31-Mar-2013
UBS Global Asset Management (Americas), Inc.	UBS International Equity Fund	4,222	0.00	0.11	31-Mar-2013
UBS Global Asset Management (Canada) Inc.	Ferique European Fund	91,879	0.00	2.21	30-Jun-2013
UBS Global Asset Management (Deutschland) GmbH	UBS (D) Mesina Aktienfonds	69,300	0.00	1.79	31-Dec-2012
UBS Global Asset Management (Switzerland)	BPER International Sicav - Equity Europe	44,405	0.00	1.14	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - European Equities	157,902	0.00	4.27	31-Jan-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - Global Equities	86,919	0.00	2.34	31-Jan-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	16,458	0.00	0.87	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Energy	285,883	0.00	7.33	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - European Opportunity	239,890	0.00	6.15	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe (ex Switzerland)	98,378	0.00	2.53	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Europe Passive	1,436,462	0.02	37.27	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	26,878	0.00	0.69	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	5,047	0.00	0.13	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	2,993	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	26,419	0.00	0.69	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	4,723	0.00	0.12	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	3,002	0.00	0.08	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Global High Dividend (USD)	215,098	0.00	5.68	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (LUX) Institutional Fund Euro Equity	235,521	0.00	6.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Energy (USD) P Acc	12,620	0.00	0.32	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Inst SICAV - Alpha Choice EP	9,100	0.00	0.46	30-Jun-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Institutional Fund Key Selection European	682,088	0.01	17.49	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	Equity				
UBS Global Asset Management (Switzerland)	UBS (Lux) Key Selection SICAV - Absolute Return Plus (CHF) P	9,804	0.00	0.26	30-Sep-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Key Selection SICAV Global Allocation (EUR)	164,089	0.00	4.21	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	68,921	0.00	1.79	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	50,622	0.00	1.31	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	14,602	0.00	0.38	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	5,054	0.00	0.13	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	6,580	0.00	0.17	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	4,639	0.00	0.12	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	1,658	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	26,323	0.00	0.69	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	7,192	0.00	0.19	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	1,848	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	34,437	0.00	0.90	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	12,012	0.00	0.31	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	3,983	0.00	0.11	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	16,420	0.00	0.43	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	15,913	0.00	0.41	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	2,165	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	1,861	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	15,214	0.00	0.39	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	12,150	0.00	0.32	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	1,991	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS Inv Funds ICVC III UBS Global Allocation Fund (UK)	449,446	0.01	11.86	30-Apr-2013

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (UK) Ltd.	Amonis Equity Europe	110,303	0.00	3.09	31-Dec-2011
UBS Global Asset Management (UK) Ltd.	SMA Relationship Trust Series A	82	0.00	0.00	31-Mar-2012
UBS Global Asset Management (UK) Ltd.	Skandia Multimanager Trust UK Securities Fund	540,820	0.01	14.35	31-Mar-2012
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI World (USD)	3,682	0.00	0.10	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Equity Fund - Great Britain	127,839	0.00	3.37	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Equity Fund European Opportunity	419,341	0.01	10.75	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Equity SICAV European Opportunity Unconstrained	25,005	0.00	0.63	30-Nov-2012
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection SICAV 2 - European Equities Unconstr	15,206	0.00	0.39	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Key Selection Sicav - European Core Equities	499,628	0.01	12.81	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Lux) Structured SICAV - Multi Manager Guaranteed 2013 (19,116	0.00	0.47	31-Mar-2013
UBS Global Asset Management (UK) Ltd.	UBS ETF MSCI Europe	60,266	0.00	1.56	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS European Equity Fund	20,992	0.00	0.55	30-Sep-2012
UBS Global Asset Management (UK) Ltd.	UBS UK Equity Income Fund	29,517	0.00	0.77	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS UK Opportunities Fund	38,497	0.00	1.02	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF FTSE 100	648,627	0.01	16.82	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	122,908	0.00	3.19	30-Apr-2013
UBS Hana Asset Management Company Ltd.	Hana UBS Europe Equity 1 Class A	5,190	0.00	0.13	31-Mar-2013
UBS Securities LLC		1,617,907	0.03	80.27	31-Mar-2013
UBS Wealth Management AG (Switzerland)		79	0.00	0.01	31-Mar-2013
Total UBS		12,404,381	0.20	360.60	
UniCredit					
Pioneer Investment Management Ltd.	Daiwa European Good Dividend Equity Mother Fund	29,528	0.00	0.75	10-May-2013
Pioneer Investment Management Ltd.	Pioneer Azionario Europa	105,355	0.00	2.83	30-Apr-2012

Financial Institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Pioneer Investment Management Ltd.	Pioneer Azionario Valore Europa a Distribuzione	81,611	0.00	2.10	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - European Equity Value	155,581	0.00	3.99	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Austria Select Europe Stock	159,623	0.00	4.03	31-May-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	77,290	0.00	2.00	30-Jun-2012
Pioneer Investment Management, Inc.	Pioneer Multi-Asset Income Fund	28,181	0.00	0.68	30-Jun-2013
Pioneer Investment Management, Inc.	Pioneer S.F. - European Equity Market Plus (EUR)	280,493	0.00	7.27	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Nordinvest Nordglobal	59	0.00	0.00	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Funds - European Equity Target Income A	94,602	0.00	2.43	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Funds - Global Diversified Equity	67,202	0.00	1.64	31-Mar-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv Top World	394	0.00	0.01	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer Inv VPV Spezial	14,080	0.00	0.36	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Pioneer N-Fonds Nr. 1 Europa	8,294	0.00	0.21	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermoegensportfolio KI 100 PI 1	11,214	0.00	0.29	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermoegensportfolio KI 70 PI 4	19,547	0.00	0.50	30-Apr-2013
Pioneer Investments Kapitalanlagegesellschaft mbH	Private Banking Vermögensportfolio Klassik 50 PI	18,209	0.00	0.47	30-Apr-2013
Total UniCredit		1,151,263	0.02	29.53	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Vale

Table 132 provides an overview of the shares of Vale which were owned or managed by the selected financial institutions at the most recent filing date.

Table 132 Shareholders of Vale

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Kapitalanlagegesellschaft	Allianz BRIC Equity	247,000	0.00	3.03	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz BRIC Stars	285,400	0.01	3.50	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz BRIC Stars Fund	1,930,900	0.04	28.40	30-Jun-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Brazil Fund	181,800	0.00	2.48	31-May-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global Emerging Markets Equity	117,612	0.00	1.20	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Global High Payout Fund	35,000	0.00	0.55	31-Dec-2012
Allianz Global Investors Kapitalanlagegesellschaft	Allianz RCM Global Metals and Mining	4,469,900	0.08	57.58	30-Apr-2013
Allianz Global Investors Kapitalanlagegesellschaft	Allianz Rohstoffonds	3,668,300	0.07	50.66	31-Oct-2012
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	11,946	0.00	0.12	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	18,059	0.00	0.18	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Skandia BRIC	90,657	0.00	1.18	31-Mar-2013
Allianz Global Investors Korea Ltd.	Allianz RCM BRICs Equity 1 Class A	49,900	0.00	0.62	31-Mar-2013
Allianz Global Investors Korea Ltd.	Allianz RCM BRICs Mixed 1 Class CE	74	0.00	0.00	31-Mar-2013
Allianz Global Investors Taiwan Ltd.	Allianz Global Investors Global Emerging Markets Fund	158,251	0.00	2.50	31-Dec-2012
Allianz Global Investors U.S. LLC	Allianz Azioni Paesi Emergenti	67,000	0.00	0.66	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI Emerging Markets Opportunities Fund	28,400	0.00	0.28	30-Jun-2013
Allianz Global Investors U.S. LLC	AllianzGI Global Equity & Convertible Income Fund	32,439	0.00	0.32	30-Jun-2013
Allianz Popular Asset Management, SGIIC, S.A.	Eurovalor Recursos Naturales FI	10,018	0.00	0.13	31-Mar-2013
NFJ Investment Group LLC	AZL NFJ International Value Fund	136,000	0.00	1.35	30-Jun-2013
NFJ Investment Group LLC	Allianz Global Equity Dividend	278,700	0.01	3.02	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
NFJ Investment Group LLC	AllianzGI NFJ Emerging Markets Value Fund	2,700	0.00	0.03	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ Global Dividend Value Fund	83,800	0.00	0.83	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value Fund	3,317,100	0.06	32.88	30-Jun-2013
NFJ Investment Group LLC	AllianzGI NFJ International Value II Fund	2,600	0.00	0.02	30-Jun-2013
PIMCO (US)	Hirtle Callaghan Trust Commodity Returns Strategy Portfolio	182,414	0.00	2.79	31-Dec-2012
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	10,268	0.00	0.10	30-Jun-2013
RCM (UK) Ltd.	Allianz Brazil Equity - AT - USD	63,800	0.00	0.78	30-Apr-2013
RCM Capital Management LLC		6,150,148	0.12	79.66	31-Mar-2013
Total Allianz		21,630,186	0.41	274.86	
Belfius					
Dexia Asset Management Belgium S.A.	Dexia Equities B BRIC	204,400	0.00	1.92	30-Jun-2013
Dexia Asset Management Belgium S.A.	Dexia Equities L Sustainable Emerging Markets	268,500	0.01	2.54	30-Jun-2013
Total Belfius		472,900	0.01	4.46	
BNP Paribas					
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Acores FIA	90,960	0.00	1.39	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Aurora FIM	18,427	0.00	0.25	30-Sep-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Brazil Stock Mother Fund	2,535,600	0.05	33.24	12-Nov-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Brazil Stock Open Mother Fund	80,000	0.00	1.20	21-Jan-2013
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Energie FIM Previdenciaro	3,397	0.00	0.05	31-Dec-2011
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas FI Advance Acoes Previdenciaro	860,004	0.02	11.59	30-Sep-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas FIA Mirante IBrX	209,590	0.00	3.26	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Grand Prix FIA	112,482	0.00	1.73	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Hedge Classique FIM LP	34,678	0.00	0.46	30-Sep-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Long and Short FIM	316,987	0.01	4.93	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Nova York FIM Previdenciaro	60,229	0.00	0.93	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas PGBL Dinamico FIM Previdenciaro	7,296	0.00	0.11	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas PGBL Moderado FIM Previdenciaro	6,464	0.00	0.10	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Parthenon FIM Previdenciaro	12,447	0.00	0.19	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Piemonte FIM (CN)	14,763	0.00	0.26	31-Mar-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Saturno FIA (CN)	128,884	0.00	1.92	30-Jun-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Smart FIM	3,458	0.00	0.05	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Sul Energia Fundo de Investimento em Acoes	233,187	0.00	3.60	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Target FIA	10,833	0.00	0.17	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	BNP Paribas Volt FI Previdenciário Multimercado	5,427	0.00	0.08	30-Sep-2012
BNP Paribas Asset Management Brasil Ltda.	Bosch Brasil IV FIM Previdenciaro	69,690	0.00	1.09	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	Crossfox FIA Previdenciaro	222,947	0.00	3.46	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	ENERPREV IBRX-100 (A) FIA	186,839	0.00	2.54	30-Sep-2012
BNP Paribas Asset Management Brasil Ltda.	FIA Multiply Variable	143,849	0.00	1.93	30-Sep-2012
BNP Paribas Asset Management Brasil Ltda.	FIA Toulouse	403,622	0.01	6.24	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	FMP BNP Paribas FGTS Vale Do Rio Doce	116,742	0.00	1.82	31-Dec-2012
BNP Paribas Asset Management Brasil Ltda.	Gerdau Previdencia FIA 1	385,605	0.01	5.18	30-Sep-2012
BNP Paribas Asset Management, Inc.	BNP Paribas BRIC	165,659	0.00	2.36	28-Feb-2013
BNP Paribas Asset Management, Inc.	BNP Paribas L1 Equity World Emerging	1,249,667	0.02	17.92	28-Feb-2013
BNP Paribas Asset Management, Inc.	Parvest Equity BRIC	898,531	0.02	11.56	31-Mar-2013
BNP Paribas Asset Management, Inc.	Parvest Equity Brazil	2,706,089	0.05	28.81	31-May-2013
BNP Paribas Asset Management, Inc.	Parvest Equity Latin America	1,567,862	0.03	16.50	31-May-2013
BNP Paribas Investment Partners (France)	EasyETF DJ BRIC 50	61,815	0.00	0.59	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF DJ Brazil 15	294,269	0.01	2.78	30-Jun-2013
BNP Paribas Investment Partners Asia Ltd.		119,423	0.00	1.53	31-Mar-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas L1 Opportunities World	29,006	0.00	0.39	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners Netherlands N.V.		17,000	0.00	0.22	31-Mar-2013
BNP Paribas Securities Corp. North America		16,813,787	0.32	213.96	31-Mar-2013
Shinhan BNP Paribas Asset Management Co., Ltd.	SH BNPP Focus Emerging Raw Materials Equity H-A1	16,124	0.00	0.21	31-Mar-2013
Total BNP Paribas		30,213,639	0.57	384.60	
Commerzbank					
Commerzbank AG		381,666	0.01	3.71	30-Jun-2013
Total Commerzbank		381,666	0.01	3.71	
Credit Suisse					
Credit Suisse (Brasil) DTVM S.A.	Credit Suisse Proprio FIA	97,000	0.00	1.27	30-Sep-2012
Credit Suisse (Brasil) DTVM S.A.	Credit Suisse IBX E FIA (CN)	8,592,273	0.16	107.19	31-Mar-2012
Credit Suisse Asset Management	CS ETF (IE) on MSCI Brazil	468,700	0.01	6.98	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI EM Latin America	84,500	0.00	1.26	31-Jan-2013
Credit Suisse Asset Management	CS ETF (Lux) on MSCI Emerging Markets	1,152,300	0.02	14.44	30-Apr-2013
Credit Suisse Asset Management	CS Index Fund (LUX) Equities Emerging Markets Fund	76,000	0.00	1.13	31-Jan-2013
Credit Suisse Asset Management	CS SICAV One (Lux) Equity Global Emerging Markets	690,500	0.01	7.49	31-May-2013
Credit Suisse Asset Management	CS Solutions (Lux) Megatrends B USD	75,000	0.00	0.76	31-May-2013
Credit Suisse Asset Management	Credit Suisse Fund (Lux) Global Responsible Equities	50,000	0.00	0.54	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	14,270	0.00	0.19	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	55,305	0.00	0.74	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	6,953	0.00	0.09	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	5,740	0.00	0.08	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	16,900	0.00	0.23	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	7,874	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	9,892	0.00	0.13	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	35,894	0.00	0.47	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	9,194	0.00	0.12	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Csif Emerging Market Index	2,984,300	0.06	30.84	31-May-2013
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Brothers 2 FIA Investimento No Exterior	12,100	0.00	0.17	30-Sep-2012
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Centro Acoes FIA	9,400	0.00	0.13	30-Sep-2012
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Clique FIA	830,300	0.02	12.50	31-Dec-2012
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Commodities FIM Credito Privado	14,000	0.00	0.21	31-Dec-2012
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Extratos FIC FIM	18,200	0.00	0.28	31-Dec-2011
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Performance FIA	9,300	0.00	0.13	30-Sep-2012
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Phiren FIA	25,050	0.00	0.33	30-Sep-2012
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG RLprev FIA	30,700	0.00	0.41	30-Sep-2012
Credit Suisse Hedging-Griffo Asset Management S.A.	CSHG Strategy II Master FIA	18,900	0.00	0.26	30-Sep-2012
Credit Suisse Securities (USA) LLC		18,090,404	0.34	230.87	31-Mar-2013
Total Credit Suisse		33,490,949	0.63	419.34	
DeKaBank					
Deka Investment GmbH	Deka Basisindustrie	3,000	0.00	0.05	30-Jun-2012
Deka Investment GmbH	Deka Basisindustrie CF	2,000	0.00	0.03	31-Dec-2012
Deka Investment GmbH	Deka GlobalChampions	69,249	0.00	1.04	31-Dec-2012
Deka Investment GmbH	Deka Keln-Aktien Global	5,800	0.00	0.09	31-Dec-2012
DekaBank Deutsche Girozentrale		535,900	0.01	6.75	31-Mar-2013
Total DeKaBank		615,949	0.01	7.95	
Deutsche Bank					
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	4,260	0.00	0.04	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI BRIC Index UCITS ETF	16,957	0.00	0.16	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI Brazil Index UCITS ETF	1,975,337	0.04	18.60	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM LatAm Index UCITS ETF	1,391,655	0.03	13.11	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Materials Index UCITS ETF	220,761	0.00	2.08	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI EM Short Daily Index UCITS ETF	11,085	0.00	0.11	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
DB Platinum Advisors	db x-trackers MSCI Emerging Markets Index UCITS ETF	2,730,468	0.05	25.72	30-Jun-2013
Deutsche Asset Management Americas		2,856,063	0.05	36.10	31-Mar-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende Emerging Markets Direkt 2015	160,000	0.00	2.29	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	DeAM Manager Select Global Equities	3,630	0.00	0.05	31-Dec-2011
Deutsche Bank Private Wealth Management Limited		405,878	0.01	5.13	31-Mar-2013
Deutsche Bank Securities Inc.		1,213,922	0.02	15.82	31-Mar-2013
Deutsche Far Eastern Asset Management Co. Ltd.	Deutsche Far Eastern DWS Global Material & Energy Fund	15,137	0.00	0.23	31-Dec-2012
Deutsche Investment Management Americas, Inc.	DWS Global Metals & Mining Typ O	205,450	0.00	1.94	30-Jun-2013
DWS Investment GmbH	DWS Brazil	711,300	0.01	9.45	30-Sep-2012
DWS Investment GmbH	DWS Concept Kaldemorgen	500,000	0.01	4.96	30-Jun-2013
DWS Investment GmbH	DWS Global Emerging Markets Balance Portfolio	130,000	0.00	1.29	30-Jun-2013
DWS Investment GmbH	DWS Invest BRIC Plus	1,000,000	0.02	9.14	30-Jun-2013
DWS Investment GmbH	DWS Invest Emerging Markets Top Dividend Plus	120,000	0.00	1.19	30-Jun-2013
DWS Investment GmbH	DWS Invest Global Equities	66,000	0.00	0.72	31-May-2013
DWS Investment GmbH	DWS Invest Latin American Equities	133,100	0.00	1.21	30-Jun-2013
DWS Investment GmbH	DWS Invest Responsibility	5,300	0.00	0.08	28-Feb-2013
DWS Investment GmbH	DWS Latin America Equity Fund	1,196,237	0.02	10.93	30-Jun-2013
DWS Investment GmbH	DWS Vermoegensbildungsfonds I (Lux)	27,500	0.00	0.27	30-Jun-2013
DWS Investment GmbH	DWS Vermogensbildungsfonds I	1,750,000	0.03	17.20	30-Jun-2013
DWS Investment GmbH	Multi Opportunities III	85,000	0.00	0.84	30-Jun-2013
DWS Investments	EM Equities	5,000	0.00	0.08	31-Dec-2012
Total Deutsche Bank		16,940,040	0.32	178.74	
DZ Bank					

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
IPConcept (Luxemburg) S.A.	Stuttgarter-Aktien-Fonds	136,300	0.00	1.78	31-Mar-2013
Union Investment Group	UniEM Global	736,000	0.01	9.60	31-Mar-2013
Union Investment Group	UniEM Immuno 90	2,000	0.00	0.02	31-Mar-2013
Union Investment Group	Union Investment Invest Global	29,050	0.00	0.36	31-Mar-2013
Union Investment Group	Union Investment UniGlobal	2,269,400	0.04	28.13	31-Mar-2013
Union Investment Group	Union Investment UniGlobal -net-	185,200	0.00	2.30	31-Mar-2013
Union Investment Group	UniRak Emerging Markets	220,000	0.00	2.74	31-Mar-2013
Total DZ Bank		3,577,950	0.07	44.93	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Emerging Markets High Dividend (EUR)	265,151	0.01	2.68	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Latin America	1,100,000	0.02	13.79	30-Apr-2013
ING Investment Management (Netherlands)	ING Emerging Markets Equity Dividend Fund	35,993	0.00	0.33	30-Jun-2013
ING Investment Management (Netherlands)	ING Emerging Markets High Dividend Equity Fund	392,358	0.01	3.59	30-Jun-2013
ING Investment Management Co. LLC	ING Emerging Markets Index Portfolio	347,200	0.01	3.31	30-Jun-2013
ING Securities Investment & Trust Co., Ltd.	ING Brazil Fund	107,798	0.00	1.62	30-Jun-2012
Total ING		2,248,500	0.04	25.32	
KBC					
KBC Asset Management N.V.	KBC Equity Fund Commodities & Materials	13,982	0.00	0.14	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	3,266	0.00	0.03	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	193	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	2,766	0.00	0.03	31-May-2013
KBC Asset Management N.V.	Plato Institutional Index Emerging Markets Class Plus Shares	213,469	0.00	2.22	31-May-2013
KBC Fund Management Limited	Centea Fund World Select	1,300	0.00	0.02	31-Dec-2011
KBC Fund Management Limited	Horizon Access Fund Brazil	297,174	0.01	3.07	31-May-2013
KBC Fund Management Limited	KBC Equity Fund BRIC	153,235	0.00	1.58	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
KBC Fund Management Limited	KBC Equity Fund CSOB Bric	15,700	0.00	0.24	31-Dec-2012
KBC Fund Management Limited	KBC Equity Fund Global Leaders	26,734	0.00	0.27	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Latin America	188,400	0.00	1.94	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Millennium	74,887	0.00	0.77	31-May-2013
KBC Fund Management Limited	KBC Equity Fund New Markets	174,468	0.00	1.81	31-May-2013
Total KBC		1,165,574	0.02	12.14	
Munich Re					
MEAG Munich ERGO Kapitalanlagegesellschaft mbH		175,000	0.00	1.73	30-Jun-2013
Total Munich Re		175,000	0.00	1.73	
UBS					
UBS (Luxembourg) S.A.	UBS-ETF MSCI Emerging Markets (USD) A-dis	288,628	0.01	3.61	30-Apr-2013
UBS Brasil Administradora de Valores Mobiliários Ltda.	Manitu High Yield FIA	9,000	0.00	0.12	30-Sep-2012
UBS Global Asset Management (Singapore) Ltd.	UBS Emerging Markets Equity Income Fund	184,200	0.00	2.26	30-Apr-2013
UBS Global Asset Management (Singapore) Ltd.	UBS New Major Economies Equity Mother Fund	343,600	0.01	4.45	26-Nov-2012
UBS Global Asset Management (Americas), Inc.	UBS (Lux) Equity SICAV - Emerging Markets Growth	315,000	0.01	4.06	30-Apr-2013
UBS Global Asset Management (Americas), Inc.	UBS International Equity Fund	5,100	0.00	0.07	31-Mar-2013
UBS Global Asset Management (Switzerland)	BPER International Sicav - Equity Emerging Markets	96,103	0.00	1.18	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Emerging Markets	343,474	0.01	4.22	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Equity Fund - Latin America	719,842	0.01	8.85	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities Emerging Markets Glob	383,470	0.01	4.72	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (LUX) Institutional Fund Emerging Markets Eq	445,904	0.01	5.48	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity Fund Emerging Markets	2,017,631	0.04	24.81	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Basic Materials (USD)	2,200	0.00	0.03	30-Nov-2012
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV - Emerging Markets High Dividend	198,800	0.00	2.43	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Equity SICAV Brazil	792,875	0.01	9.90	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS Global Allocation Fund	20,500	0.00	0.26	31-Mar-2013
UBS Global Asset Management (Switzerland)	UBS Global Securities Relationship Fund	4,800	0.00	0.08	30-Jun-2012
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI Brazil (USD)	45,900	0.00	0.57	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS BRIC Mother Fund	169,900	0.00	2.59	05-Dec-2012
UBS Global Asset Management (UK) Ltd.	UBS Global Emerging Markets Equity Fund	2,186,733	0.04	26.89	30-Apr-2013
UBS Securities LLC		1,584,449	0.03	20.52	31-Mar-2013
UBS Wealth Management AG (Switzerland)		14,625	0.00	0.19	31-Mar-2013
Total UBS		10,172,734	0.19	127.29	
UniCredit					
Pioneer Investment Management Ltd.	Aperta SICAV Emerging Markets Equities	52,428	0.00	0.57	31-May-2013
Pioneer Investment Management Ltd.	Pioneer Azionario Paesi Emergenti	418,923	0.01	5.36	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Emerging Markets Fund	326,228	0.01	3.32	31-May-2013
Pioneer Investment Management Ltd.	Pioneer Emerging Markets VCT Portfolio	98,447	0.00	1.06	31-May-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Emerging Markets Equity	1,391,808	0.03	17.18	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds - Latin American Equity	374,651	0.01	4.70	30-Apr-2013
Pioneer Investment Management Ltd.	Pioneer Funds Austria Latin America Stock	79,772	0.00	0.84	31-May-2013
Pioneer Investment Management, Inc.	Pioneer Funds - Global High Yield	11,175	0.00	0.14	30-Apr-2013
Pioneer Investment Management, Inc.	Pioneer Global High Yield Fund	134,106	0.00	1.33	30-Jun-2013
Pioneer Investments Austria GmbH	PIA - Global EM Garantie 5/2018	67,000	0.00	0.93	31-Oct-2012
Total UniCredit		2,954,538	0.06	35.40	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

Table 133 provides an overview of the shares of Vale's separately listed subsidiary Vale Indonesia which were owned or managed by the selected financial institutions at the most recent filing date.

Table 133 Shareholders of Vale Indonesia

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas					
BNP Paribas Investment Partners Asia Ltd.	BNP Paribas L1 Equity Indonesia	6,534,500	0.07	1.38	28-Feb-2013
Shinhan BNP Paribas Asset Management Co., Ltd.	SH BNP Bonjour Southeast Asia Equity Feeder (H)-C	2,500	0.00	0.00	31-Mar-2013
Total BNP Paribas		6,537,000	0.07	1.38	
Deutsche Bank					
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	2,520	0.00	0.00	30-Jun-2013
Total Deutsche Bank		2,520	0.00	0.00	
ING					
ING Securities Investment & Trust Co., Ltd.	ING Indonesia Star Fund	161,837	0.00	0.05	31-Dec-2011
Total ING		161,837	0.00	0.05	
KBC					
KBC Fund Management Limited	Centea Fund World Select	138,500	0.00	0.04	31-Dec-2011
Total KBC		138,500	0.00	0.04	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

VF Corp

Table 134 provides an overview of the shares of VF Corp which were owned or managed by the selected financial institutions at the most recent filing date.

Table 134 Shareholders of VF Corp

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz					
Allianz Global Investors Italia SGR S.p.A.		2,702	0.00	0.34	31-Mar-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Allianz Global Investors Kapitalanlagegesellschaft	Convest 21 VL	2,754	0.00	0.38	31-May-2013
Allianz Global Investors Kapitalanlagegesellschaft	Fondis	4,157	0.00	0.57	31-May-2013
Allianz Global Investors U.S. LLC	AllianzGI U.S. Equity Hedged Fund	27	0.00	0.01	30-Jun-2013
Allianz Global Investors U.S. LLC	Allianz NFJ Mid-Cap Value Fund (CN)	1,300	0.00	0.14	31-Oct-2011
Fuller & Thaler Asset Management Inc.	AllianzGI Behavioral Advantage Large Cap Fund	500	0.00	0.08	30-Jun-2013
PIMCO (US)	Target Conservative Allocation Fund	818	0.00	0.11	30-Apr-2013
PIMCO (US)	Target Moderate Allocation Fund	962	0.00	0.13	30-Apr-2013
RCM (UK) Ltd.	Allianz Islamic Global Equity Opportunities	3,497	0.00	0.41	31-Oct-2012
RCM (UK) Ltd.	Chariguard Overseas Equity Fund	200	0.00	0.03	30-Jun-2013
RCM Capital Management LLC	AllianzGI Mid-Cap Fund	35,705	0.03	5.19	30-Jun-2013
Total Allianz		52,622	0.05	7.39	
Belifus					
Dexia Asset Management Belgium S.A.	Cleome Index U.S.A.	1,607	0.00	0.23	30-Jun-2013
Total Belfius		1,607	0.00	0.23	
BNP Paribas					
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2010	580	0.00	0.08	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2015	974	0.00	0.12	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2020	1,144	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2025	1,160	0.00	0.14	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2030	1,227	0.00	0.16	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2035	856	0.00	0.11	31-Mar-2013
Alfred Berg Kapitalförvaltning AB	Lansforsakringar Pension 2040	655	0.00	0.08	31-Mar-2013
Bank of the West		17,923	0.02	2.27	31-Mar-2013
Bishop Street Capital Management Corp		215	0.00	0.03	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF Russell 1000	529	0.00	0.08	30-Jun-2013
BNP Paribas Investment Partners (France)	EasyETF S&P 500	565	0.00	0.08	30-Jun-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
BNP Paribas Investment Partners (France)	Parworld Track North America	1,684	0.00	0.19	31-Jan-2013
BNP Paribas Investment Partners (France)	Profiléa Monde Modéré	76	0.00	0.01	31-Oct-2011
BNP Paribas Investment Partners (France)	Profiléa Monde Prudent	32	0.00	0.00	31-Jan-2012
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas B Pension Balanced	6,588	0.01	0.88	30-Apr-2013
BNP Paribas Investment Partners Belgium S.A.	BNP Paribas B Pension Growth	931	0.00	0.09	30-Jun-2012
BNP Paribas Securities Corp. North America		4,949	0.00	0.63	31-Mar-2013
Total BNP Paribas		40,088	0.04	5.08	
Commerzbank					
Commerzbank AG		40,064	0.04	5.83	30-Jun-2013
Total Commerzbank		40,064	0.04	5.83	
Credit Suisse					
Credit Suisse (France)	Credit Suisse Equity Fund (Lux) Global Prestige	64,200	0.06	7.14	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI USA	2,346	0.00	0.26	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on MSCI World	263	0.00	0.03	31-Jan-2013
Credit Suisse Asset Management	CS ETF (IE) on S&P 500	4,936	0.00	0.55	31-Jan-2013
Credit Suisse Asset Management	CSIF US Index	8,645	0.01	1.20	31-May-2013
Credit Suisse Asset Management	CSIF US Index - Pension Fund	19,892	0.02	2.76	31-May-2013
Credit Suisse Asset Management	CSIF US Index Blue - Pension Fund	11,547	0.01	1.60	31-May-2013
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Euro)	124	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (Sfr)	857	0.00	0.11	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Balanced (US\$)	20	0.00	0.00	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Euro)	52	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (Sfr)	245	0.00	0.03	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Growth (US\$)	34	0.00	0.01	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Euro)	127	0.00	0.02	30-Sep-2012
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (Sfr)	572	0.00	0.07	30-Sep-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Credit Suisse Asset Management	Credit Suisse Portfolio Fund (Lux) Income (US\$)	64	0.00	0.01	30-Sep-2012
Credit Suisse Private Banking (Switzerland)	CS ETF (IE) on MSCI USA Large Cap	390	0.00	0.05	31-Jan-2013
Credit Suisse SICAV (Lux)	Credit Suisse SICAV (Lux) Equity North America (CN)	796	0.00	0.09	30-Apr-2012
Credit Suisse Securities (USA) LLC		327,180	0.30	41.37	31-Mar-2013
Total Credit Suisse		442,290	0.40	55.30	
DeKaBank					
Deka Investment GmbH	Deka MegaTrends TF	620	0.00	0.07	31-Dec-2012
DekaBank Deutsche Girozentrale		13,028	0.01	1.65	31-Mar-2013
ETFlab Investment GmbH	ETFlab MSCI USA	315	0.00	0.05	30-Jun-2013
ETFlab Investment GmbH	ETFlab MSCI USA LC	332	0.00	0.05	30-Jun-2013
Total DeKaBank		14,295	0.01	1.81	
Deutsche Bank					
DB Platinum Advisors	DB X-Trackers MSCI World Index UCITS ETF	8,761	0.01	1.27	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Inverse Daily UCITS ETF	401	0.00	0.06	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 2x Leveraged Daily UCITS ETF	162	0.00	0.02	30-Jun-2013
DB Platinum Advisors	DB X-Trackers S&P 500 Shariah UCITS ETF	72	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers FTSE All-World Ex UK UCITS ETF	110	0.00	0.02	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI MSCI World Consumer Discretionary Index U	1,211	0.00	0.17	30-Jun-2013
DB Platinum Advisors	db x-trackers MSCI USA Index UCITS ETF	12,263	0.01	1.79	30-Jun-2013
DB Platinum Advisors	db x-trackers Russell Midcap UCITS ETF	1,185	0.00	0.17	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Equal Weight UCITS ETF	55	0.00	0.01	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 Inverse Daily UCITS ETF	1,482	0.00	0.22	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P 500 UCITS ETF	5,410	0.00	0.78	30-Jun-2013
DB Platinum Advisors	db x-trackers S&P U.S. Carbon Efficient UCITS ETF	67	0.00	0.01	30-Jun-2013
Deutsche Asset Management (Asia) Ltd.	DWS Global Themes Equity Fund	799	0.00	0.09	31-Dec-2012

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
Deutsche Asset Management (Korea) Co., Ltd.	Deutsche DWS Premier Global Theme Equity Class A	102	0.00	0.02	31-Mar-2013
Deutsche Asset Management Americas	DWS Capital Growth Fund	80,076	0.07	11.65	30-Jun-2013
Deutsche Asset Management Americas	DWS Global Income Builder Fund	35,091	0.03	3.90	31-Jan-2013
Deutsche Asset Management Americas	DWS Var. Series II-Global Income Builder VIP	10,763	0.01	1.30	30-Sep-2012
Deutsche Asset Management Americas	DWS Variable Series I - Capital Growth VIP	42,135	0.04	6.13	30-Jun-2013
Deutsche Asset Management Investmentgesellschaft mbH	DWS Dividende USA Direkt 2014	27,200	0.02	3.31	28-Feb-2013
Deutsche Asset Management Investmentgesellschaft mbH	Luxembourg Placement Fund - Bolle	1,904	0.00	0.24	31-Mar-2013
Deutsche Bank Private Wealth Management Limited		552	0.00	0.07	31-Mar-2013
Deutsche Bank Securities Inc.		19,841	0.02	2.51	31-Mar-2013
Deutsche Investment Management Americas, Inc.	DWS Blue Chip Fund (CN)	68,500	0.06	6.79	31-Jan-2012
Deutsche Investment Management Americas, Inc.	DWS S&P 500 Plus Fund (CN)	1,400	0.00	0.15	31-Mar-2012
Deutsche Investment Management Americas, Inc.	DWS Var. Series II-Blue Chip Portfolio (CN)	2,000	0.00	0.22	31-Mar-2012
DWS Investment GmbH	DWS Gottlieb Daimler Aktienfonds	0	0.00	0.00	31-Jan-2013
DWS Investment GmbH	DWS Pwm US Dynamic Growth (USD)	2,000	0.00	0.29	30-Jun-2013
DWS Investment GmbH	DWS World Dividend Fund	7,309	0.01	1.06	30-Jun-2013
Global Thematic Partners, LLC	DWS Global Thematic	418	0.00	0.06	30-Jun-2013
Global Thematic Partners, LLC	DWS Invest Global Ex Japan (USD)	647	0.00	0.09	31-May-2013
Total Deutsche Bank		331,916	0.30	42.42	
ING					
ING Investment Management (Netherlands)	ING (L) Invest Consumer Goods	22,137	0.02	3.07	31-May-2013
ING Investment Management (Netherlands)	ING (L) Invest Sustainable Equity	20,912	0.02	2.89	31-May-2013
ING Investment Management (Netherlands)	ING Direct Dividendo Arancio	12,900	0.01	1.79	31-May-2013
ING Investment Management (Netherlands)	ING Duurzaam Aandelen Fonds	13,797	0.01	1.91	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund I	33	0.00	0.01	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund II	411	0.00	0.06	31-May-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
ING Investment Management (Netherlands)	ING Dynamic Mix Fund III	1,959	0.00	0.27	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund IV	3,312	0.00	0.46	31-May-2013
ING Investment Management (Netherlands)	ING Dynamic Mix Fund V	1,152	0.00	0.16	31-May-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend Fund	3,899	0.00	0.57	30-Jun-2013
ING Investment Management (Netherlands)	ING Global Equity Dividend and Premium Opportunity Fund	52,287	0.05	7.61	30-Jun-2013
ING Investment Management (Netherlands)	ING L Invest Global High Dividend	22,963	0.02	3.18	31-May-2013
ING Investment Management (Netherlands)	ING Luxury Consumer Goods Fund	23,233	0.02	3.22	31-May-2013
ING Investment Management Co. LLC	ING Russell Mid Cap Growth Index Portfolio	9,947	0.01	1.45	30-Jun-2013
ING Investment Management Co. LLC	ING Russell Mid Cap Index Portfolio	36,168	0.03	5.26	30-Jun-2013
ING Investment Management Co. LLC	ING U.S. Stock Index Portfolio	26,477	0.02	3.85	30-Jun-2013
ING Securities Investment & Trust Co., Ltd.	ING Global Equity Fund	425	0.00	0.05	31-Dec-2012
Total ING		252,012	0.23	35.80	
KBC					
KBC Asset Management N.V.	Imperial Global Equity Income Pool	3,070	0.00	0.29	31-Dec-2011
KBC Asset Management N.V.	KBC Equity Fund Consumer Durables	2,807	0.00	0.39	31-May-2013
KBC Asset Management N.V.	KBC Equity Fund World	381	0.00	0.05	31-May-2013
KBC Asset Management N.V.	KBC Index Fund United States	721	0.00	0.10	31-May-2013
KBC Asset Management N.V.	KBC Institutional Fund Global Dynamic	2	0.00	0.00	31-May-2013
KBC Asset Management N.V.	KBC Institutional Global	32	0.00	0.01	31-May-2013
KBC Fund Management Limited	KBC Equity Fund Luxury & Tourism	19,666	0.02	2.73	31-May-2013
Total KBC		26,679	0.02	3.57	
UBS					
UBS Global Asset Management (Americas), Inc.	PL Large-Cap Growth Fund	92	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	Multi Manager Access - US Equities	5,642	0.01	0.63	31-Jan-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund - Equities USA Passive	6,624	0.01	0.89	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (CH) Institutional Fund 2 - Eq USA Passiv	12,852	0.01	1.73	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (CHF)	300	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (EUR)	52	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Balanced (USD)	54	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (CHF)	300	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (EUR)	39	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (CH) Strategy Fund - Yield (USD)	58	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) SICAV 1 - All-Rounder	300	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (CHF)	400	0.00	0.05	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (EUR)	100	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Balanced (USD)	100	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (CHF)	100	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (EUR)	100	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Equity (USD)	24	0.00	0.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (CHF)	200	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (EUR)	100	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Growth (USD)	55	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (CHF)	300	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (EUR)	200	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Fund - Yield (USD)	100	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (CHF)	300	0.00	0.04	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced (EUR)	200	0.00	0.03	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Balanced USD	46	0.00	0.01	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Growth (EUR)	21	0.00	0.00	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (CHF)	100	0.00	0.02	30-Apr-2013
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield (EUR)	100	0.00	0.02	30-Apr-2013

Financial institution / Asset manager	Fund	Amount of shares	% of all shares	Value (€ mln)	Filing date
UBS Global Asset Management (Switzerland)	UBS (Lux) Strategy Xtra SICAV Yield USD	17	0.00	0.00	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - MSCI USA (USD)	36	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS (Irl) ETF plc - S&P 500 (USD)	37	0.00	0.01	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI USA	9,392	0.01	1.26	30-Apr-2013
UBS Global Asset Management (UK) Ltd.	UBS-ETF MSCI World	1,863	0.00	0.25	30-Apr-2013
UBS Securities LLC		1,016,591	0.92	128.55	31-Mar-2013
Total UBS		1,056,795	0.96	133.82	
UniCredit					
Oak Ridge Investments, LLC	Pioneer Oak Ridge Large Cap Growth Fund	5,635	0.01	0.82	30-Jun-2013
Pioneer Investment Management SGRpA	Effepilux - Azionario	296	0.00	0.03	30-Jun-2012
Pioneer Investment Management, Inc	Pioneer Funds - Multi Asset Real Return	998	0.00	0.14	30-Apr-2013
Pioneer Investment Management, Inc	Pioneer Multi-Asset Real Return Fund	11,933	0.01	1.73	30-Jun-2013
Total UniCredit		18,862	0.02	2.72	

Source: Thomson ONE Banker, "Share ownership", Thomson ONE Banker (www.thomsonone.com), Viewed in August 2013.

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